

## The Wecken property for *n*-valued maps of $\mathbb{S}^2$

## Janaina de Santana Santos Advisor: Prof. Dr. Daciberg Lima Gonçalves

Universidade de São Paulo (USP) js.santos@ime.usp.br dlgoncal@ime.usp.br



Introduction

An *n*-valued map  $\phi : X \multimap Y$  is a continuous multivalued function that associates to each  $x \in X$  an unordered subset of exactly n point of Y. Given an *n*-valued map  $\phi : X \multimap Y$ , there is a natural association with a single-valued map  $\Phi : X \longrightarrow D_n(Y)$ , so, the set of homotopy classes of n-valued maps from Xto Y metric spaces is in one-to-one correspondence with the set  $[X, D_n(Y)]$  of homotopy classes of maps from X to  $D_n(Y)$ . We say that  $\phi$  is *split* if there are single-valued maps  $f_1, \ldots, f_n : X \longrightarrow Y$  such that  $\phi(x) = \{f_1(x), \ldots, f_n(x)\}$  for all  $x \in X$ . In this case, we can associate  $\phi$  with a single valued map  $\Phi: X \longrightarrow F_n(Y)$ . It is well known that  $\pi_1(D_n(Y))$ is the braid group  $B_n(Y)$  and  $\pi_1(F_n(Y))$  is the pure braid group  $P_n(Y)$ . Recall that  $\pi : F_n(Y) \longrightarrow D_n(Y)$  is an *n*!-fold covering space, then  $\pi_k(F_n(Y)) \cong \pi_k(D_n(Y))$  for  $k \ge 2$ . A space X is Wecken for *n*-valued maps if every *n*-valued map  $\phi : X \multimap X$  has the Wecken property i.e. there exists an *n*-valued map  $\psi : X \multimap X$  homotopic to  $\phi$  that has exactly  $N(\phi)$  fixed points, where  $N(\phi)$  stands for Nielsen number of  $\phi$ . If n = 1, it was proved that the surfaces with non-negative Euler characteristic are all Wecken, so  $\mathbb{S}^2$  is Wecken for single valued-maps. Recall that for a singlevalued map  $f : \mathbb{S}^2 \to \mathbb{S}^2$ , if  $L(f) \neq 0$  thus N(f) = 1 and if L(f) = 0 thus N(f) = 0, where L(f) is the Lefschetz index of f given by  $L(f) = 1 + \deg(f)$ . In this work, we will verify that the Wecken property holds for *n*-valued maps of  $\mathbb{S}^2$  when  $n \ge 2$ . We firstly present this proof analysing the case n > 2, followed by the case n = 2. The author acknowledge the financial support given by CAPES.

that, as in the case of single-valued maps, the degree of a 2-valued map defines which class it belongs to.

**Proposition 2.** Two 2-valued maps  $\phi, \psi : \mathbb{S}^2 \longrightarrow \mathbb{S}^2$  are homotopic if and only if  $deg(\phi) = deg(\psi)$ . Thus, the homotopy classes of 2-valued maps of  $\mathbb{S}^2$  are classified by degree.

*Proof.* As previously mentioned, the 2-valued homotopy classes of 2-valued maps of  $\mathbb{S}^2$  are  $[\mathbb{S}^2, D_2(\mathbb{S}^2)]$ . Notice that the map  $f: \mathbb{S}^2 \to F_2(\mathbb{S}^2)$  given by f(x) = (x, -x) is a homotopy equivalence that is  $\mathbb{Z}_2$ -equivariant with respect to the action of the antipodal map on  $\mathbb{S}^2$  and the action on  $F_2(\mathbb{S}^2)$  given by permutation of coordinates. This gives rise to a homotopy equivalence between the corresponding orbit spaces, namely  $\mathbb{R}P^2$  and  $D_2(\mathbb{S}^2)$ . Now, given a map  $a: \mathbb{S}^2 \to \mathbb{R}P^2$ , it lifts to two maps  $\tilde{a}, -\tilde{a}: \mathbb{S}^2 \to \mathbb{S}^2$ . Suppose  $H: \mathbb{S}^2 \times [0,1] \to \mathbb{R}P^2$  a homotopy such that  $H(\cdot,0) = a$  and  $H(\cdot, 1) = b$ , where  $b : \mathbb{S}^2 \to \mathbb{R}P^2$  lifts to  $\tilde{b}, -\tilde{b} : \mathbb{S}^2 \to \mathbb{S}^2$ . If H is lifted to  $\tilde{H}: \mathbb{S}^2 \times [0,1] \to \mathbb{S}^2$  such that  $\tilde{H}(\cdot,0) = \tilde{a}$ , then either  $H(\cdot,0) = b$  or  $H(\cdot,0) = -b$ . Since, by the Hopf Classification Theorem, the homotopy classes of single-valued maps of  $\mathbb{S}^2$  are determined by degree, the homotopy class of a is determined by the natural number  $|deg(\tilde{a})| = |deg(-\tilde{a})|$ . Now that we know the homotopy classes of 2-valued maps are classified by degree, to verify the Wecken property for 2-valued maps of  $\mathbb{S}^2$ , it suffices to exhibit for each integer d a 2-valued map  $\phi_d : \mathbb{S}^2 \longrightarrow \mathbb{S}^2$  of degree |d| that has  $N(\phi_d)$  fixed points. By Theorem 2 and the observations made in section Introduction,  $N(\phi) = 1$  if deg $(\phi) = 1$  and  $N(\phi) = 2$ , otherwise. For |d| = 0, we have a constant map  $\phi_0 : \mathbb{S}^2 \longrightarrow \mathbb{S}^2$  with 2 fixed points. For |d| = 1, consider  $\phi_1 = \{\overline{f}, -\overline{f}\}$ , where  $\bar{f}: \mathbb{S}^2 \to \mathbb{S}^2$  is a small deformation of  $Id_{\mathbb{S}^2}$  i.e.  $\bar{f}$  satisfies  $|x - \overline{f}(x)| < \pi/2$  for all  $x \in \mathbb{S}^2$ , and which has exactly one fixed point. Such map may be constructed using a vector field on  $\mathbb{S}^2$  that possesses just one singular point (see for example Figure 1).

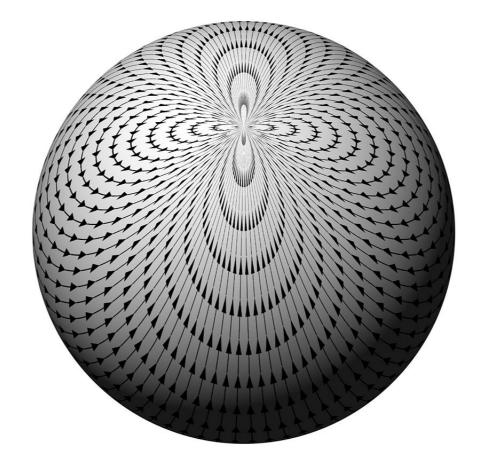
can be written uniquely as x = (t, u), where  $-1 \le t \le 1$ ,  $u = (1 - t^2)^{1/2}v$  and  $v \in S(\mathbb{R}^2) = \{(x_1, x_2, 0) \in \mathbb{R}^3 \mid x_1^2 + x_2^2 = 1\}$ . According to this notation, we define the northern and southern hemispheres of  $\mathbb{S}^2$ , respectively, by

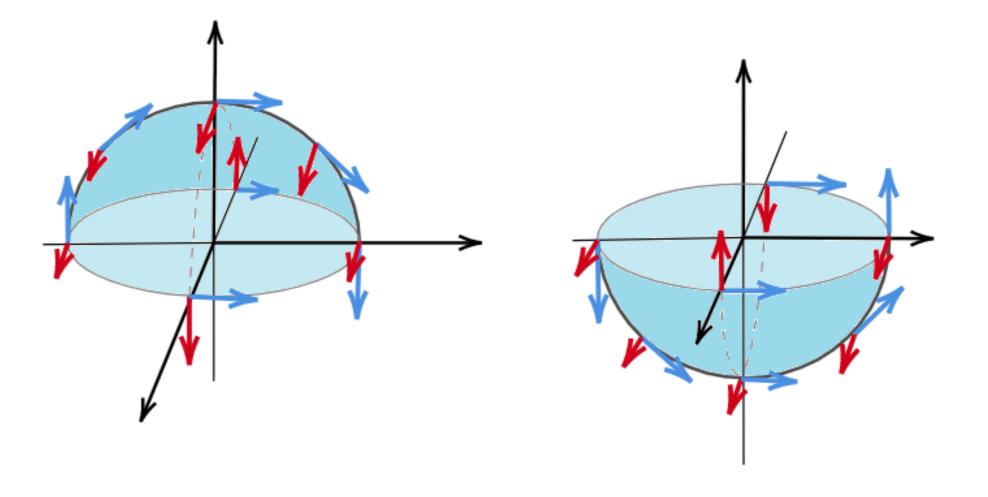
 $S_{+} = \{(t, u) \in \mathbb{S}^{2} \mid t \ge 0\} \text{ and } S_{-} = \{(t, u) \in \mathbb{S}^{2} \mid t \le 0\}.$ 

To define the sections  $s_0$  and  $s_1$  such that  $f_{s_0} = f_0$  and  $f_{s_1} = f_1$ , as in the statement, we still need to choose orthogonal trivializations  $\theta_+ : p^{-1}(S_+) \longrightarrow S_+ \times \mathbb{R}^2$  and  $\theta_- : p^{-1}(S_-) \longrightarrow S_- \times \mathbb{R}^2$  such that when we consider  $\mathbb{R}^2$  as the subspace of  $\mathbb{R}^3$  generated by  $e_1 = (1, 0, 0)$  and  $e_2 = (0, 1, 0)$  we have that  $\theta_+$  preserves the base at the north pole (1, 0) and  $\theta_-$  preserves the base at the south pole (-1, 0) (Figure 3 illustrates an example of pair  $(\theta_+, \theta_-)$ ).

## The case n > 2

With the purpose of classifying the homotopy classes of n-valued maps of  $\mathbb{S}^2$ , we must consider the following result ([2], *Theorem 3.1*):





**Figure 3:** In each point, the image of the vector  $e_1$  is red and the image of vector  $e_2$  is blue. Extending this continuously we get  $\theta_+$  and  $\theta_-$ .

Let  $\kappa : S(\mathbb{R}^2) \longrightarrow O(\mathbb{R}^2)$  the clutching map on the equator that satisfies  $\kappa(v)\theta_+(x)v = \theta_-(x)v$  for  $v \in S(\mathbb{R}^2)$ , where  $O(\mathbb{R}^3)$  the orthogonal transformations of  $\mathbb{R}^2$ . Let  $g : S(\mathbb{R}^2) \longrightarrow \mathbb{R}^2$  such that  $\deg(g) = d + 1$ . So we define  $s_0$  and  $s_1$  as follows: for  $v \in S(\mathbb{R}^2)$ ,  $0 \ge t \ge 1$  and  $x = (t, (1 - t^2)^{1/2}v)$  let

**Theorem 1.** (Splitting Characterization Theorem) Let  $\phi$ :  $X \multimap Y$  a *n*-valued map, where X is connected and locally path-connected. Then  $\phi$  is split if and only if the image of  $\Phi_{\#} : \pi_1(X) \longrightarrow B_n(Y)$  is contained in the image of the homomorphism  $\pi_{\#} : P_n(Y) \longrightarrow B_n(Y)$  induced by the projection  $\pi : F_n(Y) \longrightarrow D_n(Y)$ . In particular, if X is simply connected, then all *n*-valued maps  $\phi : X \longrightarrow Y$  are split. So for every *n*-valued map  $\phi : \mathbb{S}^2 \longrightarrow \mathbb{S}^2$  there are singlevalued maps  $f_0, \ldots, f_n : \mathbb{S}^2 \longrightarrow \mathbb{S}^2$  such that  $\phi(x) =$   $\{f_1(x), \ldots, f_n(x)\}$ . Furthermore, by Schirmer ([5], Corollary 7.2), we have  $N(\phi) = N(f_1) + \ldots, N(f_n)$ .

**Theorem 2.** ([5], Corollary 7.2) Let  $\phi = \{f_1, \ldots, f_n\} : X \longrightarrow Y$  be a split *n*-valued map, where X is a compact polyhedron. Then  $N(\phi) = N(f_1) + \cdots + N(f_n)$ .

**Lemma 1.** If n > 2, the set of homotopy classes of n-valued maps of  $\mathbb{S}^2$  possesses exactly one element.

*Proof.* It suffices to determine the set  $[\mathbb{S}^2, D_n(\mathbb{S}^2)]$ , which in turn is the orbit space of  $[\mathbb{S}^2, D_n(\mathbb{S}^2)]_0 \cong \pi_2(D_n(\mathbb{S}^2))$  under the action of  $\pi_1(D_n(\mathbb{S}^2))$ . By [4], *Proposition 10 (b)*, for n > 2, the universal covering of  $F_n(\mathbb{S}^2)$  has the homotopy type of  $\mathbb{S}^3$ , which implies that  $\pi_2(D_n(\mathbb{S}^2)) \cong \pi_2(F_n(\mathbb{S}^2)) \cong \pi_2(\mathbb{S}^3) \cong 1$ , so  $[\mathbb{S}^2, D_n(\mathbb{S}^2)]$  has only one element, which is the class of the constant map.

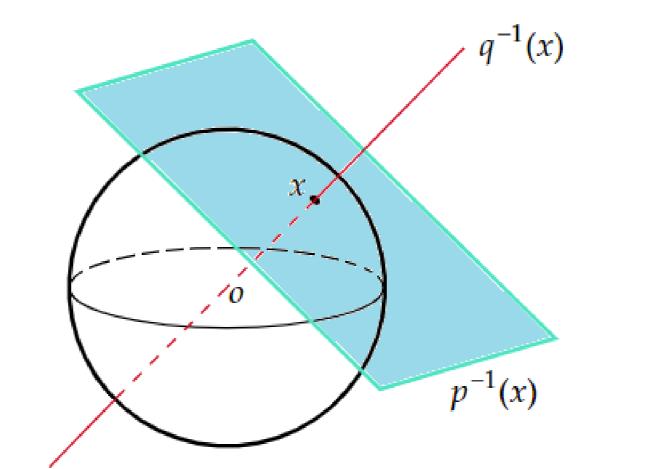
**Proposition 1.** The Wecken property holds for *n*-valued maps of  $\mathbb{S}^2$  for all n > 2.

*Proof.* Let  $\phi : \mathbb{S}^2 \longrightarrow \mathbb{S}^2$  an *n*-valued map with n > 2. By Lemma 1,  $\phi$  is homotopic to the constant map  $x \mapsto \{x_1, \ldots, x_n\}$ . By Theorem 2, the Nielsen number of a constant map is *n*, since the constant single-valued map  $x \mapsto x_i$ 

**Figure 1:** Vector field on  $\mathbb{S}^2$  with only one singular point.

**Proposition 3.** For each integer d with  $|d| \ge 2$ , there exists a 2-valued map  $\phi_d = \{f_0, f_1\}$  such that  $deg(\phi_d) = |d|$  and  $\phi_d$  has  $N(\phi_d) = 2$  fixed points.

*Proof.* We start this proof considering the tangent bundle of  $\mathbb{S}^2$ ,  $p: T\mathbb{S}^2 \longrightarrow \mathbb{S}^2$ , with fibre  $T_x \approx \mathbb{R}^2$  and the normal bundle of  $\mathbb{S}^2$ ,  $q: N\mathbb{S}^2 \longrightarrow \mathbb{S}^2$ , with fibre  $N_x \approx \mathbb{R}$  (Figure 2 ilustrates these bundles).



```
(1 \oplus \theta_+(x)) \circ p_2 \circ s_0(t, (1 - t^2)^{1/2}v) = (t, (1 - t^2)^{1/2}g(v))
```

 $(1 \oplus \theta_+(x)) \circ p_2 \circ s_1(t, (1 - t^2)^{1/2}v) = (-t, -(1 - t^2)^{1/2}g(v))$ and for  $-1 \le t \le 0$  let

 $(1 \oplus \theta_{-}(x)) \circ p_{2} \circ s_{0}(t, (1 - t^{2})^{1/2}v) = (t, (1 - t^{2})^{1/2}\kappa(v)g(v))$ 

 $(1 \oplus \theta_{-}(x)) \circ p_{2} \circ s_{1}(t, (1 - t^{2})^{1/2}v) = (-t, -(1 - t^{2})^{1/2}\kappa(v)g(v)),$ 

where  $p_2(x, (r, w)) = (r, w)$ . By construction,  $s_0(x) \neq s_1(x)$ for all  $x \in \mathbb{S}^2$ . Furthermore,  $s_0(x) = (x, (1, \mathbf{0}))$  if and only if  $x = (1, \mathbf{0})$  and  $s_1(x) = (x, (-1, \mathbf{0}))$  if and only if  $x = (-1, \mathbf{0})$ . Thus, the north pole  $(1, \mathbf{0})$  is the single fixed point of  $f_{s_0}$ and the south pole is the single fixed point of  $f_{s_1}$ . In a small enough neighborhood of (1, 0), the trivialization  $\theta_+$ slightly changes the images of the base elements  $e_1$  and  $e_2$ . Then, in this neighborhood, the map  $f_{s_0}$  can be described in suitable coordinates by the self-map of  $\mathbb{R}^2$  that takes rv, for  $r \ge 0$  and  $v \in S(\mathbb{R}^2)$ , to rv + rg(v) so that its fixed point is  $\mathbf{0} \in \mathbb{R}^2$ . Therefore, the index of  $f_{s_0}$  is equal to the degree of the map  $-g : S(\mathbb{R}^2) \longrightarrow S(\mathbb{R}^2)$ , that is,  $I(f_{s_0}) = deg(g) = d+1$ . By calculation, the Lefschetz number of  $f_{s_0}$  is  $L(f_{s_0}) = 1 + \deg(f_{s_0})$ . Using the Lefschetz-Hopf Theorem we obtain  $\deg(g) = 1 + \deg(f_{s_0}) \Rightarrow \deg(f_{s_0}) = d$  and consequentely deg $(f_{s_1}) = -d$  by Lemma 2; thus  $f_0 = f_{s_0}$ and  $f_1 = f_{s_1}$  are the maps we are looking for.

for i = 1, ..., n has degree 0, which implies Lefschetz index 1 and Nielsen number equal to 1. To complete the proof, it suffices to observe that the constant map has exactly nfixed points, namely  $x_1, ..., x_n$ .

The case n = 2

**Lemma 2.** If  $\phi = \{f_0, f_1\} : \mathbb{S}^2 \multimap \mathbb{S}^2$  is a 2-valued map, then  $deg(f_0) = -deg(f_1)$ .

*Proof.* Since  $f_0(x) \neq f_1(x)$ , for all  $x \in \mathbb{S}^2$ , we can consider the arc connecting the points  $f_0(x)$  and  $-f_1(x)$ , so we have a homotopy between  $f_0$  and  $-f_1$ , and consequently  $\deg(f_0) = -\deg(f_1)$ .

Lemma 2 allows us to define the *degree* of a 2-valued map  $\phi = \{f_0, f_1\} : \mathbb{S}^2 \multimap \mathbb{S}^2$  as being  $deg(\phi) = |d|$ , where d is either  $deg(f_0)$  or  $deg(f_1)$ . The following proposition states

**Figure 2:** Normal and tangent bundles at  $x \in \mathbb{S}^2$ .

Note that there is a homeomorphism  $h: N\mathbb{S}^2 \oplus T\mathbb{S}^2 \longrightarrow \mathbb{S}^2 \times \mathbb{R}^3$ , given that for all  $x \in \mathbb{S}^2$ , every  $y \in \mathbb{R}^3$  is uniquely written as a sum rx + w, where  $\langle w, x \rangle = 0$  and  $r \in \mathbb{R}$ . Furthermore, the Withney sum  $N\mathbb{S}^2 \oplus T\mathbb{S}^2$  is a trivial bundle over  $\mathbb{S}^2$  with fibre  $N_x \times T_x \approx \mathbb{R}^3$ . Therefore, we can consider the trivial sphere bundle  $\bar{p}: S(N\mathbb{S}^2 \oplus T\mathbb{S}^2) \to \mathbb{S}^2$  and the homeomorphism  $\bar{h}: S(N\mathbb{S}^2 \oplus T\mathbb{S}^2) \to \mathbb{S}^2$ . Given a section  $s: \mathbb{S}^2 \longrightarrow S(N\mathbb{S}^2 \oplus T\mathbb{S}^2)$  it can be associated with a map  $f_s: \mathbb{S}^2 \longrightarrow \mathbb{S}^2$ , since  $\bar{h} \circ s(x) \in \{x\} \times \mathbb{S}^2$ . Denote each element  $((x, rx), (x, w)) \in S(N\mathbb{S}^2 \oplus T\mathbb{S}^2)$  by (x, (r, w)). Note that the constant section  $x \mapsto (x, (1, \mathbf{0}))$ , where  $\mathbf{0} \in T_x$  is the zero vector, is associated with the identity map  $Id_{\mathbb{S}^2}$ , since  $\bar{h}(x, (1, \mathbf{0})) = (x, 1 \cdot x + \mathbf{0}) = (x, x)$ . Each element  $x \in \mathbb{S}^2$   [1] Brown, R. F.; Crabb, M.; Ericksen, A.; Stimpson, M.. *The* 2-sphere is Wecken for n-valued maps. Journal of Fixed Points Theory and Applications, **21** no. 2, Art. 55, 6 pp, 2019.

[2] Brown, R. F.; Gonçalves, D. L.. *On the topology on nvalued maps*. Advances in Fixed Point Theory, **8** No 2, 255-258, 2018.

 [3] Gonçalves, D. L.; Guaschi, J. Fixed points of n-valued maps on surfaces and the Wecken property: a configuration space approach. Science China Mathematics, 60 (9), 1561-1574, 2017.

[4] Gonçalves, D. L.; Guaschi, J. *The classification of the virtually cyclic subgroups of of the sphere braid groups*. SpringerBriefs in Mathematics, 2013.

[5] Schimer, H. An index and Nielsen number for *n*-valued multifunctions. Fund .Math., **121**, 201-219, 1984.