

**Instituto Nacional de Matemática Pura e Aplicada**

Doctoral Thesis

**MINIMAL SURFACES OF FINITE TOTAL CURVATURE IN  $\mathbb{M}^2 \times \mathbb{R}$**

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# CHAPTER 1

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## Abstract

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This thesis deals with minimal surfaces in product spaces of the form  $\mathbb{M} \times \mathbb{R}$ , where  $\mathbb{M}$  is a Hadamard surface with pinched sectional curvature, that is, the sectional curvature of  $\mathbb{M}$  is contained between two negative constants.

In the first part, we construct minimal annuli embedded in  $\mathbb{M} \times \mathbb{R}$  whose ends are asymptotic to totally geodesic vertical planes (here, the metric of  $\mathbb{M}$  must be analytic). These annuli are the generalization of the horizontal catenoids which were previously constructed in some Thurston geometries.

In the second part, we study minimal surfaces of finite total curvature in  $\mathbb{M} \times \mathbb{R}$ . In particular, we proved that, when the total curvature of a minimal surface in  $\mathbb{M} \times \mathbb{R}$  is finite, it must be an integer multiple of  $2\pi$ . Besides, we have listed some examples of minimal surfaces of finite total curvature in these spaces. Moreover, we conclude that the surfaces constructed in the previous chapter have finite total curvature, and its value is  $-4\pi$ . We also proved that these surfaces have bounded stability index.

**Keywords:** Minimal surfaces, Hadamard manifolds, Finite total curvature





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## Contents

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|          |  |           |
|----------|--|-----------|
| <b>1</b> | <b>Acknowledgments</b>   | <b>v</b>  |
|          | Abstract . . . . .   | vii       |
| <b>2</b> | <b>Introduction</b>  | <b>1</b>  |
| <b>3</b> | <b>Construction of minimal annuli in <math>\mathbb{M}^2 \times \mathbb{R}</math></b>           | <b>5</b>  |
| 3.1      | Minimal annuli in bounded domains . . . . .  | 5         |
| 3.1.1    | Compact minimal annuli . . . . .   | 7         |
| 3.1.2    | Foliations . . . . .   | 11        |
| 3.1.3    | Curvature estimates . . . . .  | 17        |
| 3.1.4    | Convergence of the sequence $(\Sigma_n)_{n \in \mathbb{N}}$ . . . . .                          | 22        |
| 3.2      | Minimal annulus in unbounded domains . . . . .   | 31        |
| 3.2.1    | Foliation and curvature estimates . . . . .  | 34        |
| 3.2.2    | Convergence of $(\Sigma_n)_{n \in \mathbb{N}}$ for the case of unbounded domains . . . . .     | 37        |
| <b>4</b> | <b>Minimal surfaces of finite total curvature in <math>\mathbb{M} \times \mathbb{R}</math></b> | <b>43</b> |
| 4.1      | Introduction . . . . .   | 43        |
| 4.2      | Preliminaries . . . . .  | 43        |
| 4.3      | Minimal surfaces of finite total curvature . . . . .   | 45        |
| 4.4      | Examples . . . . .   | 60        |
| 4.5      | Index of minimal surfaces in $\mathbb{M}^2 \times \mathbb{R}$ . . . . .                        | 63        |

|          |   |           |
|----------|---|-----------|
| <b>5</b> | <b>Appendix</b>   | <b>69</b> |
| 5.1      | Vertical annuli in $\mathbb{M} \times \mathbb{R}$ . . . . . | 69        |
| 5.1.1    | Comparing geometries . . . . .                              | 69        |
| 5.1.2    | Height bounds of minimal annuli . . . . .                   | 72        |

## CHAPTER 2

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### Introduction

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The study of minimal surfaces is a classical field in mathematics, which remains very active nowadays. Started in the eighteenth century by Euler and Lagrange, they were initially focused on understanding such surfaces in  $\mathbb{R}^3$ . Throughout the eighteenth and nineteenth centuries, several of these examples were found. Besides, in this period, the concept of minimal surface gained a mathematically rigorous definition.

In 1860, Weierstrass obtained a way to represent minimal surfaces in  $\mathbb{R}^3$  from meromorphic data on a Riemann surface, now called the *Weierstrass Representation*. In the second half of the twentieth century, Osserman resumed this part of the theory, obtaining several theorems; especially with respect to minimal surfaces of finite total curvature in  $\mathbb{R}^3$ . We also have, in 1983, the celebrated Jorge-Meeks formula, which calculates the total curvature in terms of geometric and topological data of the surface.

In recent decades, the interest in understanding minimal surfaces in homogeneous three-dimensional Riemannian manifolds (Thurston's geometries) has become more intense. We highlight here the pioneering article by Harold Rosenberg [38], where several examples of minimal surfaces were obtained in  $\mathbb{S}^2 \times \mathbb{R}$  and in  $\mathbb{H}^2 \times \mathbb{R}$ , and also in other ambient spaces. Among the geometries of Thurston, the case where the ambient manifold is  $\mathbb{H}^2 \times \mathbb{R}$  had a particularly strong development. During this time, many examples were constructed (for example, in the works [16], [30], [9] and [10]). In [36] and

[28], the conjugate surface method was used to construct minimal annuli in slabs of  $\mathbb{H}^2 \times \mathbb{R}$ . In [31], properly embedded minimal annuli are constructed in vertical slabs of  $\widetilde{PSL}_2(\mathbb{R})$ , using variational methods.

Another object commonly studied in differential geometry are the minimal surfaces with finite total curvature in three-dimensional spaces. A classical result in this subject states that, if  $\Sigma \subset \mathbb{R}^3$  is a complete immersed minimal surface of finite total curvature, then  $\Sigma$  is conformally equivalent to a compact Riemann surface with a finite number of points removed. Moreover, its Weierstrass data can be extended meromorphically to the punctures and its total curvature is an integral multiple of  $4\pi$  (see [32] for those results). Other references for finite total curvature minimal surfaces in  $\mathbb{R}^3$  are [11], [20] and [43]. In [22], the authors obtain a formula for the total curvature of a minimal surface  $\Sigma$  in terms of topological and geometrical invariants (see also [11] for a discussion of these results).

In 2006, Laurent Hauswirth and Harold Rosenberg discussed, in the article [19], the minimal surfaces of finite total curvature in  $\mathbb{H}^2 \times \mathbb{R}$ . In this work, a model to represent minimal surfaces, which is similar to Weierstrass Representation, is presented. This model allowed them to extend some results of Osserman to this new context, as well as to prove a Jorge-Meeks type formula for such surfaces.

Recently, the geometry of minimal surfaces on  $\mathbb{M} \times \mathbb{R}$ , where  $\mathbb{M}$  is a Hadamard surface, has been studied quite frequently. Among the articles, we mention [14], by José Gálvez and Harold Rosenberg, which proves a Jenkins-Serrin type theorem for domains in  $\mathbb{M}$ , and [13], by José Gálvez and Victorino Lozano, which constructs convex barriers (with respect to the mean curvature) in  $\mathbb{M} \times \mathbb{R}$ , allowing the extension of results already known for  $\mathbb{H}^2 \times \mathbb{R}$ .

In Chapter 3 of this thesis, we study minimal surfaces in  $\mathbb{M}^2 \times \mathbb{R}$ , where  $(\mathbb{M}, g)$  is a Hadamard manifold with analytic metric satisfying  $-1 \leq K_{sect} \leq -k^2$ , for a positive number  $k$ . Strongly influenced by [31], we prove the following theorem:

**Theorem 3.21.** For two complete and disjoint geodesics  $\gamma_1$  and  $\gamma_2$  whose distance is smaller than  $2\ln(\sqrt{2}+1)$ , there exists a complete embedded minimal annulus in  $\mathbb{M} \times \mathbb{R}$  whose boundary at infinity is the union of the four vertical lines passing through the endpoints (at infinity) of  $\gamma_1$  and  $\gamma_2$  and, for each geodesic  $\gamma$  that is ultraparallel to both  $\gamma_1$  and  $\gamma_2$ , the intersection of this annulus with  $\gamma \times \mathbb{R}$  is compact. This surface is a bigraph which is symmetric with respect to the horizontal slice  $\mathbb{M} \times \{0\}$ .

Let  $\Omega$  be the geodesic ideal quadrilateral having  $\gamma_1$  and  $\gamma_2$  as sides. In  $\mathbb{H}^2$ , it is easy to see that there exists a nested sequence  $(\Omega^n)_n$  of bounded geodesic quadrilaterals such that  $\bigcup_{i=1}^{\infty} \Omega^i = \Omega$ . The sides of  $\Omega^n$  are  $\gamma_1^n$ ,  $\gamma_2^n$ ,  $\eta_1^n$  and  $\eta_2^n$ ; moreover,  $\gamma_i^n$  is contained in  $\gamma_i$ , for  $i = 1, 2$ , and the inequality  $l(\alpha_1^n) + l(\alpha_2^n) > l(\eta_1^n) + l(\eta_2^n)$  holds for all  $n$ . For a Hadamard surface with pinched curvature (i.e.,  $-1 \leq K_{sect} \leq -k^2$ , for  $k > 0$ ), we will apply comparison theorems to obtain such a sequence.

The most crucial step to prove the result is the following intermediate theorem:

**Theorem 3.1.** Let  $\Omega^*$  be a bounded geodesic convex quadrilateral whose sides are  $\alpha_1^*$ ,  $\alpha_2^*$ ,  $\eta_1^*$  and  $\eta_2^*$  such that  $l(\alpha_1^*) + l(\alpha_2^*) > l(\eta_1^*) + l(\eta_2^*)$ . There exists a proper minimal annulus  $\Sigma^*$  in  $\mathbb{M} \times \mathbb{R}$  asymptotic to  $\alpha_i^* \times \mathbb{R}$ ,  $i = 1, 2$ , whose boundary is formed by the vertical lines along the vertices of  $\Omega^*$ . Moreover, for each complete geodesic  $\alpha$  intersecting the geodesics  $\eta_i^*$ , the set  $\Sigma^* \cap (\alpha \times \mathbb{R})$  is compact. Moreover, it is a bigraph which is symmetric with respect to the horizontal slice  $\mathbb{M} \times \{0\}$ .

In [31], a crucial fact for some arguments is the existence of a uniform bound for the height of vertical minimal annuli in  $\widehat{PSL}_2(\mathbb{R}, \tau)$ . It is used to characterize the intersection of horizontal slices with certain types of horizontal minimal annuli. As an alternative idea, we will use the Alexandrov Reflection Principle to guarantee that those horizontal annuli are always symmetric with respect to some horizontal plane, and this allows us to prove the same results. Furthermore, this invariance under some vertical reflection also gives us the same symmetry for the annuli constructed in the main theorem.

In this chapter, we can show the usefulness of variational methods in the study of minimal surfaces, as well as that of the mean curvature comparison theorems, mainly used in the construction of barriers. Here, we also give new proofs of some auxiliary results which have analogous versions in [31], either to clarify or to simplify them.

In Chapter 4, we generalize [19] to the case of  $\mathbb{M} \times \mathbb{R}$ ; here,  $\mathbb{M}$  is a Hadamard surface whose sectional curvature satisfies the inequalities  $-a^2 \leq K_{\mathbb{M}} \leq -b^2$ , where  $a$  and  $b$  are positive constants. Inspired by [37], we add a refinement to the generalization. We also present some examples of minimal surfaces with finite total curvature in  $\mathbb{M} \times \mathbb{R}$ .

Here, the main tools are the comparison theorems, which allow us to construct complete mean convex barriers. Moreover, the analysis of harmonic

maps taking values in a Hadamard surface plays a relevant role in the proof of the results.

## CHAPTER 3

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### Construction of minimal annuli in $\mathbb{M}^2 \times \mathbb{R}$

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In this chapter, our objective is proving that, for sufficiently close complete geodesics  $\gamma_1$  and  $\gamma_2$  in  $\mathbb{M}$ , there exists a complete, properly embedded minimal annulus in  $\mathbb{M} \times \mathbb{R}$  asymptotic to  $(\gamma_1 \cup \gamma_2) \times \mathbb{R}$  whose boundary at infinity consists of the four vertical lines at infinity passing through the endpoints of the two geodesics.

### 3.1 Minimal annuli in bounded domains

Let  $\Omega \subset \mathbb{M}$  be a convex bounded domain whose boundary is given by closed geodesic arcs  $\gamma_1, \eta_1, \gamma_2$  and  $\eta_2$ . Denote by  $\tilde{\gamma}_i$  the completions of  $\gamma_i$  and by  $\tilde{\eta}_i$  the complete geodesics which form a convex ideal quadrilateral  $\tilde{\Omega}$  with  $\tilde{\gamma}_1$  and  $\tilde{\gamma}_2$ , the curves  $\tilde{\gamma}_1$  and  $\tilde{\gamma}_2$  being disjoint up to infinity. Suppose that

$$l(\gamma_1) + l(\gamma_2) > l(\eta_1) + l(\eta_2). \quad (3.1)$$

The main result of this section is the following:

**Theorem 3.1.** *There exists a proper minimal annulus  $\Sigma$  in  $\mathbb{M} \times \mathbb{R}$  asymptotic to  $\gamma_i \times \mathbb{R}$ ,  $i = 1, 2$ , whose boundary is formed by the vertical lines along the vertices of  $\Omega$  such that, for each complete geodesic  $\alpha$  intersecting the geodesics  $\eta_i$ , the set  $\Sigma \cap (\alpha \times \mathbb{R})$  is compact. Moreover, it is a bigraph with respect to the horizontal slice  $\mathbb{M} \times \{0\}$ , and  $\Sigma$  and  $\mathbb{M} \times \{0\}$  meet orthogonally.*

The proposition below shows that the main result does not hold if we change slightly the hypothesis (3.1).

**Proposition 3.2.** *If  $\Omega$  satisfies*

$$l(\eta_1) + l(\eta_2) > l(\gamma_1) + l(\gamma_2),$$

*there is no annulus  $\Sigma$  satisfying the above conditions.*

*Proof.* Assume that the proposition is not true, so there exists such an annulus  $\Sigma$ . After a small perturbation, we can take a convex bounded domain  $\Omega'$  whose boundary is given by the geodesic arcs  $\gamma'_1$ ,  $\eta'_1$ ,  $\gamma'_2$  and  $\eta'_2$  satisfying  $l(\gamma'_1) + l(\gamma'_2) < l(\eta'_1) + l(\eta'_2)$  (see Figure 3.1). By Theorem 3.3 of [24], there exists a minimal graph  $S$  over  $\Omega'$  assuming the values  $+\infty$  on  $\gamma'_i$  and 0 on  $\eta'_j$ . Then, we notice that, for large  $h > 0$ , the image of the vertical translation of  $S$  by  $h$  (call it  $T_h(S)$ ) is disjoint from  $\Sigma$ . Choosing  $h^* := \inf\{h \in \mathbb{R}; T_{h'}(S) \cap \Sigma = \emptyset \text{ for } h' > h\}$ , we see that  $T_{h^*}$  and  $\Sigma$  have a first point of contact, and it must be in the interior of both surfaces, contradicting the Maximum Principle.  $\square$

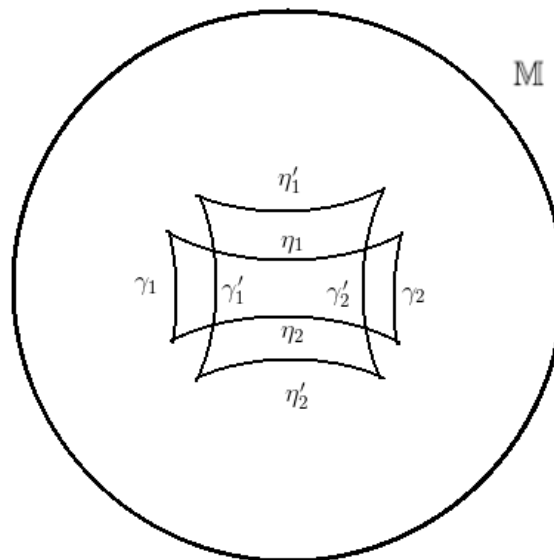


Figure 3.1: Proposition 3.2



### 3.1.1 Compact minimal annuli

Let  $\gamma_1 : [0, 1] \rightarrow \mathbb{M}$  be a parametrization of  $\bar{\gamma}_1$  with constant speed. Also let  $\{G_n^1 \subset \bar{\gamma}_1 \times [-n, n]\}_{n \in \mathbb{N}}$  be a family of smooth closed convex curves satisfying, for all  $n$ , the properties:

1.  $G_n^1$  is symmetric with respect to  $\mathbb{M} \times \{0\}$ ;
2. For some  $\epsilon \in (0, \frac{1}{2})$ , the following equalities hold:
  - $G_n^1 \cap (\mathbb{M} \times [-n + \epsilon, n - \epsilon]) = \{\gamma_1(0), \gamma_1(1)\} \times [-n + \epsilon, n - \epsilon]$ ;
  - $G_n^1 \cap (\gamma_1([\epsilon, 1 - \epsilon]) \times [-n, n]) = \gamma_1([\epsilon, 1 - \epsilon]) \times \{-n, n\}$ ;
  - $G_{n+1}^1 \cap (\mathbb{M} \times [n + 1 - \epsilon, n + 1]) = \{(x, t + 1); (x, t) \in G_n^1 \cap (\mathbb{M} \times [n - \epsilon, n])\}$ ;
3. The set  $G_n^1 \cap (\bar{\gamma}_1 \times (n - \epsilon, n))$  consists of two connected components, each one being a smooth curve smoothing the upper corners of  $\partial(\bar{\gamma}_1 \times [-n, n])$ , and those components are not tangent to vertical or horizontal directions at any point.

It is simple to construct a smooth horizontal vector field  $V$  along  $\bar{\gamma}_1$  such that  $V(i)$  is tangent to  $\eta_{i+1}$ ,  $i = 0, 1$  and, for each  $t \in [0, 1]$ , the map  $s \mapsto \exp_{\gamma_1(t)}(sV(t))$  is a nondegenerate geodesic and all of them foliate the region between the geodesics  $\eta_j$ . Extending the vector field  $V$  to  $\bar{\gamma}_1 \times \mathbb{R}$  by parallel transport, and denoting by  $F : \bar{\gamma}_1 \times \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{M} \times \mathbb{R}$  the map

$$F(\gamma_1(t), s, u) = (\exp_{\gamma_1(t)}(uV(t)), s),$$

we can define  $G_n^2$  as  $(\bar{\gamma}_2 \times \mathbb{R}) \cap F(G_n^1 \times \mathbb{R})$ . It is easily verified that the curves  $G_n^2$  satisfies similar properties as the ones already stated for  $G_n^1$ . In that situation, concerning the parametrization of  $\gamma_2$ , for each  $t \in [0, 1]$ ,  $\gamma_2(t)$  is the point  $\bar{\gamma}_2 \cap F(\{\gamma_1(t)\} \times \{0\} \times \mathbb{R})$ .

Let  $N$  be the innerwise pointing unit normal vector field along  $G_n^1$ . Define, for each  $n$ , a smooth function  $f_n : G_n^1 \rightarrow \mathbb{R}$  satisfying the following properties:

1. The exponential graph of  $f_n$  (i.e., the set  $\{\exp_x(f(x)N(x)), x \in G_n^1\}$ , and denoted by  $Exp(f_n)$ ) is a closed convex curve which is a vertical bigraph over  $\bar{\gamma}_1$ , and symmetric with respect to  $\mathbb{M} \times \{0\}$ ;
2. The set  $Exp(f_n)$  is contained in the disc determined by  $Exp(f_{n+1})$ ;
3. Concerning the sign of  $f_n$ , we have:

- $f_n \geq 0$ ;
- $f_n(x) = 0$  if  $x \in G_n^1 \cap (\mathbb{M} \times \{0\})$  or if  $x \in G_n^1 \cap (\bar{\gamma}_i \times [n - \epsilon_n, n])$ , where  $(\epsilon_n)_n$  is a strictly increasing sequence which converges to  $\epsilon$ ;

4.  $\lim_{n \rightarrow \infty} \|f_n\|_{C^n(G_n^1)} = 0$ .

We define  $\Gamma_n^1$  as the exponential graph of  $f_n$ . As in the case of the curves  $G_n^i$ , we define  $\Gamma_n^2$  as  $(\bar{\gamma}_2 \times \mathbb{R}) \cap F(\Gamma_n^1 \times \mathbb{R})$ . By definition, it is clear that, for  $i = 1, 2$ , the curve  $\Gamma_n^i$  have bounded geometry and converges smoothly to the boundary of  $\gamma_i \times \mathbb{R}$ .

**Proposition 3.3.** *Let  $\Omega$  be the bounded quadrilateral domain as before. If  $l(\gamma_1) + l(\gamma_2) > l(\eta_1) + l(\eta_2)$ , then, for sufficiently large  $n$ , there exists a minimal area annulus in  $\mathbb{M} \times \mathbb{R}$  whose boundary is  $\Gamma_n^1 \cup \Gamma_n^2$ .*

*Proof.* By the choice of  $\Gamma_n^i$ , we can construct annuli whose boundary is  $\Gamma_n^1 \cup \Gamma_n^2$  and whose area differ from  $2((l(\eta_1) + l(\eta_2))n + \text{Area}(\Omega))$  by a number bounded from above by a constant independent on  $n$ , say,  $C_1$ . Analogously, the sum of the areas of the minimal discs bounded by  $\Gamma_n^i$  differ from  $2(l(\gamma_1) + l(\gamma_2))n$  by a number bounded from below by a constant independent on  $n$ , say,  $C_2$ . It is enough, by Theorem 1 of [25], to verify that the inequality

$$2((l(\eta_1) + l(\eta_2))n + \text{Area}(\Omega)) + C_1 < 2(l(\gamma_1) + l(\gamma_2))n + C_2$$

holds when  $n$  is sufficiently large, which is obviously true, given the hypotheses.  $\square$

**Remark.** We point out that, in Proposition 3.3, we consider the ambient space to be  $\Omega \times [-n, n]$ . The notion of mean convex manifold used in [25] includes this space; the proof is a slight modification of the one shown in the reference in the case of Euclidean space (in fact, it suffices to consider the bounds for the sectional curvatures and the comparison theorems of the Hessian and Laplacian).

Denote by  $\mathcal{A}$  the set of minimal annuli whose boundary is  $\Gamma_n^1 \cup \Gamma_n^2$  and by  $\mathcal{A}^s$  the subset of  $\mathcal{A}$  consisting of the stable ones.

**Proposition 3.4.** *For sufficiently large  $n$ , there exists an element  $\Sigma_n^s$  of  $\mathcal{A}^s$  such that, if  $V$  is the open region of  $\mathbb{M}$  between  $\gamma_1$  and  $\gamma_2$ , all the elements of  $\mathcal{A}$  are contained in the closure of the bounded component of  $(\bar{V} \times \mathbb{R}) \setminus \Sigma_n^s$ .*

*Proof.* Proposition 3.3 assures that  $\mathcal{A}^s$  is nonempty. Moreover, by Theorem 5 of [26], given any element  $A$  of  $\mathcal{A}$ , we can obtain an element of  $\mathcal{A}^s$  which is contained in the closure of the unbounded component of  $(\bar{V} \times \mathbb{R}) \setminus A$ . It suffices, then, to prove the proposition for  $\mathcal{A}^s$ , instead of  $\mathcal{A}$ .

If  $A_1, A_2 \in \mathcal{A}^s$ , we say that  $A_1 \preceq A_2$  if  $A_1$  is contained in the closure of  $(\bar{V} \times \mathbb{R}) \setminus A_2$ . This defines an order relation on  $\mathcal{A}^s$ . If  $\mathcal{B}$  is a totally ordered subset of  $\mathcal{A}^s$ , we will obtain an upper bound for it. Now, if  $p$  is a point of  $V$ , define  $f : \mathcal{B} \rightarrow \mathbb{R}$  given by  $f(A) = \max\{t \in \mathbb{R}; (p, t) \in A\}$ . Clearly,  $A_1 \preceq A_2$  in  $\mathcal{B}$  if and only if  $f(A_1) \leq f(A_2)$ . This implies that, in order to find an upper bound for  $\mathcal{A}^s$ , we just need to take a countable subset (say, the image of a sequence  $(A_n)_n$  in  $\mathcal{A}^s$  such that  $(f(A_n))_n$  is a monotone increasing sequence converging to  $\sup f(\mathcal{A})$ ). Considering such a sequence, for each natural  $n$ , Theorem 5 of [26] gives a minimal surface  $B_n$  contained in the closure of the unbounded component of  $(\bar{V} \times \mathbb{R}) \setminus A_n$  with the same boundary as  $A_n$ , minimizing area among the annuli of this region. By the area-minimizing property, we have area and curvature estimates for the surfaces  $B_n$ , then it has a subsequence which converges to  $B \in \mathcal{A}^s$  (in fact, the area of  $\partial(\Omega \times [0, 1])$  is an upper bound for the areas of  $B_n$ ). It is easy to see that  $B$  is an upper bound for  $(A_n)_n$ , and by Zorn's Lemma,  $\mathcal{A}^s$  has maximal elements. If  $R_1$  and  $R_2$  are two maximal annuli on  $\mathcal{A}^s$ , we can find a stable annulus in the unbounded component of  $(\bar{V} \times \mathbb{R}) \setminus (R_1 \cup R_2)$  (again, by Theorem 5 of [26]), contradicting the maximality of  $R_1$  and  $R_2$ , so the maximal element is unique.  $\square$

We now are going to consider another annulus  $\Sigma_n^u$  having  $\Gamma_n^1 \cup \Gamma_n^2$  as boundary. If the annulus  $\Sigma_n^s$  is semi-stable, define  $\Sigma_n^u$  as  $\Sigma_n^s$ . If not, we obtain an unstable annulus by a reasoning similar to Proposition 2.2.7 of [31]. We only remark that it is possible to find a pair of curves  $(\beta_1, \beta_2) \subset (\tilde{\gamma}_1 \times \mathbb{R}) \times (\tilde{\gamma}_2 \times \mathbb{R})$  which don't span a minimal annulus. In fact, if  $(\beta_1, \beta_2) \in (\tilde{\gamma}_1 \times \mathbb{R}) \times (\tilde{\gamma}_2 \times \mathbb{R})$  lie inside a sufficiently small tubular neighborhood of a horizontal geodesic transversal to both planes, any minimal annulus spanned by this pair of curves would be contained in this neighborhood (by the Maximum Principle), and we obtain a contradiction proceeding in a similar way to the Proposition 3.2.

We finish this subsection with a simple proposition, which describes the shape of the elements of  $\mathcal{A}$ .

**Lemma 3.5.** *If  $A \in \mathcal{A}$ , then  $A$  is a bigraph over a domain contained in  $\Omega$ . Moreover,  $A$  is symmetric with respect to  $\mathbb{M} \times \{0\}$ , and those surfaces meet*

orthogonally.

*Proof.* We are going to use the Alexandrov Reflection Principle. For each  $s \in \mathbb{R}$ , define  $A_s^+ := A \cap (\mathbb{M} \times [s, \infty))$  and  $A_s^- := A \cap (\mathbb{M} \times (-\infty, s])$ . For two subsets  $S_1, S_2 \subset \Omega \times \mathbb{R}$ , we say that  $S_1$  is above  $S_2$  if, for any two points  $(x, h_1) \in S_1$  and  $(x, h_2) \in S_2$  having the same projection over  $\Omega$ , we have that  $h_1 \geq h_2$ . By the choice of  $\Gamma_n^i$  and the Boundary Maximum Principle, we have that  $A_n^+$  consists of two horizontal segments and their points do not have vertical tangent planes. So, for  $\delta > 0$  sufficiently small, the points of  $A_{n-\delta}^+$  do not have vertical tangent planes. Moreover, the set  $A_{n-\delta}^+$  is a graph over a subset of  $\Omega$ . Indeed, if it is not true, there exists a sequence  $(\delta_k)_k$  of positive numbers converging to zero such that the points  $p_k, \tilde{p}_k \in A_{n-\delta_k}^+$  have the same projection on  $\mathbb{M}$ . Then, the sequences  $(p_k)_k$  and  $(\tilde{p}_k)_k$  converge, up to a subsequence, to the same point  $p \in A_n^+$ . But, since the points of  $A_n^+$  do not have vertical tangent planes, the surface  $A$  is a graph in a neighborhood of  $p$ , and the projection over  $\mathbb{M}$  is injective in this neighborhood, a contradiction. Therefore  $A_{n-\delta}^+$  is a graph over a subset of  $\Omega$ . Denoting by  $r(A_s^+)$  (resp.  $r(A_s^-)$ ) the reflection of  $A_s^+$  (resp.  $A_s^-$ ) by  $\mathbb{M} \times \{s\}$ , we have that  $r(A_{n-\delta}^+)$  is above  $A_{n-\delta}^-$ , provided  $A_{n-2\delta}^+$  is a vertical graph over a subset of  $\Omega$ .

If  $i := \inf\{t \in [0, n], r(A_t^+) \text{ is above } A_t^- \text{ and } A_t^+ \text{ is a graph over a subset of } \Omega\}$ , we need to prove that  $i = 0$ . Since we proved that  $n - \delta$  is in the set for small  $\delta$ ,  $i$  is well-defined. Moreover, it is clear that  $r(A_i^+)$  is above  $A_i^-$ , and if we had  $i > 0$ , all the points of  $(\mathbb{M} \times \{i\}) \cap A$  would not have vertical tangent planes. Otherwise, if this were the case for some point in  $(\mathbb{M} \times \{i\}) \cap A$ , this point should be in  $\text{Int}(A)$ , and, by the Maximum Principle,  $r(A_i^+) = A_i^-$ , which is not true. So, for small  $\delta' > 0$ , the set  $A_{i-\delta'}^+$  has no points with vertical tangent planes, then it is a graph over a subset of  $\Omega$ . In fact, if this were not true, as in the previous paragraph, we could take sequences  $(p_k)_k$  and  $(\tilde{p}_k)_k$  converging to  $p \in A_i^+$  and  $\tilde{p} \in A \cap (\mathbb{M} \times \{i\})$ , respectively, such that  $p_k$  and  $\tilde{p}_k$  have the same projection over  $\mathbb{M}$ , and so do the points  $p$  and  $\tilde{p}$ . Both points cannot be equal, otherwise it would contradict the fact that the points of  $A \cap (\mathbb{M} \times \{i\})$  do not have vertical tangent planes. Therefore, we can find disjoint neighborhoods  $V$  and  $\tilde{V}$  in  $A$  containing  $p$  and  $\tilde{p}$ , respectively, such that both project bijectively onto the same open set of  $\mathbb{M}$ . In that case, it is possible to find two points in  $A_i^+$  which project over the same point in  $\mathbb{M}$ , a contradiction. By a similar reasoning and the Interior Maximum Principle, the set  $r(A_{i-\delta'}^+)$  is above  $A_{i-\delta'}^-$ , so  $i$  is not the

infimum if it is positive. So  $i = 0$ ,  $r(A_0^+)$  is above  $A_0^-$  and  $A \cap (\mathbb{M} \times (0, \infty))$  is a vertical graph over a subdomain of  $\Omega$ , both properties being true because they hold for a sequence of positive numbers converging to zero.

Proceeding analogously, we have that  $r(A_0^-)$  is above  $A_0^+$ , and consequently  $r(A_0^+) = A_0^-$ , and the symmetry is proved. For the orthogonality part, notice that, by the symmetry of  $A$  with respect to  $\mathbb{M} \times \{0\}$ , the tangent planes of points in  $A \cap (\mathbb{M} \times \{0\})$  are invariant by reflection on  $\mathbb{M} \times \{0\}$ , so those planes must be vertical or horizontal. If  $A$  and  $\mathbb{M} \times \{0\}$  intersect transversally at  $q$ , the tangent plane at  $q$  is vertical, and the surfaces intersect orthogonally at  $q$ . If the surfaces are tangent at  $q$ , on one hand, the tangent plane of  $A$  at  $q$  is horizontal. On the other hand, it is true that  $q$  is the limit of a sequence  $(q_n)_n$  in  $A \cap (\mathbb{M} \times \{0\})$  of points where the intersection is transverse, so the tangent plane of  $A$  at  $q$  is vertical, a contradiction. So the orthogonality is proved.  $\square$

From now on, we are going to denote by  $\Sigma_n$  a minimal annulus in  $\mathbb{M} \times \mathbb{R}$  whose boundary is  $\Gamma_n^1 \cup \Gamma_n^2$ , for all  $n$ , unless otherwise stated.

### 3.1.2 Foliations

In this section, we study the intersection of  $\Sigma_n$  with a leaf of  $\mathcal{F}$  different from the planes  $\tilde{\gamma}_i \times \mathbb{R}$ . We are going to state some results concerning the topology of the intersection of both surfaces, as well as estimates on the number of points tangent to one of the foliations and the number of the leaves that are tangent to the surface. There are equivalent lemmas and propositions in [31] but, although the reference [31] only treats the case when the ambient manifold is  $\widetilde{PSL}_2(\mathbb{R}, \tau)$ , the proofs will mostly follow the same reasoning. However, remarks will be added when necessary.

We highlight here three classes of minimal foliations of domains of  $\mathbb{M} \times \mathbb{R}$ :

1. The foliation  $\mathcal{F}^h$  given by the slices  $\mathbb{M} \times \{t\}$ , for each  $t \in \mathbb{R}$ ;
2. Given a minimal graph of a function  $w$  (denoted by  $Gr(w)$ ) over a domain  $\Lambda$  of  $\mathbb{M}$ , the family  $\{T_h(Gr(w))\}_{h \in \mathbb{R}}$  of its vertical translations defines a foliation of  $\Lambda \times \mathbb{R}$ . For our purposes, we are going to suppose that  $\Lambda$  is the ideal convex quadrilateral whose sides are  $\tilde{\gamma}_1, \tilde{\gamma}_2, \tilde{\eta}_1$  and  $\tilde{\eta}_2$ . Besides,  $w$  assumes the smooth value  $f$  on  $\tilde{\gamma}_1 \cup \tilde{\gamma}_2$  and a constant value on  $\tilde{\eta}_1 \cup \tilde{\eta}_2$ . Moreover, we suppose that, for each  $n$ , there are two real numbers  $a < b$  depending on  $n$  such that  $T_h(Gr(f))$  doesn't

intersect  $\Gamma_i^n$  if  $h \notin [a, b]$ ,  $T_h(Gr(f)) \cap \Gamma_i^n$  is nonempty and connected if  $h = a, b$  and, for  $h \in (a, b)$ , the intersection of both curves is transverse and consists of two points in each component. We will refer to this foliation as  $\mathcal{F}^{Gr(w)}$ .

3. Fix a horizontal geodesic  $\alpha$  and let  $\mathcal{F}^\alpha$  be the foliation given by the planes of the form  $\beta \times \mathbb{R}$ , where  $\beta$  varies through the horizontal geodesics which are perpendicular to  $\alpha$ . We call  $\alpha$  the *defining geodesic* for  $\mathcal{F}^\alpha$ . For simplicity, we denote by  $\mathcal{F}^\gamma$  and  $\mathcal{F}^\eta$  the foliations whose defining geodesics are the perpendicular to the  $\gamma_i$ -curves and the perpendicular to the  $\eta_i$ -curves, respectively,  $i = 1, 2$ .

We denote by  $\mathcal{F}$  a foliation among  $\mathcal{F}^h$ ,  $\mathcal{F}^{Gr(w)}$ ,  $\mathcal{F}^\gamma$ ,  $\mathcal{F}^\eta$  or  $\mathcal{F}^{\tilde{\eta}^i}$ ,  $i = 1, 2$ .

An immediate conclusion from the definition of  $\mathcal{F}$  is that the intersection of a leaf  $\Phi$  of  $\mathcal{F}$  with  $\Gamma_n^i$  is empty or is composed of two points or is a connected subset of  $\Gamma_n^i$ . In the case where the intersection is given by two points,  $\Phi$  intersects  $\Sigma_n$  and  $\Gamma_n^i$  transversely. We will refer to that as property (B), as in [31].

**Lemma 3.6.** *Let  $\Phi$  be a leaf of the foliation  $\mathcal{F}$  such that  $\omega := \Phi \cap \Sigma_n \neq \emptyset$  and  $\mathcal{T}$  the set of points in  $\Sigma_n$  which are tangent to  $\Phi$ .*

1.  $\omega$  contains at most one cycle, and it must be nontrivial.
2. When  $\omega$  does not have cycles:
  - (a) If  $Int(\Sigma_n) \cap \mathcal{T}$  is non-empty, it is one point. Furthermore,  $\Phi$  meets  $\partial\Sigma_n$  at four distinct points and each component of  $\omega \setminus (\mathcal{T} \cup \partial\Sigma_n)$  is diffeomorphic to  $\mathbb{R}$  and joins  $\mathcal{T} \cap Int(\Sigma_n)$  with a point of  $\Phi \cap \partial\Sigma_n$ .
  - (b) If  $Int(\Sigma_n) \cap \mathcal{T}$  is empty, each component of  $\omega \cap Int(\Sigma_n)$  is diffeomorphic to  $\mathbb{R}$  and joins two distinct components of  $\Phi \cap \partial\Sigma_n$ .
3. When  $\omega$  has exactly one cycle  $C$ , the curve separates  $\Sigma_n$  in two components. Denote by  $\mathcal{A}$  the closure of a component, thus  $\partial\mathcal{A} \subset C \cup \Gamma$ , with  $\Gamma = \Gamma_n^1$  or  $\Gamma_n^2$ .
  - (a) If  $C \cap \Gamma = \emptyset$ , then  $\mathcal{A}$  is an annulus with no horizontal points in its interior. Moreover, each component of  $\omega \cap Int(\mathcal{A})$  is diffeomorphic to  $\mathbb{R}$  and joins two distinct components of  $C \cup (\Phi \cap \Gamma)$ .
  - (b) If  $C \cap \Gamma \neq \emptyset$ , then  $\Phi \cap \Gamma$  is connected. Moreover,  $Int(\mathcal{A})$  is a disc and  $\omega \cap Int(\mathcal{A}) = \emptyset$ .

*Proof.* We are going to prove only the assertion 2(a). All the others follow by the same ideas of the proof of Lemma 2.2.9 in [31].

In fact,  $\mathcal{T} \cap \Sigma_n$  consists of isolated points, and since this set is compact, it must be finite. So, consider the combinatorial graph  $G$  whose set of vertices  $V$  is given by  $\mathcal{T} \cap \text{Int}(\Sigma_n)$  and, for each component of  $\omega \setminus (\mathcal{T} \cup \partial \Sigma_n)$  connecting  $p_1, p_2 \in \mathcal{T} \cap \text{Int}(\Sigma_n)$ , we have an edge connecting those vertices.

We know that  $\Phi \cap \partial \Sigma_n$  has at most four components, which means that if there are  $2g$  arcs coming out of a point  $p \in \mathcal{T} \cap \text{Int}(\Sigma_n)$ , the degree of vertex  $p$  in  $G$  is at least  $2g - 4$ . So, if a vertex has degree zero,  $\Phi \cap \partial \Sigma_n$  has four components, and by property (B), all the other vertices must have degree  $2g \geq 4$ , because they can not be connected to the boundary. If a vertex has degree 1, then for some  $i = 1, 2$ , the set  $\Gamma_n^i \cap \Phi$  consists of two points, and again by property (B), all the other vertices must have degree  $2g - 1 \geq 3$ . If none of those cases occur, all the vertices have degree at least 2. Then, by an elementary result of graph theory, the graph has a cycle in the three cases, so  $\omega$  has a cycle, a contradiction. Then  $\mathcal{T} \cap \text{Int}(\Sigma_n)$  has exactly one point. Furthermore, by the absence of cycles in  $\omega$ , we know that the components of  $\omega \setminus (\mathcal{T} \cup \partial \Sigma_n)$  join the point in  $\mathcal{T} \cap \text{Int}(\Sigma_n)$  and the components of  $\Phi \cap \partial \Sigma_n$ . Therefore, since the set  $\omega \setminus (\mathcal{T} \cup \partial \Sigma_n)$  has at least four components and  $\Phi \cap \partial \Sigma_n$  has at most four components, we have that  $\Phi \cap \partial \Sigma_n$  has precisely four points.  $\square$

This lemma allows us to describe precisely the possible intersections between  $\Phi$  and  $\Sigma_n$ . This analysis is carefully done in [31], after Corollary 2.2.10.

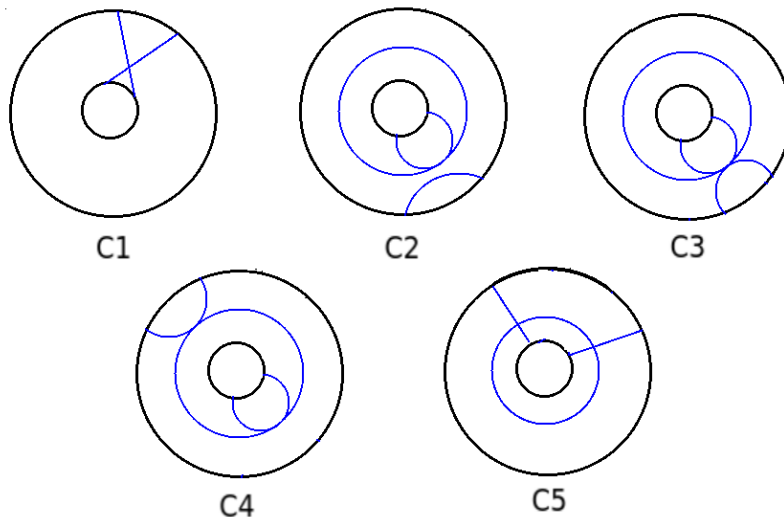


Figure 3.2: Intersections of  $\Phi$  and  $\Sigma_n$  (1)

We now give a few definitions. For each  $t \in (n, n)$ , let  $\omega(t)$  be the intersection of  $\Sigma_n$  with the plane  $\{z = t\}$ . A point  $p \in \Sigma_n$  is called a *horizontal point* if  $\Sigma_n$  is tangent to the plane  $\{z = z(p)\}$  at  $p$ . The set of horizontal points is denoted by  $\mathcal{H}$  and  $\mathcal{H}(t) := \mathcal{H} \cap \omega(t)$ . Denote by  $h_n^+$  (resp.  $h_n^-$ ) the maximum value (resp. the minimum value) of the restriction  $z : \mathcal{H} \rightarrow \mathbb{R}$  of the height function. Although we have the relation  $h_n^+ = -h_n^-$ , the definition of both quantities is useful when we have curves in more general positions. For each  $t \in (n, n)$ , define  $\Sigma_n^+(t) = \Sigma_n \cap \{z \geq t\}$  and  $\Sigma_n^-(t) = \Sigma_n \cap \{z \leq t\}$ .

**Proposition 3.7.** *The following properties for  $\Sigma_n$  holds:*

1.  $\Sigma_n$  has exactly two horizontal points, and they are symmetric with respect to  $\mathbb{M} \times \{0\}$ .
2. If  $t > h_n^+$  (resp.  $t < h_n^-$ ), then  $\Sigma_n^+(t)$  (resp.  $\Sigma_n^-(t)$ ) consists of two simply connected components. Then,  $\omega(t)$  consists of two components, both diffeomorphic to  $[0, 1]$  and joining two points in a same component of  $\partial\Sigma_n$ .
3. For each  $t \in (h_n^-, h_n^+)$  (in particular, for  $t = 0$ ), the sets  $\Sigma_n^+(t)$  and  $\Sigma_n^-(t)$  are simply connected. Moreover,  $\omega(t)$  consists of two components, both diffeomorphic to  $[0, 1]$  and joining two points in two distinct components of  $\partial\Sigma_n$ .
4. The set  $\Sigma_n \cap \{h_n^- < z < h_n^+\}$  consists of two simply connected components.

*Proof.* Clearly,  $\omega(\pm n)$  is composed of two horizontal segments, and by the Boundary Maximum Principle, the intersection between  $\Sigma_n$  and  $\{z = \pm n\}$  is transverse. If  $t \in (-n, n)$ , it is clear that  $\omega(t) \cap \partial\Sigma_n$  is composed of four points. Besides, by Lemma 3.5, there are no horizontal points in  $\omega(0)$ , so if  $\Sigma_n$  has a finite number of horizontal points, this number must have even parity.

By Morse theory, it is known that, for a sufficiently small  $\epsilon > 0$ , the sets  $\Sigma_n^+(n - \epsilon)$  and  $\Sigma_n^-(-n + \epsilon)$  consist of two simply connected components. Besides, if  $-n < t < s < n$  are such that there is no horizontal point whose height is in the interval  $[t, s]$ , then  $\Sigma_n^-(t)$  and  $\Sigma_n^+(t)$  are diffeomorphic to  $\Sigma_n^-(s)$  and  $\Sigma_n^+(s)$ , respectively. So, for  $t \in (h_n^+, n)$  (respectively  $t \in (-n, h_n^-)$ ), the set  $\Sigma_n^+(t)$  (resp.  $\Sigma_n^-(t)$ ) is given by two simply connected components, and  $\omega(t)$  is formed by two arcs which connect two points of the same component



of  $\partial\Sigma_n$ . We can conclude that  $\omega(h_n^+)$  must be of one of the forms C1, ..., C5 (see Figure 3.2) using the previous lemma (the details can be found in [31]). We are going to analyse those cases.

**Case 1.**  $\omega(h_n^+)$  is of the type C1. In that case, by symmetry, the set  $\omega(h_n^-)$  must be of the type C1. If  $t \in (h_n^-, h_n^+)$ , then  $\{z = t\}$  does not intersect  $\Sigma_n$  tangentially (at any point), otherwise it would separate the sets  $\omega(h_n^-)$  and  $\omega(h_n^+)$  in  $\Sigma_n$  and it would be of type C1, which leads to a contradiction. Then  $\omega(t)$  consists of two disjoint arcs, both joining different components of  $\partial\Sigma_n$ , for  $t \in (h_n^-, h_n^+)$ .

**Case 2.**  $\omega(h_n^+)$  is of the type C2. Using symmetry again, we have that  $\omega(h_n^-)$  must be of type C2. If  $t \in (h_n^-, h_n^+)$ , then  $\{z = t\}$  does not intersect  $\Sigma_n$  tangentially, otherwise it would intersect the three components of  $\Sigma_n \cap \{h_n^- < z < h_n^+\}$  (two topological discs and one topological annulus) without crossing its boundaries, but none of the configurations C1, ..., C5 would satisfy this property. This gives us, for  $t \in (h_n^-, h_n^+)$ , that  $\omega(t)$  consists of three components, two of them being diffeomorphic to  $[0, 1]$  and connecting two points of the same component of  $\partial\Sigma_n$ , and the other being a nontrivial cycle. Therefore, one of the components of  $\Sigma_n^+(0)$  is a topological disc  $D$  whose boundary is composed of  $\Gamma_n^j \cap \mathbb{M} \times [0, +\infty)$ , for some  $j \in \{1, 2\}$ , and a component of  $\omega(0)$  which is not the closed curve. Notice now that the union of  $D$  with its reflection by  $\mathbb{M} \times \{0\}$  is a minimal disc contained in  $\Sigma_n$  and spanned by  $\Gamma_n^j$ , a contradiction. So Case 2 is not possible.

**Case 3.**  $\omega(h_n^+)$  is of the type C3, C4 or C5. In that case,  $\Sigma_n^-(h_n^+) \setminus \omega(h_n^+)$  is formed by two simply connected components  $\mathcal{A}_1$  and  $\mathcal{A}_2$ , and for each  $i = 1, 2$ , there exists  $j \in \{1, 2\}$  satisfying  $\partial\mathcal{A}_i \cap \Gamma_n^j = \emptyset$ . This leads to the conclusion that  $h_n^- = h_n^+$ . Since this equality can not happen, the mentioned patterns of intersection can not occur.

Now we will prove the result. Indeed, the first two items follow from the above analysis. For the others, it is enough to observe that only **Case 1** can occur, and the conclusion follows immediately from what was exposed above.  $\square$

**Proposition 3.8.** *The annulus  $\Sigma_n$  is not tangent to any leaf of  $\mathcal{F}^\gamma$ .*

*Proof.* Let  $\Phi$  be a leaf of the mentioned foliation. Suppose the intersection  $\Phi \cap \Sigma_n$  is non-empty. If  $\Phi = \tilde{\gamma}^i \times \mathbb{R}$ , for  $i = 1, 2$ , the intersection is transverse by the Boundary Maximum Principle. If not,  $\Phi \cap \partial\Sigma_n = \emptyset$ . By the Lemma above,  $\Phi \cap \text{Int}(\Sigma_n)$  has to contain a cycle, and only this curve. Thus,  $\Phi$  is

not tangent to  $\Sigma_n$ . □

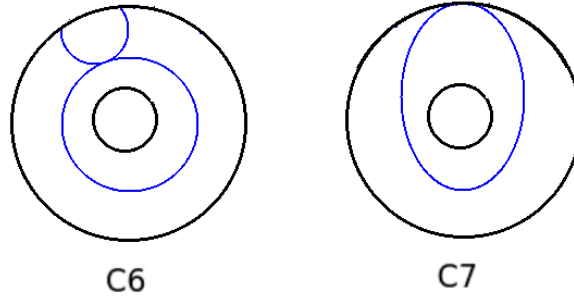


Figure 3.3: Intersections of  $\Phi$  and  $\Sigma_n$  (2)

**Proposition 3.9.** *The minimal annulus  $\Sigma_n$  is tangent to the foliation  $\mathcal{F}^n$  at most at two points.*

*Proof.* Let  $\Phi$  be a leaf of the mentioned foliation. Suppose the intersection  $\Phi \cap \Sigma_n$  is non-empty. If  $\Phi = \alpha^i \times \mathbb{R}$ , where  $\alpha^i$  is the complete geodesic containing  $\eta_i$ ,  $i = 1, 2$ , the Boundary Maximum Principle guarantees that the intersection is transverse. If that is not the case, we have that  $\Phi$  intersects  $\partial\Sigma_n$  in four points. Then, by the lemma above, the intersection  $\Phi \cap \partial\Sigma_n$  is given by one of the pictures of the Figure 1.

If  $\Phi \cap \partial\Sigma_n$  is of the type C3, C4 or C5, given another leaf  $\Phi'$  of the foliation which intersects  $\Sigma_n$ , the intersection of this leaf is transverse. In fact, if it were tangent at some point, it would be of one of the types shown in Figure 1. It can not be of type C1, because the curves of  $\Phi' \cap \partial\Sigma_n$  would connect the two components of the boundary and then they would cross the cycle of  $\Phi \cap \partial\Sigma_n$ . Besides, it can not be any of the other types, because the cycle of  $\Phi' \cap \partial\Sigma_n$  would intersect one of the curves connecting  $\partial\Sigma_n$  and the cycle of  $\Phi \cap \partial\Sigma_n$ , and we obtain a contradiction. Therefore, when one of the tangent leaves have this pattern of tangency, the foliation is tangent to  $\Sigma_n$  in a set of two points, at most.

If  $\Phi \cap \partial\Sigma_n$  is of the type C1 or C2, we can not have two leaves  $\Phi_1$  and  $\Phi_2$  of the foliation such that  $\Phi$ ,  $\Phi_1$  and  $\Phi_2$  are pairwise different and tangent to  $\Sigma_n$ . Indeed, assuming the opposite, if  $\Phi \cap \partial\Sigma_n$  is of type C1,  $\Phi_i \cap \partial\Sigma_n$  is of type C1, because the segments of  $\Phi \cap \partial\Sigma_n$  would intersect any nontrivial cycle in  $\Sigma_n$ . We then observe that one of the leaves (say,  $\Phi$ ) separates  $\mathbb{M} \times \mathbb{R}$  in two components, each of them containing only one of the other leaves,

and consequently  $\Phi$  would separate the intersections  $\Phi_i \cap \partial\Sigma_n$ , which cannot happen. By a similar analysis,  $\Phi \cap \partial\Sigma_n$  is of type C2, the other intersections will also be of the same type. In that case, there would be a leaf (say,  $\Phi$ ) whose cycle of the intersection lies in the annulus bounded by the cycles of  $\Phi_i \cap \partial\Sigma_n$ . But there is an arc in  $\Phi \cap \partial\Sigma_n$  which connects the cycle to a component of  $\partial\Sigma_n$  (see Figure 1), but it would cross the cycle of one of the other intersections, a contradiction. Then, in this situation,  $\Sigma_n$  is tangent to  $\mathcal{F}^\eta$  in at most two points.  $\square$

**Proposition 3.10.** *The annulus  $\Sigma_n$  is tangent to the foliation  $\mathcal{F}^{Gr(w)}$  at most at two points, for all  $n$ .*

*Proof.* It is enough to use the hypotheses stated in the definition of  $\mathcal{F}^{Gr(w)}$  and proceed in a similar way of the previous proposition.  $\square$

**Proposition 3.11.** *The surface  $\Sigma_n$  is tangent to the foliation  $\mathcal{F}^{\tilde{\eta}^i}$  at most at two points, for  $i = 1, 2$  and for all  $n$ .*

*Proof.* As a consequence of Lemma 3.6, the possible configurations of  $\omega(t)$  containing a tangent point are shown in Figure 3.3. Precisely, we have one tangent point in both situations. Taking two leaves  $\Phi_1, \Phi_2$  of  $\mathcal{F}^{\tilde{\eta}^i}$  which are tangent to  $\Sigma_n$ , we can notice that the set  $Int(\Sigma_n) \setminus (\Phi_1 \cup \Phi_2)$  has one component which is a topological annulus whose boundary does not intersect  $\partial\Sigma_n \setminus (\Phi_1 \cup \Phi_2)$ . Since every leaf  $\Phi$  which intersects  $\Sigma_n$  tangentially must have a non-trivial cycle in the intersection and also intersect the boundary of  $\Sigma_n$ , we obtain a contradiction. So  $\Phi_1$  and  $\Phi_2$  are the only tangent leaves, and the number of the tangent points is at most two in these cases.  $\square$

### 3.1.3 Curvature estimates

For any  $n$  sufficiently large, denote by  $\Sigma_n$  a minimal annulus whose boundary is  $\Gamma_n^1 \cup \Gamma_n^2$ . The main goal of this subsection is the following proposition:

**Proposition 3.12.** *The sequence  $(\sup_{x \in \Sigma_n} \|A_n(x)\|)_{n \in \mathbb{N}}$  is bounded.*

*Proof.* If this were not true, we have that, defining  $\lambda_n$  as  $\sup_{x \in \Sigma_n} \|A_n(x)\|$ , then  $\lim_{n \rightarrow \infty} \lambda_n = \infty$ . Denote by  $p_n$  a point in  $\Sigma_n$  satisfying  $\|A_n(p_n)\| = \lambda_n$ . We then apply a blow-up process, which will be explained in the following.

Let  $\lambda_n : T_{p_n}(\mathbb{M} \times \mathbb{R}) \rightarrow T_{p_n}(\mathbb{M} \times \mathbb{R})$  be scalar multiplication by  $\lambda_n$  in  $T_{p_n}(\mathbb{M} \times \mathbb{R})$ . Define  $U_n$  as the space  $T_{p_n}(\mathbb{M} \times \mathbb{R})$  endowed with the metric

$(\exp_{p_n} \circ \lambda_n)^*(g + dt^2)$  (for simplicity, we will denote the map  $\exp_{p_n} \circ \lambda_n$  by  $\phi_n$ ). Since the curvature of  $\mathbb{M}$  is pinched between two constants, the sequence of Riemannian manifolds  $(U_n)_n$  converges smoothly to the space  $\mathbb{R}^3$  with the Euclidean metric. We also define  $\tilde{\Sigma}_n$  as  $\phi_n^{-1}(\Sigma_n) \subset U_n$ . Clearly, the surfaces  $\tilde{\Sigma}_n$  are minimal in  $U_n$ .

**Remark.** Taking one of the foliations  $\mathcal{F}^h$ ,  $\mathcal{F}^{Gr(w)}$ ,  $\mathcal{F}^\gamma$ ,  $\mathcal{F}^\eta$  or  $\mathcal{F}^{\tilde{\eta}_i}$ ,  $i = 1, 2$  (see Subsection 3.1.2 for the notation) and denoting it by  $\mathcal{F}$ , we see that  $\mathcal{F}_n := (\phi_n)^*(\mathcal{F})$  is a foliation of  $U_n$  by minimal surfaces. Since the curvature of the leaves of  $\mathcal{F}$  is bounded, we have that, up to a subsequence, the sequence  $(\mathcal{F}_n)_n$  converges to a foliation  $\mathcal{F}_\infty$  in  $\mathbb{R}^3$  whose leaves are Euclidean planes.

**Claim.** *There exists a subsequence  $(\tilde{\Sigma}_k)_k$  of the sequence  $(\tilde{\Sigma}_n)_n$  and a minimal surface  $\tilde{\Sigma}_\infty$  in  $\mathbb{R}^3$  satisfying the following properties:*

1.  $\tilde{\Sigma}_\infty$  is embedded in  $\mathbb{R}^3$ ;
2.  $\tilde{\Sigma}_\infty$  is contained in the accumulation set of the subsequence;
3.  $O \in \tilde{\Sigma}_\infty$  and  $\|A_{\tilde{\Sigma}_\infty}\|(O) = \lim_{k \rightarrow \infty} \|A_{\tilde{\Sigma}_k}\|(O) = 1$ ;
4. If the boundary of  $\tilde{\Sigma}_\infty$  is nonempty, it is a straight line;
5. The surface  $\tilde{\Sigma}_\infty$  is complete;
6. The surface  $\tilde{\Sigma}_\infty$  has finite total curvature.

*Proof.* The proofs of the first five items follow the same ideas of Lemma 2.2.21 of [31]. For the last one, we denote by  $\hat{\Sigma}_\infty$  the union of  $\tilde{\Sigma}_\infty$  with its image by the reflection through the straight line which is the boundary of  $\tilde{\Sigma}_\infty$  when the boundary of  $\tilde{\Sigma}_\infty$  is nonempty, and  $\tilde{\Sigma}_\infty$  otherwise. Clearly,  $\hat{\Sigma}_\infty$  is a minimal surface of  $\mathbb{R}^3$  without boundary. It is enough to prove that the Gauss map of  $\hat{\Sigma}_\infty$  takes on five different values a finite number of times, because the surface  $\hat{\Sigma}_\infty$  will have finite total curvature in this case, by the Mo-Osserman's theorem (see [27] for the theorem).

We divide the proof in two cases:

**Case 1.** Suppose  $\tilde{\Sigma}_\infty$  has no boundary. If  $\mathcal{F}$  is one of the foliations  $\mathcal{F}^h$ ,  $\mathcal{F}^\gamma$  or  $\mathcal{F}^\eta$  of  $\mathbb{M} \times \mathbb{R}$ , we know that  $\Sigma_n$  is tangent to  $\mathcal{F}$  at most two points, and since  $\phi_n$  preserves angles,  $\mathcal{F}_n := (\phi_n)^*(\mathcal{F})$  is tangent to  $\tilde{\Sigma}_n$  at most two points.

By the above remark, the sequence  $(\mathcal{F}_n)_n$  converges to a foliation  $\mathcal{F}_\infty$  of  $\mathbb{R}^3$  by Euclidean planes, up to a subsequence, and by Lemma 2.2.20 of

[31],  $\mathcal{F}_\infty$  is tangent to  $\tilde{\Sigma}_\infty$  at most two points. It is easy to see that the angles between the leaves of the foliations  $\mathcal{F}^h$ ,  $\mathcal{F}^\gamma$  and  $\mathcal{F}^\eta$  are bounded away from 0 and  $\pi$  at points of  $\Omega \times \mathbb{R}$ , so the limit foliations defined by them are nonparallel. This means that there are 6 values on  $\mathbb{S}^2$  whose inverse image by the Gauss map of  $\tilde{\Sigma}_n$  has a finite number of elements, so  $\tilde{\Sigma}_n$  has finite total curvature.

**Case 2.** Suppose  $\tilde{\Sigma}_\infty$  has nonempty boundary. In that case, the set  $\partial\tilde{\Sigma}_\infty$  is a straight line  $L$ . Moreover, we have that  $(\lambda_n d_{\mathbb{M} \times \mathbb{R}}(p_n, \partial\Sigma_n))_n$  is bounded from above (at least for a subsequence). Then, up to a subsequence, the sequence  $(\check{p}_n)_n$  converges to  $p \in \bar{\gamma}_1 \cup \bar{\gamma}_2$  and  $(\partial\check{\Sigma}_n)_n$  converges to a curve  $\Gamma \subset \mathbb{M} \times \mathbb{R}$  (since the elements of  $(\partial\check{\Sigma}_n)_n$  have bounded geometry and they accumulate around  $p$ ). Let us assume that  $p$  is contained in  $\bar{\gamma}_1$ . Furthermore, for each  $n$ , we can choose  $q_n \in \partial\check{\Sigma}_n$  such that  $d_{\mathbb{M} \times \mathbb{R}}(\check{p}_n, q_n) = d_{\mathbb{M} \times \mathbb{R}}(\check{p}_n, \partial\check{\Sigma}_n)$  and, in this case,  $(q_n)_n$  converges to  $p$ . It is true that  $((\phi_n)^{-1}(q_n))_n$  converges to a point  $\tilde{p} \in L$ , since  $d_{U_n}(0, \partial\tilde{\Sigma}_n) = \lambda_n d_{\mathbb{M} \times \mathbb{R}}(p_n, \partial\Sigma_n)$ .

We consider three foliations  $\mathcal{F}_1$ ,  $\mathcal{F}_2$  and  $\mathcal{F}_3$  in  $\mathbb{M} \times \mathbb{R}$  in the following way. If the tangent space  $T_p\Gamma$  is vertical, define  $\mathcal{F}_1 := \mathcal{F}^h$ ,  $\mathcal{F}_2 := \mathcal{F}^\gamma$  and  $\mathcal{F}_3 := \mathcal{F}^\eta$ . If not, consider a function  $f : \tilde{\gamma}_1 \cup \tilde{\gamma}_2 \rightarrow \mathbb{R}$  such that the graph of  $f$  is tangent to  $\Gamma$  at  $p$ . For each  $i = 1, 2, 3$ , define  $u_i : \tilde{\Omega} \rightarrow \mathbb{R}$  as a function such that  $Gr(u_i)$  is a minimal graph over  $\tilde{\Omega}$  and  $u_i = f$  along  $\tilde{\gamma}_1 \cup \tilde{\gamma}_2$  and  $u_i = i$  along  $\tilde{\eta}_1 \cup \tilde{\eta}_2$ . We define  $\mathcal{F}_i$  to be the foliation  $\mathcal{F}^{Gr(u_i)}$  of  $\tilde{\Omega} \times \mathbb{R}$ . We also assume  $f$  satisfies the properties stated in the definition of  $\mathcal{F}^{Gr(u_i)}$  (recall Subsection 3.1.2). By Maximum Principle, we have that  $u_1 < u_2 < u_3$  in  $\Omega$  and the tangent planes at  $p$  of  $Gr(u_i)$  are distinct.

Regardless the position of  $T_p\Gamma$  in  $T_p(\mathbb{M} \times \mathbb{R})$ , we have that the curvature of the leaves of  $\mathcal{F}_i$  are uniformly bounded, for  $i = 1, 2, 3$ . Moreover, when  $T_p\Gamma$  is vertical, the sequence of foliations  $((\phi_n)^*(\mathcal{F}_i))_n$  converge to a foliation of  $\mathbb{R}^3$  by Euclidean planes, and when  $T_p\Gamma$  is not vertical, the sequence of foliations  $((\phi_n)^*(\mathcal{F}_i))_n$  converge to a foliation of the half-space of  $\mathbb{R}^3$  determined by the limit of  $(\Lambda_i^n := (\phi_n)^*(\tilde{\gamma}_1 \times \mathbb{R}))_n$ , and all of its leaves are Euclidean half-planes. In both cases, we will call by  $\tilde{\mathcal{F}}_i$  the limit foliation induced by  $(\mathcal{F}_i^n)_n$ ; moreover, if  $T_p\Gamma$  is not vertical, we will call by  $\hat{\mathcal{F}}_i$  the foliation obtained by reflection along the boundary of the foliated half-space, and if  $T_p\Gamma$  is vertical, we make  $\hat{\mathcal{F}}_i := \tilde{\mathcal{F}}_i$ . If  $\angle_r(A, B)$  is the angle at  $r$  between the curve  $A$  and the leaf of the foliation  $B$  passing through  $r$ , we know that

$$\angle_{\tilde{p}}(L, \tilde{\mathcal{F}}_i) = \lim_n \angle_{\phi_n^{-1}(q_n)}(\partial\tilde{\Sigma}_n, \mathcal{F}_i^n) = \lim_n \angle_{q_n}(\partial\check{\Sigma}_n, \mathcal{F}_i) = \angle_p(\Gamma, \mathcal{F}_i),$$

then, the limit foliations we obtain are either parallel or perpendicular to  $L$ , and consequently they are invariant by the symmetry about that line.

We now prove that  $\tilde{\Sigma}_\infty$  is not tangent to any foliation  $\tilde{\mathcal{F}}_i$  on  $L$ . Suppose that  $T_p\Gamma$  is not vertical. Then, if  $\tilde{\Sigma}_\infty$  is tangent to  $\tilde{\mathcal{F}}_i$  on  $L$ , let  $\Lambda$  be the leaf containing  $L$  in its boundary. We can suitably choose  $f$  so that its graph is tangent to  $\partial\tilde{\Sigma}_n$  at  $q_n$ , for large  $n$ . Clearly,  $\Lambda$  must be the limit of a sequence of leaves  $\Lambda_n \in \mathcal{F}_i^n$ ,  $\partial\Lambda_n$  tangent to  $\partial\tilde{\Sigma}_n$  at  $q_n$  for each  $n$  (up to a subsequence). But, for all  $n$ , we have that  $\tilde{\Sigma}_\infty$  is in one side of  $\Lambda_n$ , so  $\tilde{\Sigma}_\infty$  is in one side of  $\Lambda$ . The Maximum Principle would imply that  $\tilde{\Sigma}_\infty = \Lambda$ , a contradiction to item 3 of Claim 3.1.3. The case when  $T_p\Gamma$  is vertical is analogous; see the proof of Assertion 2.2.1 of [31] for the ideas.

As in Case 1, we have that  $\tilde{\Sigma}_\infty$  is tangent to the foliation  $\tilde{\mathcal{F}}_i$  at most at two points. Then  $\hat{\Sigma}_\infty$  is tangent to  $\hat{\mathcal{F}}_i$  at most at 4 points. Furthermore, the three foliations  $\hat{\mathcal{F}}_i$  are non-parallel, so there are 6 values on  $\mathbb{S}^2$  whose inverse image by the Gauss map of  $\hat{\Sigma}_n$  has a finite number of elements, so  $\hat{\Sigma}_n$  has finite total curvature.  $\square$

**Claim.** *The minimal surface  $\tilde{\Sigma}_\infty$  has empty boundary.*

*Proof.* If this is not the case, we have  $\partial\tilde{\Sigma}_\infty$  is a straight line  $L$ . Moreover,  $\partial\tilde{\Sigma}_\infty$  is contained in a region  $\Upsilon$  bounded by two planes which intersect along  $L$  forming an angle smaller than  $\pi$ . Then we reflect  $\tilde{\Sigma}_\infty$  and  $\Upsilon$  along  $L$  and we call the union of the set with its reflection by  $\hat{\Sigma}_\infty$  and  $\hat{\Upsilon}$ . By Claim 3.1.3, the minimal surface  $\hat{\Sigma}_\infty$  is complete, embedded and has finite total curvature. By [Sc1], each end of  $\partial\tilde{\Sigma}_\infty$  is asymptotic to a plane or a catenoid. If all of its ends are planar, the planes must contain  $L$ , there is a unique tangent plane to all the ends of  $\partial\tilde{\Sigma}_\infty$  (Theorem 6 of [6]), and, by the Half-space theorem, it should be a flat plane, a contradiction, because  $\|A_{\tilde{\Sigma}_\infty}\|(O) = 1$ . So, there must be a catenoidal end. Since a catenoid can not be contained in  $\hat{\Upsilon}$ , we obtain a contradiction, because  $\tilde{\Sigma}_\infty \subset \hat{\Upsilon}$ .  $\square$

By all the above discussion, the surface  $\tilde{\Sigma}_\infty \subset \mathbb{R}^3$  is complete, embedded, non-flat, minimal surface without boundary of finite total curvature. We will prove that this surface can not arise from the reasoning above, and the proposition is then proved.

Since  $\tilde{\Sigma}_\infty \subset \mathbb{R}^3$  is not a flat plane, by Theorem 3.1 of [20], it must have at least two ends. Let  $\nu$  be a Jordan curve which is the boundary of such an end. This curve is homotopically nontrivial and it separates the surface

in two noncompact parts. Let  $(\tilde{\nu}_n)_{n \in \mathbb{N}}, \tilde{\nu}_n \subset \tilde{\Sigma}_n$  be a sequence of Jordan curves converging to  $\nu$ . It guarantees that  $\tilde{\nu}_n$  is homotopically nontrivial for  $n$  sufficiently large. Otherwise, we would have a subsequence  $(\tilde{\nu}_{n_k})_{k \in \mathbb{N}}$  of homotopically trivial curves. In that case, each curve  $\tilde{\nu}_{n_k}$  bounds a disc  $D_{n_k}$  in  $\tilde{\Sigma}_{n_k}$ , and since we have the isoperimetric inequality  $L(\tilde{\nu}_{n_k})^2 \geq 4\pi A(D_{n_k})$ , by Theorem 1.1 of [42], the sequence  $(D_{n_k})_k$  converges (up to a subsequence) to a disc in  $\tilde{\Sigma}_\infty$  bounded by  $\nu$ , which is a contradiction.

Define as  $\nu_n$  the curve  $\phi_n(\tilde{\nu}_n) \subset \Sigma_n$ . Clearly,  $l_{U_n}(\tilde{\nu}_n) = \lambda_n l_{\mathbb{M} \times \mathbb{R}}(\nu_n)$  and  $(l_{U_n}(\tilde{\nu}_n))_n$  converges to  $l_{\mathbb{R}^3}(\nu)$ , so  $(l_{\mathbb{M} \times \mathbb{R}}(\nu_n))_n$  converges to 0. If  $\pi : \mathbb{M} \times \mathbb{R} \rightarrow \mathbb{M}$  is the projection onto the first factor, we obtain that  $\lim_{n \rightarrow \infty} l_{\mathbb{M}}(\pi(\nu_n)) = 0$ . Clearly the sequence of curves  $\pi(\nu_n)$  converges, up to a subsequence, to a point  $p \in \Omega$ , so  $\lim_{n \rightarrow \infty} (d(\gamma_1, \pi(\nu_n)) + d(\pi(\nu_n), \gamma_2)) = d(\gamma_1, p) + d(p, \gamma_2)$ . We can suppose that there exist a positive  $c$  such that  $d(\gamma_1, p) \geq c$ , since the geodesics are ultraparallel.

Let  $\mathcal{A}_n$  be the sub-annulus of  $\Sigma_n$  bounded by  $\Gamma_1^n$  and  $\nu_n$ . Let  $q_1, q_2$  be two points such that  $\gamma_1$  is properly contained in the geodesic connecting  $q_1$  and  $q_2$ . Since the curves  $\pi(\nu_n)$  are contained in  $\Omega$  and they converge to  $p$ , there exists a point  $\xi_n$  such that the geodesic triangle whose vertices are  $q_1, q_2$  and  $\xi_n$  is the smallest triangular domain containing  $\pi(\nu_n)$  and whose set of vertices contains  $q_1$  and  $q_2$  (we call the geodesic triangle  $T^n$ ). We have that the angle of  $T^n$  at the vertex  $\xi_n$  (call it  $\theta_n$ ) is such that  $\theta_n < \pi - \theta$ , for some  $\theta > 0$ , because  $d_{\mathbb{M}}(\xi_n, \gamma_1) > d_{\mathbb{M}}(\pi(\nu_n), \gamma_1) \geq c$ . We can also suppose  $\theta_n \geq \theta$ .

By the maximum principle,  $\mathcal{A}_n \subset T^n \times \mathbb{R}$ . Moreover, the sequence  $(\tilde{\nu}_n)_n$  converges to  $\nu$ . We then conclude there is a subsequence of  $\phi_n^{-1}(T^n \times \mathbb{R}) \subset U_n$  converging to a region  $R$  in  $\mathbb{R}^3$  bounded by two half-planes whose angle lies in the interval  $[\theta, \pi - \theta]$ . In fact, for  $i = 1, 2$ , if  $\beta_i^n$  are the complete geodesics of  $\mathbb{M}$  such that  $\beta_i^n$  connects the points  $q_i$  and  $\xi_n$ ,  $n \in \mathbb{N}$ , the sequence of totally geodesic planes  $(\phi_n^{-1}(\beta_i^n \times \mathbb{R}))_n$  converge to planes in  $\mathbb{R}^3$ , since  $\phi_n^{-1}(\beta_i^n \times \mathbb{R})$  contains a point of  $\tilde{\nu}_n$ . So, by the range of variation of  $\theta_n$ , the limit planes can not be parallel, so, up to a subsequence, the sequence  $(\phi_n^{-1}(T^n \times \mathbb{R}))_n$  converges to a region as described before.

We also have that  $\phi_n^{-1}(\mathcal{A}_n)$  converges to a part of  $\tilde{\Sigma}_\infty$  having  $\nu$  as boundary, so the set  $R$  must contain an end of  $\tilde{\Sigma}_\infty$ , which is impossible, since this end must be asymptotic to a plane or a catenoid, but the ends of such surfaces can not be contained in  $R$ .  $\square$



### 3.1.4 Convergence of the sequence $(\Sigma_n)_{n \in \mathbb{N}}$

It was already proved that, for  $n$  sufficiently large, there is a stable annulus  $\Sigma_n^s$  and another annulus  $\Sigma_n^u$  whose boundary is  $\Gamma_1^n \cup \Gamma_2^n$ . The sequences  $(\Sigma_n^s)_n$  and  $(\Sigma_n^u)_n$  have uniform curvature bounds. For simplicity, we are going to denote the surfaces  $\Sigma_n^s$  and  $\Sigma_n^u$  by  $\Sigma_n$ . It was also proved that there exist two horizontal points in  $\Sigma_n$  (call them  $p^+(\Sigma_n)$  and  $p^-(\Sigma_n)$ ) satisfying  $h_n^+ = h^+(\Sigma_n) = h(p^+(\Sigma_n)) \geq h(p^-(\Sigma_n)) = h^-(\Sigma_n) = h_n^-$ .

Henceforth, we denote by  $\check{\Sigma}_n$  a vertical translation of  $\Sigma_n$  (although the notation is ambiguous, in each situation, the translation will be specified). We will denote by  $p^+(\check{\Sigma}_n)$  (resp.  $p^-(\check{\Sigma}_n)$ ) the image of  $p^+(\Sigma_n)$  (resp.  $p^-(\Sigma_n)$ ) by a vertical translation. The objective of the rest of the section is to choose an appropriated sequence  $(\check{\Sigma}_n)_n$  of translation and obtain a subsequence which converges to a minimal annulus satisfying the hypotheses of Theorem 3.1.

By Theorem 3.3 of [24], there exists, for  $i = 1, 2$ , a solution  $u_i^+$  to the minimal surface equation on  $\Omega$  such that  $u_i^+ = +\infty$  on  $\gamma_i$  and  $u_i^+ = 0$  on  $\eta_1 \cup \eta_2 \cup \gamma_j$ , when  $\{i, j\} = \{1, 2\}$ . Consider the function  $u^+ = \sup(u_1^+, u_2^+)$ .

**Lemma 3.13.** *For  $n$  sufficiently large, the surface  $\Sigma_n$  is below the graph of  $u^+ + h_n^+$  and above the graph of  $-u^+ + h_n^-$ .*

*Proof.* It is enough to prove that  $\Sigma_n$  is below the graph of  $u^+ + h_n^+$ , and if  $\check{\Sigma}_n$  is the vertical translation such that  $h^+(\check{\Sigma}_n) = 0$ , it suffices to prove that  $\check{\Sigma}_n$  lies below  $u^+$ . By Proposition 3.7, the region  $\check{\Sigma}_n \cap \{z > 0\}$  is composed of two simply connected components  $D_1$  and  $D_2$ , whose boundaries are clearly in  $(\gamma_i \times \mathbb{R}) \cup \{z = 0\}$ . We now prove that  $D_i$  lies below the graph of  $u_i^+$ . Take a bounded convex quadrilateral  $\Omega'$  containing  $\Omega$  whose boundary is formed by the geodesics  $\gamma'_1, \eta'_1, \gamma'_2$  and  $\eta'_2$ , cyclically mentioned; besides,  $\gamma_i \subseteq \gamma'_i$ ,  $i = 1, 2$ . Again, by Theorem 3.3 of [24], there is a minimal solution  $v_i$  on  $\Omega'$  satisfying  $v_i = \infty$  on  $\gamma'_i$  and  $v_i = 0$  on  $\eta'_1 \cup \eta'_2 \cup \gamma'_j$ , when  $\{i, j\} = \{1, 2\}$ . Furthermore, the graph of  $v_i$  lies above the graph of  $u_i^+$ , as a consequence of the proof of the theorem. When  $\Omega'$  converges to  $\Omega$ ,  $v_i$  converges to  $u_i^+$ , so we only need to prove that  $D_i$  lies below the graph of  $v_i$ . For this, notice that  $D_i$  does not intersect  $T_h(\text{Gr}(v_i))$  if  $h$  is large enough. Then, we can take  $h_0 := \inf\{h \in \mathbb{R}, T_{h'}(D_i) \cap D_i = \emptyset, \forall h' > h\}$ . We must have that  $T_{h_0}(\text{Gr}(v_i))$  and  $D_i$  have a first point of contact and it can not be in neither of its boundaries, and it contradicts the Maximum Principle.  $\square$

**Lemma 3.14.** *Let  $\Delta$  be an wedge in  $\mathbb{M}$  bounded by two half-geodesics starting at  $O$  forming an angle smaller than  $\pi$ . Let  $S \subset \Delta \times \mathbb{R}$  be a minimal surface*



in  $\mathbb{M} \times \mathbb{R}$  and a point  $p \in S$  satisfying  $\|A_S\| \leq C$  and  $d_S(p, \partial S) \geq \delta$ . Then, there exists  $\epsilon > 0$ , depending only on  $C$  and  $\delta$ , such that  $p$  is not in the cylinder  $D_\epsilon(O) \times \mathbb{R}$ , where  $D_\epsilon(O)$  is the open disc in  $\mathbb{M}$  centered at  $O$  of radius  $\epsilon$ .

*Proof.* Suppose that there exist a sequence  $(S_n)_n$  of minimal surfaces and points  $p_n \in S_n$  satisfying  $d_{S_n}(p_n, \partial S_n) \geq \delta$  and  $p_n \in D_{1/n}(O) \times \mathbb{R}$ . We then apply a blow-up process using the sequence of points  $(p_n)_n$  and the sequence of constants  $(\lambda_n := n)_n$ . Using the notation of Proposition 3.12, we write  $\tilde{p}_n := \phi_n^{-1}(p_n)$  and  $\tilde{S}_n := \phi_n^{-1}(S_n)$ . Considering the sequence of ambient manifolds  $U_n$ , we have that  $d_{U_n}(\tilde{p}_n, \phi_n^{-1}(\{O\} \times \mathbb{R})) \leq 1$ ,  $\|A_{\tilde{S}_n}\| \leq C/n$  and  $d_{\tilde{S}_n}(\tilde{p}_n, \partial \tilde{S}_n) \geq n\delta$ . The sequence  $(U_n)_n$  converges to the Euclidean space  $\mathbb{R}^3$  and  $(\tilde{S}_n)_n$  converges to a minimal surface  $S$ . This limit surface must be contained in a wedge determined by two half-planes whose angle is smaller than  $\pi$ . On the other hand, the surface  $S$  must be a complete plane, which leads to a contradiction.  $\square$

**Lemma 3.15.** *Assume that the sequence  $(\check{p}_n := p^+(\check{\Sigma}_n))_n$  converges to  $\check{p}_\infty$ . Then there exists a subsequence of  $\check{\Sigma}_n$  converging to a minimal surface  $\check{\Sigma}_\infty$  in a neighborhood of  $\check{p}_\infty$  with multiplicity one.*

*Proof.* We know that the sequence  $(\check{\Sigma}_n)_n$  has uniform curvature bounds and  $\check{p}_\infty$  is an accumulation point of  $\bigcup_{i=1}^{\infty} \check{\Sigma}_i$ . By the Appendix B of [7], there exists a subsequence of  $(\check{\Sigma}_n)_n$  converging to a minimal lamination  $\mathcal{L}$  containing  $\check{p}_\infty$  (for simplicity, we suppose the subsequence is actually the whole sequence). Let  $\check{\Sigma}_\infty$  be the leaf of  $\mathcal{L}$  passing through  $\check{p}_\infty$ .

Assume the lemma is not true. Then, there exists a sequence of leaves  $(L_n)_n$  of  $\mathcal{L}$  converging to  $\check{\Sigma}_\infty$  in a neighborhood of  $\check{p}_\infty$ . Since  $\check{p}_\infty$  is a horizontal point of  $\check{\Sigma}_\infty$ , by Lemma 2.2.20 of [31], there are horizontal points in  $L_n$  near  $\check{\Sigma}_\infty$ , and by the same lemma, we obtain that, for large  $n$ , there are at least three horizontal points in  $\check{\Sigma}_n$  near  $\check{p}_\infty$ , contradicting Proposition 3.7. So, there exists a neighborhood  $V$  of  $\check{p}_\infty$  in  $\mathbb{M} \times \mathbb{R}$  such that  $V \cap \mathcal{L} = V \cap \check{\Sigma}_\infty$ . If the sequence of surfaces  $V \cap \check{\Sigma}_n$  converges to  $V \cap \check{\Sigma}_\infty$  with multiplicity at least 3, by Lemma 2.2.20 of [31], there must be at least three horizontal points in  $\check{\Sigma}_n$  for large  $n$ , contradicting Proposition 3.7.

Suppose the convergence around  $\check{p}_\infty$  happens with multiplicity two. It implies that there is an open set  $U$  containing  $\check{p}_\infty$  such that, for all  $V \subset U$  containing  $\check{p}_\infty$ , there exists  $n_V \in \mathbb{N}$  depending on  $V$  such that  $\{p^+(\Sigma_n), p^-(\Sigma_n)\} \subset$

$V$  for  $n \geq n_V$ . It implies that  $(p^+(\check{\Sigma}_n))_n$  and  $(p^-(\check{\Sigma}_n))_n$  converge to  $\check{p}_\infty$ , and since the surface  $\check{\Sigma}_n$  is symmetric with respect to some horizontal slice between  $h(p^+(\check{\Sigma}_n))$  and  $h(p^-(\check{\Sigma}_n))$ , the limit surface  $\check{\Sigma}_\infty$  has its boundary formed by four vertical lines passing through the vertices of  $\Omega$ , so  $\check{p}_\infty \in \text{Int}(\check{\Sigma}_\infty)$ , and  $\mathbb{M} \times \{h(\check{p}_\infty)\}$  is a plane of symmetry for  $\check{\Sigma}_\infty$ . Then, since the tangent plane of  $\check{\Sigma}_\infty$  at  $\check{p}_\infty$  is horizontal, the only way to have symmetry near  $\check{p}_\infty$  with respect to  $\mathbb{M} \times \{h(\check{p}_\infty)\}$  is having the coincidence of  $\check{\Sigma}_\infty$  and  $\mathbb{M} \times \{h(\check{p}_\infty)\}$  in a neighborhood of  $\check{p}_\infty$ , then  $\check{\Sigma}_\infty$  is a subset of  $\Omega \times \{h(\check{p}_\infty)\}$ , a contradiction. So the multiplicity must be one.  $\square$

**Lemma 3.16.** *Let  $\check{\Sigma}_n$  be a vertical translation of  $\Sigma_n$  satisfying that the set  $\{h^+(\check{\Sigma}_n); n \in \mathbb{N}\}$  is bounded and the sequence  $(h^+(\check{\Sigma}_n))_n$  goes to  $-\infty$ . Then there is a subsequence of  $(\check{\Sigma}_n)_n$  which converges to a minimal surface  $\check{\Sigma}_\infty$ . This limit surface is simply connected and it is a vertical graph in  $\mathbb{M} \times \mathbb{R}$ .*

*Proof.* Following the idea of [31], we are going to prove this lemma in three steps. First, we prove the existence of a subsequence of  $(\check{\Sigma}_n)$  which converges with multiplicity one to a surface  $\check{\Sigma}_\infty$  with boundary. Then we prove that  $\check{\Sigma}_\infty$  is simply connected and finally, we prove that the limit surface is a graph over a subdomain of  $\Omega$ .

It is known that  $(\check{\Sigma}_n)_n$  has uniform curvature bound. Moreover, since  $\{p^+(\check{\Sigma}_n)\}$  is bounded, it has an accumulation point, so does  $(\check{\Sigma}_n)_n$ . It implies, by Lemma 3.15, that there exists a subsequence of  $(\check{\Sigma}_n)_n$  which converges to a minimal surface  $\check{\Sigma}_\infty$  with multiplicity one.

Now, we prove that there is an  $\epsilon > 0$  such that  $(D_\epsilon(p) \times \mathbb{R}) \cap \check{\Sigma}_n$  contains only one component of  $\check{\Sigma}_n$ , for all sufficiently large  $n$ , where  $p$  is a vertex of  $\Omega$ . In fact, suppose that there exists a subsequence  $(k_n)_{n \in \mathbb{N}}$  such that the set  $(D_{n^{-1}}(p) \times \mathbb{R}) \cap \check{\Sigma}_{k_n}$  contains at least two components of  $\check{\Sigma}_{k_n}$  (without loss of generality, suppose  $k_n = n$ ). Define  $h_n$  as  $(h^+(\check{\Sigma}_n) + h^-(\check{\Sigma}_n))/2$ . If  $C_n$  is a component of  $(D_{n^{-1}}(p) \times \mathbb{R}) \cap \check{\Sigma}_n$  which does not contain points of  $\partial\check{\Sigma}_n$ , take a point  $q_n$  of  $C_n \cap \{z = h_n\}$  that minimizes the distance (in  $\mathbb{M}$ ) to  $p_n := (p, h_n)$ .

Consider the maps  $\exp_p \times \exp_{h_n} : T_p\mathbb{M} \times T_{h_n}\mathbb{R} \rightarrow \mathbb{M} \times \mathbb{R}$  and, for  $\lambda_n \in \mathbb{R}$ , the map  $\lambda_n : T_p\mathbb{M} \times T_{h_n}\mathbb{R} \rightarrow T_p\mathbb{M} \times T_{h_n}\mathbb{R}$  which is the multiplication by  $\lambda_n$ . Denoting by  $\phi_n$  the map  $(\exp_p \times \exp_{h_n}) \circ \lambda_n$ , we consider the ambient spaces  $U_n := (T_p\mathbb{M} \times T_{h_n}\mathbb{R}, \phi_n^*(g + dt^2))$ . If we blow-up the sequence of spaces  $(U_n)_n$  using the constants  $\lambda_n := d_{\mathbb{M}}(q_n, p_n)^{-1}$  around the points  $(p_n)_n$ , we obtain that  $\{\phi_n^{-1}(C_n)\}_{n \in \mathbb{N}}$  has a subsequence converging to a plane or a half-plane

$P$  in  $\mathbb{R}^3$  that is tangent to  $D_1(O) \times \mathbb{R}$ , where  $O$  is the fixed point of the blow-up. If  $P$  were a half-plane, then its boundary should be  $\{O\} \times \mathbb{R}$ , which contradicts the tangency to  $D_1(O) \times \mathbb{R}$ . Hence we obtain a complete plane inside  $W \times \mathbb{R}$ , where  $W$  is an wedge of  $\mathbb{R}^2$  whose angle is smaller than  $\pi$ , contradiction. We conclude from this argument that the sequence  $(\check{\Sigma}_n)_{n \in \mathbb{N}}$  converges with multiplicity 1 in small cylindric neighborhoods of  $\{p\} \times \mathbb{R}$ , where  $p$  is a vertex of  $\Omega$ , and also the whole sequence converges to a surface  $\check{\Sigma}_\infty$  with multiplicity 1.

For the second part, we clearly have that  $\check{\Sigma}_\infty$  is the limit of the sequence  $\mathcal{A}_n := (\check{\Sigma}_n \cap \{(x, y, z) \in \mathbb{M} \times \mathbb{R}; z > \frac{p^+(\check{\Sigma}_n) + p^-(\check{\Sigma}_n)}{2}\})_n$ . Given a loop  $\alpha$  in  $\check{\Sigma}_\infty$ , let  $(\alpha_n)_n$  be a sucession of closed curves  $\alpha_n \subset \check{\Sigma}_n$  converging to  $\alpha$ . For large  $n$ ,  $\alpha_n$  is contained in  $\mathcal{A}_n$ , and since  $\mathcal{A}_n$  is simply connected, there is a disc  $D_n$  in  $\mathcal{A}_n$  whose boundary is  $\alpha_n$ . Using the Theorem 1.1 of [42], the sequence  $(D_{n_k})_k$  converges (up to a subsequence) to a disc in  $\check{\Sigma}_\infty$  bounded by  $\alpha$ , so  $\alpha$  is homotopically trivial.

For the third part, we shall prove that  $Int(\check{\Sigma}_\infty)$  has no points with vertical tangent plane. Indeed, if that is not the case, take a point  $q$  in  $Int(\check{\Sigma}_\infty)$  whose tangent plane (say,  $Q$ ) is vertical. Then we consider a foliation of  $\mathbb{M} \times \mathbb{R}$  containing  $Q$  made of vertical totally geodesic planes. Using Lemma 2.2.20 of [31], we obtain that, for large  $n$ ,  $(\check{\Sigma}_n)$  has vertical tangent planes in points contained in a neighborhood of  $q$ , but this is not possible. So the tangent planes of the points of  $Int(\check{\Sigma}_\infty)$  are not vertical.

Finally, we are going to prove that the projection  $\pi : Int(\check{\Sigma}_\infty) \rightarrow \mathbb{M}$  is injective. Assume the contrary, then we can find an open set  $O \subset \mathbb{M}$  and functions  $f_i : O \rightarrow \mathbb{R}, i = 1, 2$ , such that the graph of  $f_i$  (notation:  $Gr(f_i)$ ) is an open subset of  $Int(\check{\Sigma}_\infty)$ . Choosing  $O$  small enough, we can suppose that  $Gr(f_1)$  and  $Gr(f_2)$  are disjoint and that, for sufficiently large  $n$ , there exists functions  $f_i^n : Gr(f_i) \rightarrow \mathbb{R}$  such that the exponential graph of  $f_i^n$  is an open subset of  $\check{\Sigma}_n$ . So, we define the maps  $g_i^n : O \rightarrow \mathbb{M}$  given by  $g_i^n = \pi \circ Gr(f_i^n) \circ Gr(f_i)$ . Clearly, those maps converge uniformly to the inclusion  $i : O \rightarrow \mathbb{M}$ . So, choosing a point  $o \in O$ , we can find, for large  $n$ , two different points  $o_1^n$  and  $o_2^n$  in  $O$  satisfying  $g_i^n(o_i^n) = o$ , and it leads to the fact that the projection  $\pi : \mathcal{A}_n \rightarrow \mathbb{M}$  is not injective, a contradiction.  $\square$

**Proposition 3.17.** *If  $h^+(\Sigma_n) - h^-(\Sigma_n)$  goes to  $+\infty$ , then the sequence  $(n - h^+(\Sigma_n))_n = (h^-(\Sigma_n) + n)_n$  is bounded.*

*Proof.* It suffices to show that  $n - h^+(\Sigma_n)$  is bounded. If it does not happen,

then we have a subsequence of  $(n - h^+(\Sigma_n))_n$  which goes to  $+\infty$ , and we suppose it is  $(n - h^+(\Sigma_n))_n$ , without loss of generality.

Let  $\check{\Sigma}_n$  be the vertical translation of  $\Sigma_n$  satisfying  $h^+(\check{\Sigma}_n) = 0$ . It is true that  $(h^-(\check{\Sigma}_n))_n$  tends to  $-\infty$ , and we can apply the Lemma 3.16 and conclude that there exists a subsequence of  $(\check{\Sigma}_n)_n$  converging to the minimal graph  $\check{\Sigma}_\infty$  of a function  $u : U \rightarrow \mathbb{R}$  defined over a subdomain of  $\Omega$ . Moreover, since  $n - h^+(\Sigma_n) \rightarrow \infty$ , the boundary of  $\check{\Sigma}_\infty$  consists of four vertical lines passing through the vertices of  $\Omega$ .

Our goal is to prove that  $U = \Omega$  and that  $u$  assumes values  $+\infty$  in  $\gamma_1 \cup \gamma_2$  and  $-\infty$  in  $\eta_1 \cup \eta_2$ . Notice that  $\check{\Sigma}_\infty$  has only one horizontal point  $p$ , and its height is 0. The set  $I := \check{\Sigma}_\infty \cap \{z = 0\}$  consists of four arcs connecting  $p$  and the vertices of  $\Omega$ . It separates  $\Omega$  in four components, and let  $P_i$  (resp.  $Q_i$ ) the component bounded by  $I$  and  $\gamma_i$  (resp.  $I$  and  $\eta_i$ ),  $i = 1, 2$ . Clearly the surface  $\check{\Sigma}_\infty$  is also divided in four components, given by  $Gr(u|_{P_i \cap U})$  and  $Gr(u|_{Q_i \cap U})$ . Then, by Lemma 3.13,  $Gr(u|_{P_1 \cap U}) \cup Gr(u|_{P_2 \cap U})$  lies below the graph of  $u^+$ . Since  $n - h^+(\Sigma_n)$  goes to  $+\infty$  and  $u|_{(P_1 \cup P_2) \cap U}$  does not change sign, we conclude that  $P_1 \cup P_2 \subset U$  and, by construction, the function assumes the value  $+\infty$  in  $\gamma_1 \cup \gamma_2$ .

For simplicity, we denote  $r(u|_{Q_i})$  by  $S_i$ . Let  $B_i$  be the part of the boundary of  $Q_i \cap U$  that is not contained in  $I$ . We can see that  $u(x)$  tends to  $-\infty$  when  $x$  approaches  $B_1 \cup B_2$ , and by the boundedness of the curvature of  $\check{\Sigma}_\infty$ , the sequence of surfaces  $T_n(S_1 \cup S_2)$ , the vertical translation of  $S_1 \cup S_2$  by  $n$ , converges to  $(B_1 \cup B_2) \times \mathbb{R}$ . Clearly the tangent planes of  $(B_1 \cup B_2) \times \mathbb{R}$  and  $B_1$  and  $B_2$  are smooth curves, and since  $(B_1 \cup B_2) \times \mathbb{R}$  is a minimal surface,  $B_1$  and  $B_2$  are geodesics. Consequently,  $B_i = \gamma_i$ ,  $i = 1, 2$ , and the goal is proved. Finally, by Theorem 3.3 of [24], the equality  $l(\gamma_1) + l(\gamma_2) = l(\eta_1) + l(\eta_2)$  holds, which contradicts the hypotheses about  $\Omega$ .  $\square$

**Proposition 3.18.** *There does not exist simultaneously a sequence of minimal surfaces  $(\Sigma_n^s)_n$  and a sequence of minimal surfaces  $(\Sigma_n^u)_n$  satisfying  $h^+(\Sigma_n^s) - h^-(\Sigma_n^s) \rightarrow \infty$ ,  $h^+(\Sigma_n^u) - h^-(\Sigma_n^u) \rightarrow \infty$ .*

In order to prove this proposition, we are going to proceed in three steps. First, we are going to describe in Lemma 3.19 three possible limits for the sequence  $\Sigma_n$  when  $h^+(\Sigma_n) - h^-(\Sigma_n) \rightarrow \infty$ . We then construct a Jacobi field on those limits, and this will be carried out in Lemma 3.20. The third step is to prove that such Jacobi fields can not exist on the limits obtained in Lemma 3.19.

**Lemma 3.19.** *Let  $(\Sigma_n)_n$  be a sequence of surfaces satisfying the divergence property  $h^+(\Sigma_n) - h^-(\Sigma_n) \rightarrow \infty$ .*

1. *Let  $\check{\Sigma}_n$  a vertical translation of  $\Sigma_n$  such that the following identities  $\lim_{n \rightarrow \infty} h^+(\check{\Sigma}_n) = +\infty$  and  $\lim_{n \rightarrow \infty} h^-(\check{\Sigma}_n) = -\infty$  are satisfied. Then the sequence  $(\check{\Sigma}_n)_n$  has a subsequence converging to the minimal surface  $(\eta_1 \times \mathbb{R}) \cup (\eta_2 \times \mathbb{R})$ .*
2. *The sequence  $(\check{\Sigma}_n := T_n(\Sigma_n))_n$  has a subsequence converging to a minimal surface  $\check{\Sigma}_\infty$ , a vertical graph of Scherk type on  $\Omega$  assuming the continuous data on  $\gamma_1 \cup \gamma_2$  and  $-\infty$  on  $\eta_1 \cup \eta_2$ .*
3. *The sequence  $(\check{\Sigma}_n := T_{-n}(\Sigma_n))_n$  has a subsequence converging to a minimal surface  $\check{\Sigma}_\infty$ , a vertical graph of Scherk type on  $\Omega$  assuming the continuous data on  $\gamma_1 \cup \gamma_2$  and  $+\infty$  on  $\eta_1 \cup \eta_2$ .*

*Proof.* 1. For  $m, n \in \mathbb{N}$ , define  $A_{n,m} := \check{\Sigma}_n \cap (\mathbb{M} \times [-m, m])$ . It is clear that

$$A_{n,1} \subset A_{n,2} \subset \cdots \subset A_{n,n-1} \subset A_{n,n} = A_{n,n+1} = \cdots = \check{\Sigma}_n.$$

It is clear that, by Proposition 3.7,  $A_{n,m}$  consists of two components  $A_{n,m}^1$  and  $A_{n,m}^2$  satisfying  $A_{n,m}^i \subset A_{n,m+1}^i$ , for  $m, n \in \mathbb{N}, i = 1, 2$ . Since there exists an uniform bound on the curvature for the sequence  $\check{\Sigma}_n$  and  $A_{n,m}^i$  is contained in a compact region, then there is a subsequence  $(A_{k_n^1,1}^i)_n$  of  $(A_{n,1}^i)_n$  converging to a minimal surface containing the vertical segments of  $\partial(\eta_i \times [-1, 1])$  in its boundary. Inductively, for  $j > 1$ , there exists a convergent subsequence  $(A_{k_n^j,j}^i)_n$  of  $(A_{k_n^{j-1},j}^i)_n$ . A diagonal argument implies that the sequence  $(\check{\Sigma}_{k_n^n})_n$  converges to a minimal surface  $\check{\Sigma}_\infty$ . This limit surface is composed of two components  $\mathcal{A}_1$  and  $\mathcal{A}_2$ , where  $\mathcal{A}_i$  is a minimal surface whose boundary is the same of  $\eta_i \times \mathbb{R}$ . It is true that the projection of  $\mathcal{A}_1$  and  $\mathcal{A}_2$  is contained in  $\Omega$ . Choosing  $i \in \{1, 2\}$ , let  $\eta^*$  the horizontal geodesic such that  $\eta^* \times \mathbb{R} \in \mathcal{F}^\eta$  and the region of  $\mathbb{M}$  bounded by  $\eta_i$  and  $\eta^*$  is the smallest one containing the projection of  $\mathcal{A}_i$  in  $\mathbb{M}$ . If  $\eta' \times \mathbb{R}$  is tangent to  $\mathcal{A}_i$ , they coincide by the maximum principle, then  $\mathcal{A}_i = \eta_i \times \mathbb{R}$ , as we wanted. If it does not happen, take a point  $p \in \partial_\infty \mathcal{A}_i \cap (\eta' \times \{\pm\infty\})$  (for  $B \subset \mathbb{M} \times \mathbb{R}$ ,  $\partial_\infty B$  is the asymptotic boundary of  $B$ ) and let  $(p_n)_n$  be a sequence of points in  $\mathcal{A}_i$  converging to  $p$  in the compactification. If we take vertical

translations  $T_{k_n}$  such that  $T_{k_n}(p_n)$  has height zero, then the sequence  $(T_{k_n}(\mathcal{A}_i))_n$  has a subsequence converging to a minimal surface  $L_i$  which is tangent to  $\eta^* \times \mathbb{R}$  and lies in a side of that plane, so  $L_i = \eta^* \times \mathbb{R}$ . On the other hand,  $L_i$  must contain the boundary of  $\eta_i \times \mathbb{R}$ , so  $\eta^* = \eta_i$ , and we conclude that  $\mathcal{A}_i = \eta_i \times \mathbb{R}$ , and finally that  $\check{\Sigma}_\infty = (\eta_1 \cup \eta_2) \times \mathbb{R}$ .

2. By Lemma 3.16, the sequence  $(\check{\Sigma}_n)_n$  converges, up to a subsequence, to a minimal graph  $\check{\Sigma}_\infty$  defined by a function  $u : U \rightarrow \mathbb{R}$  over a simply connected subdomain of  $\Omega$ . Since  $\partial\check{\Sigma}_\infty$  is the limit of  $(\partial\check{\Sigma}_n)_n$ , the boundary of  $\check{\Sigma}_\infty$  consists of two connected smooth curves  $C_1$  and  $C_2$ , where the curves  $C_i$  satisfy  $C_i \cap (0, \infty) = \emptyset$ ,  $C_i \cap [-n, 0] = T_{-n}(G_n^i \cap [0, n])$  and  $C_i \cap (-\infty, -n) = \partial(\bar{\gamma}_i \times [-\infty, -n])$  (recall the definition of  $G_n^i$  in Subsection 3.1.1). We then conclude that  $u$  assumes continuous data in  $\gamma_1 \cup \gamma_2$ . It remains to prove that  $U = \Omega$  and that  $u$  assumes the value  $-\infty$  in  $\eta_1 \cup \eta_2$ . The proof of those facts follows a similar procedure to the one used in Proposition 3.17.
3. By symmetry,  $T_n(\Sigma_n)$  is the reflection of  $T_n(\Sigma_n)$  by  $\mathbb{M} \times \{0\}$ , so, by the previous item, there is a subsequence of  $(T_n(\Sigma_n))_n$  which converges to the reflection of  $\check{\Sigma}_\infty$  by  $\mathbb{M} \times \{0\}$ , and the conclusion is immediate.  $\square$

For each  $n \in \mathbb{N}$ , choose a point  $p_n \in \Sigma_n^s$  as follows. If  $\Sigma_n^s$  is stable-unstable, take a nonnegative eigenfunction  $u_n$  of the Jacobi operator of  $\Sigma_n^s$  associated to 0. Let  $p_n$  be a point of  $\Sigma_n^s$  where  $u_n$  attains its maximum. If  $\Sigma_n^s$  is strictly stable, let  $q_n$  be the point in  $\Sigma_n^u$  which maximizes the distance to  $\Sigma_n^s$ , and let  $p_n \in \Sigma_n^s$  such that  $d_{\mathbb{M} \times \mathbb{R}}(p_n, q_n) = d_{\mathbb{M} \times \mathbb{R}}(\Sigma_n^s, q_n)$ . We can suppose  $(\Sigma_n^s)_n$  is composed only by stable-unstable surfaces or only by strictly stable ones, up to taking a subsequence. Regardless the case, we will denote by  $d_n$  the maximum value of the function  $q \in \Sigma_n^u \mapsto d_{\mathbb{M} \times \mathbb{R}}(\Sigma_n^s, q)$ .

Take the two sequences  $(n - z(p_n))_n$  and  $(n + z(p_n))_n$  of nonnegative numbers. Since  $(n - z(p_n)) + (n + z(p_n)) \rightarrow +\infty$ , up to a subsequence, we must have one of the three following possibilities:

1. Both sequences  $(n - z(p_n))_n$  and  $(n + z(p_n))_n$  go to  $+\infty$ ;
2. The sequence  $(n - z(p_n))_n$  is bounded and the sequence  $(n + z(p_n))_n$  goes to  $+\infty$ ;

3. The sequence  $(n - z(p_n))_n$  goes to  $+\infty$  and the sequence  $(n + z(p_n))_n$  is bounded.

Denote the images of  $\Sigma_n^s$ ,  $\Sigma_n^u$ ,  $\Gamma_n^1$ ,  $\Gamma_n^2$  and  $p_n$  under the translation  $T_h$  by, respectively,  $\check{\Sigma}_n^s$ ,  $\check{\Sigma}_n^u$ ,  $\check{\Gamma}_n^1$ ,  $\check{\Gamma}_n^2$  and  $\check{p}_n$ , being  $h = -z(p_n)$  in the first case,  $h = -n$  in the second and  $h = n$  in the third.

By Proposition 3.17 and Lemma 3.19, each of the sequences  $(\check{\Sigma}_n^s)_n$  and  $(\check{\Sigma}_n^u)_n$  have a subsequence converging to the same minimal surface  $\check{\Sigma}_\infty$ , where  $\check{\Sigma}_\infty$  is  $(\eta_1 \times \mathbb{R}) \cup (\eta_2 \times \mathbb{R})$  for the first case or a vertical graph of Scherk type over  $\Omega$  for the other ones. In any case, the sequence  $(z(\check{p}_n))_n$  is bounded. Hence, taking a subsequence, we can suppose that  $(\check{p}_n)_n$  is convergent, and  $\check{p}_\infty \in \check{\Sigma}_\infty$  is its limit. Moreover,  $d_n \rightarrow 0$  as  $n$  goes to  $\infty$ . Since the curvature of the sequence  $\Sigma_n^u$  is uniformly bounded, this surface is, locally, a graph over  $\Sigma_n^s$  of a function  $u_n$ .

**Lemma 3.20.** *Assuming that Proposition 3.18 is not true, there exists a Jacobi field  $w_\infty$  on  $\check{\Sigma}_\infty$  satisfying  $0 \leq w_\infty \leq 1$  on  $\check{\Sigma}_\infty$ ,  $w_\infty = 0$  on  $\partial\check{\Sigma}_\infty$  and  $w_\infty(\check{p}_\infty) = 1$ .*

*Proof.* It follows the same ideas of the proof of Lemma 2.2.29 in [31].  $\square$

Now, we are going to prove the Proposition 3.18:

*Proof.* Assuming that  $h^+(\Sigma_n) - h^-(\Sigma_n)$  goes to  $+\infty$ , we have that, by the above argumentation, there exists a minimal surface  $\check{\Sigma}_\infty$  in  $\mathbb{M} \times \mathbb{R}$  and a Jacobi field  $w_\infty$  over  $\check{\Sigma}_\infty$  such that

- $\check{\Sigma}_\infty$  is  $(\eta_1 \times \mathbb{R}) \cup (\eta_2 \times \mathbb{R})$  or a minimal graph of type Scherk of a function defined on  $\Omega$  which assumes continuous data on  $\gamma_1 \cup \gamma_2$  and  $\pm\infty$  on  $\eta_1 \cup \eta_2$ ;
- $0 \leq w_\infty \leq 1$ ,  $w_\infty = 0$  on  $\partial\check{\Sigma}_\infty$  and  $w_\infty \neq 0$ .

We are going to prove that such Jacobi field can not exist, obtaining a contradiction, and therefore proving the proposition.

**1.** Suppose that  $\check{\Sigma}_\infty = (\eta_1 \times \mathbb{R}) \cup (\eta_2 \times \mathbb{R})$ . We have that  $w_\infty$  satisfies the equation

$$\Delta w_\infty + (||A||^2 + Ric(N, N))w_\infty = 0.$$



Notice that  $A = 0$  and  $Ric(N, N) \leq 0$ , and since  $w_\infty$  is nonnegative, it is true that  $\Delta w_\infty \geq 0$ . Since  $w_\infty$  attains its maximum in a point on the interior of  $\check{\Sigma}_\infty$  and this maximum is 1, the Maximum principle guarantees that  $w_\infty \equiv 1$ , contradicting the fact that  $w_\infty$  is zero on  $\partial\check{\Sigma}_\infty$ .

**2.** Suppose that  $\check{\Sigma}_\infty$  is a Scherk type graph of a function  $u$  defined on  $\Omega$ . It suffices to consider the case where  $u = -\infty$  on  $\eta_1 \cup \eta_2$  and  $u = f_i$  on  $\gamma_i$ , the function  $f_i$  continuous. Since  $\check{\Sigma}_\infty$  is a graph, the function  $N_3 := \langle N, \frac{\partial}{\partial z} \rangle$  is a Jacobi field for  $\check{\Sigma}_\infty$ . We can then consider  $X := N_3 \nabla w_\infty - w_\infty \nabla N_3$ , and this is a vector field over  $\check{\Sigma}_\infty$  whose divergence is zero.

For large  $n$ , denote  $\check{\Sigma}_\infty^n = \check{\Sigma}_\infty \cap \{z \geq -n\}$ . The surface  $\check{\Sigma}_\infty^n$  is the graph of a restriction of  $u$  to a subdomain  $\Omega_n$ , whose boundary consists of the geodesics  $\gamma_1, \gamma_2$  and two curves  $\eta_1^n$  and  $\eta_2^n$ . In the last curves,  $u$  assumes the value  $-n$ . Using the divergence theorem, we have:

$$0 = \int_{\check{\Sigma}_\infty^n} \operatorname{div} X = \int_{I_1^n \cup I_2^n} \langle N_3 \nabla w_\infty - w_\infty \nabla N_3, \nu \rangle + \int_{J_1 \cup J_2} \langle N_3 \nabla w_\infty, \nu \rangle, \quad (3.2)$$

where  $\nu$  is the conormal vector field on  $\partial\check{\Sigma}_\infty^n$ ,  $I_i^n$  is the graph of  $u$  over  $\eta_i^n$  and  $J_i$  the graph of  $u$  over  $\gamma_i$ ,  $i = 1, 2$ . We used the fact that  $w_\infty$  (resp.  $N_3$ ) is zero along  $\partial\check{\Sigma}_\infty^n$  (resp. along the vertical part of  $\partial\check{\Sigma}_\infty^n$ ). It is true that  $w_\infty$  and  $\|\nabla w_\infty\|$  are bounded and the restrictions of  $N_3$  and  $\langle \nabla N_3, \nu \rangle$  go to zero along  $I_1^n \cup I_2^n$  as  $n$  goes to  $\infty$ . Hence, we have that  $\int_{I_1^n \cup I_2^n} \langle N_3 \nabla w_\infty - w_\infty \nabla N_3, \nu \rangle$  goes to zero as  $n$  goes to  $\infty$ . On the other hand, by the maximum principle, the inequalities  $\langle N_3 \nabla w_\infty, \nu \rangle < 0$  and  $N_3 > 0$  hold along  $J_1 \cup J_2$  (for an appropriate choice of orientation), then  $\int_{J_1 \cup J_2} \langle N_3 \nabla w_\infty, \nu \rangle < 0$ , which gives us a contradiction, since the value of this integral does not depend on  $n$ .  $\square$

**Remark.** In order to guarantee that the functions  $N_3$  and  $\langle \nabla N_3, \nu \rangle$  converge to 0 as  $n \rightarrow \infty$ , consider the sequence  $(T_n(\check{\Sigma}_\infty))_{n \in \mathbb{N}}$  of minimal surfaces. Since these surfaces have uniformly bounded curvature, it is easy to see that  $(T_n(\check{\Sigma}_\infty))_{n \in \mathbb{N}}$  converges to  $(\eta_1 \times \mathbb{R}) \cup (\eta_2 \times \mathbb{R})$  in  $C^{2,\alpha}$  topology, so the sequence of Gauss maps converge in  $C^{1,\alpha}$  topology to the Gauss map of  $(\eta_1 \times \mathbb{R}) \cup (\eta_2 \times \mathbb{R})$ , and the conclusion follows.

From the Proposition 3.18, we conclude that there exists a sequence of minimal surfaces  $(\Sigma_n)_n$  such that  $(h^+(\Sigma_n) - h^-(\Sigma_n))_n$  is a bounded sequence. We finish this section studying the convergence of this sequence and proving its main theorem.

We then prove the Theorem 3.1:



*Proof.* The surface  $\Sigma_n$  has two horizontal points  $p_n := p^+(\Sigma_n)$  and  $p^-(\Sigma_n)$ , which are symmetric with respect to  $\mathbb{M} \times \{0\}$ . Then there is a subsequence of  $(p_n)$  which converges to  $p_\infty$ . And, by Lemma 3.15, there is a subsequence of  $(\Sigma_n)_n$  converging to the minimal surface  $\Sigma_\infty$  containing  $p_\infty$ . This convergence is of multiplicity one, and this is proved in a similar way as in the proof of Lemma 3.16.

We have that the surface  $\Sigma_\infty$  is not simply connected. In fact, it lies between the graphs of  $u^+ + c$  and  $u^- - c$ , where  $c$  is an upper bound for the heights of horizontal points of  $\Sigma_n$ , so, take a plane  $P$  in  $\mathcal{F}^\gamma$  different from  $\gamma_i \times \mathbb{R}$  that intersects  $\Sigma_\infty$ . Since it intersects transversely the surfaces  $\Sigma_n$ , it also intersects  $\Sigma_\infty$  transversely. So, there is a cycle  $C$  in the intersection of  $P$  and  $\Sigma_\infty$ . We can conclude, using the Maximum Principle, that  $C$  is nontrivial.

To prove that  $\Sigma_\infty$  is an annulus, we must prove that, for any two smooth Jordan curves nonintersecting and homotopically nontrivial, there exists an annulus  $A$  bounded by those two curves. In fact, if  $\alpha$  and  $\beta$  are curves in  $\Sigma_\infty$  as described above, there are two sequences of nonintersecting curves  $(\alpha_n)_n$  and  $(\beta_n)_n$ ,  $\alpha_n, \beta_n \subset \Sigma_n$ , converging to  $\alpha$  and  $\beta$ , respectively. For large  $n$ ,  $\alpha_n$  and  $\beta_n$  are nontrivial. In that case, the curves  $\alpha_n$  and  $\beta_n$  bound an annulus  $\mathcal{A}_n$  in  $\Sigma_n$ . Then, since  $\alpha$  and  $\beta$ , together with the annuli  $\mathcal{A}_n$ , are contained in a convex compact set, there is a subsequence of the sequence  $(\mathcal{A}_n)$  which converges to an annulus bounded by  $\alpha$  and  $\beta$ , so  $\Sigma_\infty$  is an annulus.

Since the surface  $\Sigma_\infty$  is a limit of surfaces which are symmetric with respect to  $\mathbb{M} \times \{0\}$ , it is also symmetric with respect to this horizontal slice. The proof that  $\Sigma_\infty$  meets  $\mathbb{M} \times \{0\}$  uses an argument similar to the one presented in Lemma 3.5. By Lemma 2.2.20 of [31], the surface  $\Sigma_\infty \cap (\mathbb{M} \times (0, \infty))$  does not have points with vertical tangent plane. Finally, if two points in  $\Sigma_\infty \cap (\mathbb{M} \times (0, \infty))$  had the same projection in  $\mathbb{M}$ , the same would be true for two points in  $\Sigma_n \cap (\mathbb{M} \times (0, \infty))$ , for large  $n$ , a contradiction. It finishes the proof of the theorem.  $\square$

## 3.2 Minimal annulus in unbounded domains

Let  $\gamma_1$  and  $\gamma_2$  be ultraparallel geodesics in  $\mathbb{M}$  whose distance is smaller than  $2\ln(\sqrt{2} + 1)$ . The main goal of this section is to prove the following theorem:

**Theorem 3.21.** *For two geodesics  $\gamma_1$  and  $\gamma_2$  satisfying the above condition,*

there exists a complete embedded minimal annulus in  $\mathbb{M} \times \mathbb{R}$  whose boundary at infinity is the union of the four vertical lines passing through the endpoints of  $\gamma_1$  and  $\gamma_2$  and, for each geodesic  $\gamma$  that is ultraparallel to both  $\gamma_1$  and  $\gamma_2$ , the intersection of this annulus with  $\gamma \times \mathbb{R}$  is compact. This surface is a bigraph which is symmetric with respect to the horizontal slice  $\mathbb{M} \times \{0\}$ , and both surfaces meet orthogonally.

First, we consider the proposition below:

**Proposition 3.22.** *There exists a bounded convex quadrilateral in  $\mathbb{M}$  whose sides are geodesic segments (denoted by  $\gamma_1^*$ ,  $\gamma_2^*$ ,  $\eta_1^*$  and  $\eta_2^*$ ), the geodesic arcs  $\gamma_i^*$  are contained in  $\gamma_i$ , for  $i = 1, 2$ , and the inequality  $l(\gamma_1^*) + l(\gamma_2^*) > l(\eta_1^*) + l(\eta_2^*)$  holds.*

*Proof.* In a Hadamard surface, we can consider a standard coordinate system by choosing a geodesic  $\alpha$  and noticing that the function  $\phi_\alpha : \mathbb{R}^2 \rightarrow \mathbb{M}$  such that  $\phi_\alpha(s, t) = \exp_{\alpha(t)}(sJ\alpha'(t))$ , where  $J$  is the almost complex structure of  $\mathbb{M}$ , is a diffeomorphism. In these coordinates, we write the metric as  $ds^2 + G(s, t)dt^2$ .

We know that, if  $\tilde{\gamma}_1$  and  $\tilde{\gamma}_2$  are two complete geodesics of  $\mathbb{H}^2$  which are less than  $2\ln(\sqrt{2} + 1)$  apart from each other, there is a convex quadrilateral  $\tilde{\Lambda}$  satisfying the required properties (replacing  $\gamma_i$  by  $\tilde{\gamma}_i$ ). If  $\tilde{\gamma}$  is the geodesic in  $\mathbb{H}^2$  which is orthogonal to the  $\tilde{\gamma}_i$ ,  $i = 1, 2$ , we can consider the coordinate system given by  $\phi_{\tilde{\gamma}}$  such that  $\phi_{\tilde{\gamma}}^{-1}(\tilde{\gamma}_i) = \{(-1)^i a\} \times \mathbb{R}$ , for some  $a > 0$  and for  $i = 1, 2$ . In the space  $\mathbb{M}$ , we proceed in a similar way, writing as  $\gamma$  the geodesic which is orthogonal to the  $\gamma_i$ ,  $i = 1, 2$  and using the coordinate system given by  $\phi_\gamma$  such that  $\phi_\gamma^{-1}(\gamma_i) = \{(-1)^i a\} \times \mathbb{R}$ , for the same  $a > 0$  as before and for  $i = 1, 2$ . If  $d\tilde{s}^2 + \tilde{G}d\tilde{t}^2$  and  $ds^2 + Gdt^2$  are the expressions of the metrics of  $\mathbb{H}^2$  and  $\mathbb{M}$ , respectively, we can conclude, by Proposition 2 of [13], the inequality  $\tilde{G} \geq G$ , and for a curve  $c : [0, 1] \rightarrow \mathbb{R}^2$ , we have  $l(\phi_{\tilde{\gamma}} \circ c) \geq l(\phi_\gamma \circ c)$ , so  $d_{\mathbb{H}^2}(\phi_{\tilde{\gamma}}(p), \phi_{\tilde{\gamma}}(q)) \geq d_{\mathbb{M}}(\phi_\gamma(p), \phi_\gamma(q))$ , for  $p, q \in \mathbb{R}^2$ . It is possible, therefore, to construct a quadrilateral  $\Lambda$  in  $\mathbb{M}$  satisfying the conditions of the statement, simply choosing the vertices of  $\Lambda$  to be the same, in coordinates, as the ones of  $\tilde{\Lambda}$ . This finishes the proof of the proposition.  $\square$

Moreover, we have the following lemma:

**Lemma 3.23.** *For  $i = 1, 2$ , let  $\Lambda_i$  be a bounded convex quadrilateral whose sides are the geodesics  $\gamma_1^i$ ,  $\gamma_2^i$ ,  $\eta_1^i$  and  $\eta_2^i$  such that  $\gamma_j^1 \subset \gamma_j^2 \subset \gamma_j$ , for  $i, j = 1, 2$ . Then, the following inequality holds:*

$$l(\gamma_1^2) + l(\gamma_2^2) - l(\eta_1^2) - l(\eta_2^2) \geq l(\gamma_1^1) + l(\gamma_2^1) - l(\eta_1^1) - l(\eta_2^1),$$

and the equality holds if and only if  $\Lambda_1 = \Lambda_2$ .

*Proof.* It is a simple consequence of the triangle inequality. □

As a consequence of the two previous results, we have that there exists a sequence  $(\Omega_n)_{n \in \mathbb{N}}$  of bounded convex quadrilaterals in  $\mathbb{M}$  satisfying the following properties:

1. Its sides are geodesic segments, and we denote them by  $\gamma_1^n, \gamma_2^n, \eta_1^n$  and  $\eta_2^n$ ;
2. The geodesic arc  $\bar{\gamma}_i^n$  is contained in the interior of  $\gamma_i^{n+1}$  (with respect to its intrinsic topology), and all of those arcs are contained in  $\gamma_i$ ;
3. The inequality  $l(\gamma_1^n) + l(\gamma_2^n) > l(\eta_1^n) + l(\eta_2^n)$  holds for all  $n$ ;
4.  $\bigcup_{i=1}^{\infty} \Omega_i = \Omega$ , where  $\Omega$  is the geodesic ideal quadrilateral whose vertices are the endpoints of the geodesics  $\gamma_i$  (see Figure 3.4).

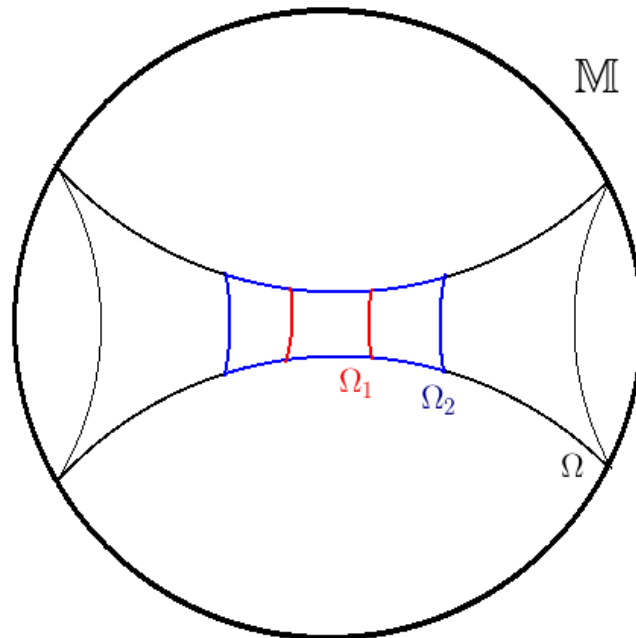


Figure 3.4: Part of the exhaustion

Using the ideas of comparison geometry that were already presented, together with ideas of the proof of Proposition 3.2, we can prove the following result:

**Proposition 3.24.** *If the distance of  $\gamma_1$  and  $\gamma_2$  is larger than  $2k^{-1}\ln(\sqrt{2}+1)$ , then there is no complete embedded minimal annulus in  $\mathbb{M} \times \mathbb{R}$  with the properties stated in Theorem 3.21.*

Taking a sequence  $(\Omega_n)_{n \in \mathbb{N}}$  as before, we know that there is a minimal solution  $u_{n,i}$  on  $\Omega_n$  such that  $u_{n,i} = \infty$  on  $\gamma_i^n$  and  $u_{n,i} = 0$  on  $\gamma_j^n \cup \eta_1^n \cup \eta_2^n$  for  $\{i, j\} = \{1, 2\}$ . Define  $u_n = \sup\{u_{n,1}, u_{n,2}\}$ . Moreover, we can apply directly the Theorem 3.1 for each  $\Omega_n$ , and we state below the conclusion:

**Corollary 3.25.** *For each  $n$ , there exists a minimal annulus  $\Sigma_n$  in  $\mathbb{M} \times \mathbb{R}$  whose boundary is given by the four vertical lines passing through the vertices of  $\Omega_n$  such that, for each complete geodesic  $\alpha$  intersecting the geodesics  $\eta_1^n$  and  $\eta_2^n$ , the set  $\Sigma_n \cap (\alpha \times \mathbb{R})$  is compact. Moreover, the surface  $\Sigma_n$  has uniform bounded curvature and the surface lies below the graph of  $u_n + h^+(\Sigma_n)$  and above the graph of  $-u_n + h^-(\Sigma_n)$ .*

The desired annulus of Theorem 3.21 will be constructed by taking the limit of a sequence of vertical translations of  $\Sigma_n$ . This will be carried out in the rest of the section.

### 3.2.1 Foliation and curvature estimates

As in the Subsection 3.1.2, define, for each  $t \in (-n, n)$ , the set  $\omega(t)$  as the intersection of  $\Sigma_n$  and  $\{z = t\}$ . A point  $p \in \Sigma_n$  is called a *horizontal point* if  $\Sigma_n$  is tangent to the plane  $\{z = z(p)\}$  at  $p$ . The set of horizontal points is denoted by  $\mathcal{H}$  and  $\mathcal{H}(t) := \mathcal{H} \cap \omega(t)$ . Denote by  $h_n^+$  (resp.  $h_n^-$ ) the maximum value (resp. the minimum value) of the restriction  $z : \mathcal{H} \rightarrow \mathbb{R}$  of the height function. Although we have the relation  $h_n^+ = -h_n^-$ , the definition of both quantities is useful when we have curves in more general positions. For each  $t \in (n, n)$ , define  $\Sigma_n^+(t) = \Sigma_n \cap \{z \geq t\}$  and  $\Sigma_n^-(t) = \Sigma_n \cap \{z \leq t\}$ .

**Proposition 3.26.** *The following properties for  $\Sigma_n$  holds:*

1.  $\Sigma_n$  has exactly two horizontal points, and they are symmetric with respect to  $\mathbb{M} \times \{0\}$ .

2. If  $t > h_n^+$  (resp.  $t < h_n^-$ ), then  $\Sigma_n^+(t)$  (resp.  $\Sigma_n^-(t)$ ) consists of two simply connected components. Then,  $\omega(t)$  consists of two components, both diffeomorphic to  $[0, 1]$  and joining the two vertical lines passing through the endpoints of  $\gamma_i^n$ .
3. For each  $t \in (h_n, h_n^+)$  (in particular, for  $t = 0$ ), the sets  $\Sigma_n^+(t)$  and  $\Sigma_n^-(t)$  are simply connected. Moreover,  $\omega(t)$  consists of two components, both diffeomorphic to  $[0, 1]$  and joining the two vertical lines passing through the endpoints of  $\eta_i^n$ .
4. The set  $\Sigma_n \cap \{h_n < z < h_n^+\}$  consists of two simply connected components.

*Proof.* It follows from the Proposition 3.7 and the fact that all the stated properties still hold under convergence processes.  $\square$

In an analogous form, we can extend more results of Subsection 3.1.2 to the current situation.

**Proposition 3.27.**

1. The annulus  $\Sigma_n$  is tangent to the foliation  $\mathcal{F}^\eta$  of  $\mathbb{M} \times \mathbb{R}$  at most at two points.
2. The annulus  $\Sigma_n$  is not tangent to any leaf of  $\mathcal{F}^\gamma$ .
3. The annulus  $\Sigma_n$  is tangent to the foliation  $\mathcal{F}^{\eta_i}$  of  $\mathbb{M} \times \mathbb{R}$  at most at two points for each  $i = 1, 2$ , where the curves  $\eta_i$  are the sides of  $\Omega$  which are different from the  $\gamma_j$ ,  $j = 1, 2$ .

*Proof.* Again, by the Propositions 3.8, 3.9 and 3.11, along with the fact that the tangency properties remain valid under convergence (see Lemma 2.2.20 of [31]).  $\square$

**Proposition 3.28.** *The sequence of minimal annuli  $(\Sigma_n)_n$  has a uniformly bounded curvature.*

*Proof.* This proof follows the same ideas of Proposition 3.12. Assuming the contrary, let  $\lambda_n := \sup_{\Sigma_n} \|A_{\Sigma_n}\|$  and suppose that  $\lim_{n \rightarrow \infty} \lambda_n = \infty$ . Let  $p_n$  be a point in  $\Sigma_n$  satisfying  $\|A_{\Sigma_n}(p_n)\| \geq \frac{\lambda}{2}$ . Then, we consider the blow-up of the sequence  $\mathbb{M} \times \mathbb{R}$  around the sequence  $(p_n)_n$  of points using the constants  $\lambda_n$ , i.e., we look at the sequence of surfaces  $(\tilde{\Sigma}_n)_n := \phi_n^{-1}(\Sigma_n)$  and the sequence

of ambient spaces  $(U_n := (T_{p_n}(\mathbb{M} \times \mathbb{R}), \phi_n^*(g + dt^2)))_n$  (here, the map  $\phi_n$  is defined as in 3.16, so that  $U_n$  is endowed with a product metric). Clearly,  $\tilde{\Sigma}_n$  is a minimal surface of  $U_n$ , and as  $n \rightarrow \infty$ , the ambient spaces converge to  $\mathbb{R}^3$  with the Euclidean metric and the surfaces converge to a minimal immersion  $\tilde{\Sigma}_\infty$ . Indeed,  $\tilde{\Sigma}_\infty$  is complete, embedded and has finite total curvature (for details, see Proposition 2.3.7 of [31]. We also point out that the Proposition 3.27 is used to prove the finiteness of the total curvature). Also as in the bounded domain case, the surface  $\tilde{\Sigma}_\infty$  has no boundary; the proof can be done in the same way of Claim 3.1.3.

Clearly,  $\|A_{\tilde{\Sigma}_\infty}(O)\| \geq \frac{1}{2}$ , so  $\tilde{\Sigma}_\infty$  is not a flat plane. Then,  $\tilde{\Sigma}_\infty$  has at least two ends, by Theorem 3.1 of [20]. Take  $\nu \subset \tilde{\Sigma}_\infty$  to be a smooth Jordan curve which is the boundary of an end of  $\tilde{\Sigma}_\infty$ . This curve is homotopically nontrivial and it separates the surface in two noncompact parts. Let  $(\tilde{\nu}_n)_{n \in \mathbb{N}}, \tilde{\nu}_n \subset \tilde{\Sigma}_n$  be a sequence of Jordan curves converging to  $\nu$ . It guarantees that  $\tilde{\nu}_n$  is homotopically nontrivial for  $n$  sufficiently large (the proof is the same as the one shown in 3.12). Define as  $\nu_n$  the curve in  $\Sigma_n$  whose image by  $\phi_n^{-1}$  is  $\tilde{\nu}_n$ . Clearly,  $l_{U_n}(\tilde{\nu}_n) = \lambda_n l_{\mathbb{M} \times \mathbb{R}}(\nu_n)$  and  $(l_{U_n}(\tilde{\nu}_n))_n$  converges to  $l_{\mathbb{R}^3}(\nu)$ , so  $(l_{\mathbb{M} \times \mathbb{R}}(\nu_n))_n$  converges to 0. If  $\pi : \mathbb{M} \times \mathbb{R} \rightarrow \mathbb{M}$  is the projection onto the first factor, we obtain that  $\lim_{n \rightarrow \infty} l_{\mathbb{M}}(\pi(\nu_n)) = 0$ . Clearly the sequence of curves  $\pi(\nu_n)$  converges, up to a subsequence, to a point  $p \in \Omega$  (possibly one of its vertices), so  $\lim_{n \rightarrow \infty} (d(\gamma_1, \pi(\nu_n)) + d(\pi(\nu_n), \gamma_2)) = d(\gamma_1, p) + d(p, \gamma_2)$ . We can suppose that there exist a positive  $c$  such that  $d(\gamma_1, p) \geq c$ , since the geodesics are ultraparallel.

Since  $\nu_n$  is nontrivial for large  $n$ , this curve separates the surface  $\Sigma_n$  in two components. Let  $\mathcal{A}_n$  the sub-annulus of  $\Sigma_n$  bounded by  $\nu_n$  and the two vertical lines passing through the endpoints of  $\gamma_1$ .

We will consider separately the cases where the sequence  $(\pi(\nu_n))_n$ , up to taking a subsequence, is contained in a compact subset of  $\Omega$  (so it converges to a point inside  $\Omega$ ) or it converges to a point in  $\partial^2\Omega$ , the set of vertices of  $\Omega$ .

1. Suppose the sequence  $(\pi(\nu_n))_n$ , up to taking a subsequence, is contained in a compact subset of  $\Omega$ . Let  $q_1$  and  $q_2$  the two endpoints of  $\gamma_i$ . It is true that, for each  $n$ , there exists a point  $\xi_n$  in  $\mathbb{M}$  such that the geodesic triangle whose vertices are  $q_1, q_2$  and  $\xi_n$  is the smallest triangular domain containing  $\pi(\nu_n)$  and whose set of vertices contains  $q_1$  and  $q_2$  (we call the geodesic triangle  $T^n$ ). We have that the angle of  $T^n$  at the vertex  $\xi_n$  (call it  $\theta_n$ ) is such that  $\theta_n < \pi - \theta$ , for some  $\theta > 0$ ,

because  $d_{\mathbb{M}}(\xi_n, \gamma_1) > d_{\mathbb{M}}(\pi(\nu_n), \gamma_1) \geq c$ . We can also suppose  $\theta_n \geq \theta$ .

By the maximum principle,  $\mathcal{A}_n \subset T^n \times \mathbb{R}$ . Moreover, the sequence  $(\tilde{\nu}_n)_n$  converges to  $\nu$ . Moreover, there is a subsequence of  $\phi_n^{-1}(T^n \times \mathbb{R}) \subset U_n$  converging to a region  $R$  bounded by two vertical half-planes whose angle lies in the interval  $[\theta, \pi - \theta]$ . By construction,  $\phi_n^{-1}(\mathcal{A}_n) \subset U_n$  has a subsequence converging to a noncompact part of  $\tilde{\Sigma}_\infty$  bounded by  $\nu$ . So,  $R$  contains an end of  $\tilde{\Sigma}_\infty$ , which contradicts the fact that such ends must be asymptotic to the end of a plane or the end of a catenoid.

2. Suppose that  $(\pi(\nu_n))_n$  converges to a point in  $\partial^2\Omega$ . This vertex must be an endpoint of the geodesic  $\gamma_2$ . Denoting again the endpoints of  $\gamma_1$  by  $q_1$  and  $q_2$ , and noticing that  $\lim_{n \rightarrow \infty} l_{\mathbb{M}}(\pi(\nu_n)) = 0$ , we have that there exists points  $\xi_n$  and  $\zeta_n$  in  $\mathbb{M}$  such that the geodesic joining  $\xi_n$  and  $\zeta_n$  is perpendicular to  $\gamma_2$  and the geodesic quadrilateral  $Q^n$  whose vertices are  $q_1, q_2, \xi_n$  and  $\zeta_n$  is convex, contains  $\pi(\nu_n)$  and this is the smallest quadrilateral satisfying those properties.

By the maximum principle,  $\mathcal{A}_n \subset Q^n \times \mathbb{R}$ . Moreover, there is a subsequence of  $\phi_n^{-1}(Q^n \times \mathbb{R}) \subset U_n$  converging to a region  $R$  bounded by two parallel vertical planes and another vertical plane intersecting them. By construction,  $\phi_n^{-1}(\mathcal{A}_n) \subset U_n$  has a subsequence converging to a noncompact part of  $\tilde{\Sigma}_\infty$  bounded by  $\nu$ . So,  $R$  contains an end of  $\tilde{\Sigma}_\infty$ , which contradicts the fact that such ends must be asymptotic to the end of a plane or the end of a catenoid.

□

### 3.2.2 Convergence of $(\Sigma_n)_{n \in \mathbb{N}}$ for the case of unbounded domains

Let  $\check{\Sigma}_n$  be the vertical translation of  $\Sigma_n$  such that  $h^+(\Sigma_n) = 0$  and  $\check{p}_n$  the image of  $p_n^+ := p^+(\Sigma_n)$  under this translation. In this section, we are going to prove that there is a subsequence of  $(\check{\Sigma}_n)_n$  which converges to the minimal annulus described in Theorem 3.21.

**Lemma 3.29.** *There exists a compact subset of  $\mathbb{M}$  containing the set*

$$\{\pi(p_n^+); n \in \mathbb{N}\}.$$

*Proof.* By Proposition 3.28, the sequence  $(\Sigma_n)_n$  has uniformly bounded curvature, say  $\sup_n \sup_{\Sigma_n} \|A_{\Sigma_n}(p)\| \leq C$ . Then, the Uniform Graph Lemma (see Lemma 4.35 of [33]), there is a neighborhood of  $p_n^+$  in  $\Sigma_n$  which is a graph over the disc  $D_{r_n}(p_n^+) \subset T_{p_n^+} \Sigma_n$  (the last notation stands for the tangent plane of  $\Sigma_n$  at  $p_n^+$ ), where  $r_n := \min\{\frac{1}{4C}, \text{dist}_{\Sigma_n}(p_n^+, \partial\Sigma_n)\}$ . Furthermore, since the normal vector of  $\Sigma_n$  at  $p_n^+$  is vertical, it is clear that  $D_{r_n}^{\mathbb{M}}(\pi(p_n^+)) \subset \Omega_n$ . In particular,  $\text{dist}_{\Sigma_n}(p_n^+, \partial\Sigma_n) \geq \text{dist}_{\mathbb{M}}(\pi(p_n^+), \partial^2\Omega_n)$ , then  $D_{r'_n}(\pi(p_n^+)) \subset \Omega_n$ ,  $r'_n := \min\{\frac{1}{4C}, \text{dist}_{\mathbb{M}}(\pi(p_n^+), \partial^2\Omega_n)\}$ . So, if the lemma is not true, there is a subsequence of  $(\pi(p_n^+))_n$  converging to a point of  $\partial^2\Omega$ . But the interior angles of  $\Omega_n$  converge to zero as  $n$  goes to  $\infty$ , and this is a contradiction. Indeed, if  $\{r'_n; n \in \mathbb{N}\}$  is bounded from below by a positive number, the sequence  $(\pi(p_n^+))_n$  can not have a subsequence converging to a vertex, because the distance between the geodesics meeting at this vertex goes to zero as those geodesics approach the vertex. So, the sequence  $(r'_n)_n$  must converge to zero, then  $r'_n = \text{dist}_{\mathbb{M}}(\pi(p_n^+), \partial^2\Omega_n)$  for large  $n$ , then  $D_{r'_n}(\pi(p_n^+))$  contains a point of  $\partial^2\Omega_n$  (say,  $q_n$ ) and, by convexity of the disc, it contains small segments of the geodesics which constitutes the sides of  $\Omega_n$ , so the disc can not be contained in  $\Omega_n$ .  $\square$

For  $i = 1, 2$ , by Theorem 3.2 of [14], there are minimal solutions  $u_i^*$  on  $\Omega$  satisfying  $u_i^* = \infty$  on  $\gamma_i$  and  $u_i^* = 0$  on  $\eta_1 \cup \eta_2 \cup \gamma_j$  with  $\{i, j\} = \{1, 2\}$ . Define  $u^* = \sup\{u_1^*, u_2^*\}$ .

**Lemma 3.30.** *For all  $n$ ,  $\Sigma_n$  is below the graph of  $u^* + h^+(\Sigma_n)$  and above the graph of  $-u^* + h^+(\Sigma_n)$ .*

*Proof.* By Corollary 3.25,  $\Sigma_n$  is below the graph of  $u_n + h^+(\Sigma_n)$  and above the graph of  $-u_n + h^-(\Sigma_n)$ . Moreover, by Maximum principle we have  $u^* \geq u_n$  for all  $n$ , which proves the corollary.  $\square$

**Lemma 3.31.** *Using the notation above, there is a positive  $K$  sufficiently large such that  $\text{Int}(\check{\Sigma}_n^+(K))$  consists of two components, each of them being a graph over  $\gamma_i^n \times (K, \infty)$ , for  $i = 1, 2$ .*

*Proof.* For  $i$  fixed, let  $N$  the normal vector field of  $\gamma_i^n \times \mathbb{R}$ . Extend the vector field  $N$  to  $\mathbb{M} \times \mathbb{R}$  by parallel transport along the geodesics which are normal to  $\gamma_i^n \times \mathbb{R}$ . We are going to prove that, for large  $K$ , there is no point  $q \in \text{Int}(\check{\Sigma}_n^+(K))$  such that  $N_{\check{\Sigma}_n}(q) \perp N$ . If it does not hold, there exist a sequence  $(t_n)_n$  of real numbers converging to  $+\infty$  and  $q_n \in \check{\Sigma}_n \cap \{z = t_n\}$  satisfying  $N_{\check{\Sigma}_n}(q_n) \perp N$ . We can proceed with a standard blow-up argument



using the sequence of points  $(q_n)_n$  and the sequence of scaling constants  $(\lambda_n)_n$ , where

$$\lambda_n^{-1} := \sup_{q \in \check{\Sigma}_n \cap \{-1+z(q_n) \leq z \leq 1+z(q_n)\}} d_{\mathbb{M} \times \mathbb{R}}(q, \check{\Sigma}_n).$$

We have that  $\lambda_n \rightarrow 0$  when  $n \rightarrow \infty$ , because  $\check{\Sigma}_n$  is below the graph of  $u^*$  and the graph of this function is asymptotic to  $\gamma_i^n \times \mathbb{R}$ . Using this argument, we conclude that the sequence defined by  $\gamma_i^n \times \mathbb{R}$  converges, up to a subsequence, to a plane  $Q \subset \mathbb{R}^3$ . Similarly, the sequence of minimal surfaces defined by  $\check{\Sigma}_n \cap \{-1+z(q_n) \leq z \leq 1+z(q_n)\}$  converges to a plane or half-plane passing through  $O \in \mathbb{R}^3$  (the fixed point of the blow-up) and their normal vectors  $N_P$  and  $N_Q$ , respectively, are orthogonal. Moreover, the distance between  $P$  and  $Q$  is at most 1, which shows that they are parallel, contradicting the orthogonality between  $N_Q$  and  $N_P$ . Therefore, there exist  $K > 0$  such that the surface  $Int(\check{\Sigma}_n^+(K))$  is transverse to all the horizontal geodesics of  $\mathbb{M} \times \mathbb{R}$  which are orthogonal to  $\gamma_i^n$ .

In order to finish the proof of the lemma, denote by  $\check{\Sigma}_n^+(K, i)$  the simply connected component of  $\check{\Sigma}_n^+(K)$  containing  $\partial\check{\gamma}_i^n \times [K, +\infty)$  in its ideal boundary. Along the flow of  $N$ , the surface  $\check{\Sigma}_n^+(K, i)$  projects onto  $\partial\check{\gamma}_i^n \times [K, +\infty)$ , and we denote by  $\pi_2 : Int(\check{\Sigma}_n^+(K, i)) \rightarrow \gamma_i^n \times (K, +\infty)$  this projection. Clearly,  $\pi_2$  is a local diffeomorphism. Moreover, given any point  $p$  in  $\gamma_i^n \times (K, +\infty)$ , the geodesic passing through  $p$  which is orthogonal to this plane intersect  $Int(\check{\Sigma}_n^+(K, i))$  only in a finite number of points, by transversality. Consequently,  $\pi_2$  is a covering map, and since  $\gamma_i^n \times (K, +\infty)$  is simply connected,  $\pi_2$  is a diffeomorphism, hence  $Int(\check{\Sigma}_n^+(K, i))$  is a graph over  $\gamma_i^n \times (K, +\infty)$ .  $\square$

Given a complete geodesic  $\xi$  in  $\mathbb{M}$ , if  $q$  is a point in the asymptotic boundary of  $\mathbb{M}$  disjoint from the closure of  $\xi$ , we call by  $\mathcal{M}_{\alpha, q}$  the half-plane determined by  $\xi$  containing  $q$  in its asymptotic boundary. Moreover, if  $(\chi_n)_n$  is a sequence of geodesics, each of them orthogonal to  $\xi$ , we say that this sequence *converges to*  $q \in \mathbb{M} \cup \partial\mathbb{M}$  if  $(\chi_n \cap \xi)_n$  converges to  $q$  in the closure topology.

**Lemma 3.32.** *If  $p$  is an ideal vertex of  $\Omega$  which is an endpoint of  $\gamma_i$ , there is a geodesic  $\gamma_i^\perp$  orthogonal to  $\gamma_i$  such that, for all sufficiently large  $n$ , the set  $\Sigma_n \cap (\mathcal{M}_{\gamma_i^\perp, p} \times \mathbb{R})$  is a normal graph over a subdomain of  $\gamma_i \times \mathbb{R}$ .*

*Proof.* Suppose that  $p$  is an endpoint of the geodesic  $\gamma_1$  and  $\eta_1$ . As before, extend  $N$ , the unit normal vector field of  $\gamma_1 \times \mathbb{R}$ , to  $\mathbb{M} \times \mathbb{R}$  by parallel

transport along the geodesics which are normal to  $\gamma_1 \times \mathbb{R}$ .

First, we prove that there is a complete geodesic  $\gamma_1^\perp$  orthogonal to  $\gamma_1$  such that, for all sufficiently large  $n$ , the vector field  $N$  is tranverse to  $\Sigma_n$  in the region  $\mathcal{M}_{\gamma_1^\perp, p} \times \mathbb{R}$ .

Suppose the previous claim is not true. Then, there is a sequence of geodesics  $(\chi_n)_n$  orthogonal to  $\gamma_1$  converging to  $p$ , a sequence  $(k_n)_n$  in  $\mathbb{N}$  and points  $q_n \in \Sigma_{k_n}$  such that the vectors  $N_{\Sigma_{k_n}}(q_n)$  and  $N$  are orthogonal at  $q_n$  (for simplicity, we assume  $k_n = n$ ). We then use a blow-up argument with the sequences  $(q_n)_n$  and  $(\lambda_n)_n$ , where  $\lambda_n^{-1} := d_{\mathbb{M} \times \mathbb{R}}(q_n, \gamma_1 \times \mathbb{R})$ . Using the notation of the Proposition 3.12, we have that the sequence of minimal surfaces

$$\phi_n^{-1}(\Sigma_n) \subset U_n := (T_{q_n}(\mathbb{M} \times \mathbb{R}), \phi_n^*(g + dt^2))$$

passes through a fixed point  $O$ ,  $N_{\phi_n^{-1}(\Sigma_n)}(O) \perp N$ , has uniformly bounded curvature and it is located in one side of  $\phi_n^{-1}(\gamma_1 \times \mathbb{R})$ . Since the sequence  $(\lambda_n)_n$  converges to zero as  $n$  goes to  $\infty$ , then the sequence  $\phi_n^{-1}(\Sigma_n) \subset U_n$  converges to a vertical plane  $P$  in  $\mathbb{R}^3$  passing through  $O$  satisfying  $d_{\mathbb{R}^3}(O, P) = 1$ .

Notice that  $d_{\Sigma_n}(q_n, \partial\Sigma_n) \geq d_{\mathbb{M} \times \mathbb{R}}(q_n, \partial\Sigma_n) \geq d_{\mathbb{M}}(\pi(q_n), p_n)$ , where  $p_n$  is the vertex of  $\Omega_n$  contained in  $\mathcal{M}_{\chi_n, p}$ . Since the angle of  $\Omega_n$  at  $p_n$  converges to 0 as  $n \rightarrow \infty$ , it is true that  $\frac{d_{\mathbb{M}}(\pi(q_n), p_n)}{d_{\mathbb{M}}(\pi(q_n), \gamma_1)} \rightarrow \infty$ , then  $\lambda_n d_{\Sigma_n}(q_n, \partial\Sigma_n) \rightarrow \infty$ . This relation implies that the sequence  $\phi_n^{-1}(\Sigma_n)$  converges to a complete plane  $Q$  in  $\mathbb{R}^3$ , since all of them passes through  $O$  and their curvatures converge uniformly to zero (the product  $\lambda_n d_{\Sigma_n}(q_n, \partial\Sigma_n)$  is the distance of  $O$  to  $\phi_n^{-1}(\Sigma_n)$  in  $U_n$ ). We have that  $Q$  is contained in one side of  $P$ , and it contradicts the fact that their normal vectors are orthogonal.

Now, we prove that the set  $\Sigma_n \cap (\mathcal{M}_{\gamma_1^\perp, p} \times \mathbb{R})$  is connected. We can choose  $\gamma_1^\perp$  such that it intersects  $\eta_1$  in  $\mathbb{M}$ . We know that, for all  $n$ ,  $\Sigma_n$  is a vertical bigraph over a subdomain of  $\Omega_n$ , bounded by  $\gamma_1^n$ ,  $\gamma_2^n$  and two strictly concave arcs  $\xi_1^n$  and  $\xi_2^n$ , each of them connecting the vertices of  $\eta_1^n$  and  $\eta_2^n$ . We say that  $p_n$  is one of the endpoints of  $\xi_1^n$ . By concavity, the curve  $\xi_1^n$  must intersect only once, and it is clear that  $\Sigma_n \cap (\mathcal{M}_{\gamma_i^\perp, p} \times \mathbb{R})$  must be a bigraph over the region bounded by  $\gamma_1$ ,  $\gamma_1^\perp$  and  $\xi_1^n$ , which is connected, hence  $\Sigma_n \cap (\mathcal{M}_{\gamma_i^\perp, p} \times \mathbb{R})$  is connected. We finish the proof proceeding as in Lemma 3.31.  $\square$

**Proposition 3.33.** *The sequence  $h^+(\Sigma_n) - h^-(\Sigma_n)$  is bounded.*

*Proof.* Assuming the contrary, we can suppose that  $(h^+(\Sigma_n) - h^-(\Sigma_n))_n$  goes to  $+\infty$  as  $n \rightarrow \infty$ , and consider  $\check{\Sigma}_n$  as in the beginning of the subsection.

By Lemma 3.29, the points  $\pi(\check{p}_n)$  are contained in a compact subset of  $\mathbb{M}$ , so they have a subsequence converging to a point  $\check{p}_\infty$ . Since the sequence of minimal surfaces  $\check{\Sigma}_n$  has bounded curvature and it has an accumulation point, by the Appendix B of [7], there is a subsequence of  $(\check{\Sigma}_n)_n$  converging to a minimal lamination  $\mathcal{L}$  of  $\mathbb{M} \times \mathbb{R}$ . Let  $\check{\Sigma}_\infty$  the leaf of  $\mathcal{L}$  passing through  $\check{p}_\infty$ . Proceeding analogously as in Lemma 3.15, we have that there is a neighborhood  $U$  of  $\check{p}_\infty$  in  $\mathbb{M} \times \mathbb{R}$  such that  $\mathcal{L} \cap U = \check{\Sigma}_\infty \cap U$  and  $\check{\Sigma}_n \cap U$  converges to  $\check{\Sigma}_\infty \cap U$  with multiplicity 1.

By Lemma 3.31, for  $M$  large enough, each minimal surface  $\check{\Sigma}_n \cap \{z > M\}$  is a normal graph over  $\gamma_i^n \times \mathbb{R}$  lying below the graph of  $u^*$ . Passing the limit, the surface  $\check{\Sigma}_\infty \cap \{z > M\}$  is also a normal graph over  $\gamma_i^n \times \mathbb{R}$  which lies below the graph of  $u^*$  (consequently, it is asymptotic to  $(\gamma_1 \cup \gamma_2) \times \mathbb{R}$  as  $z \rightarrow \infty$ ), and it is a limit of multiplicity 1.

If  $q$  is a vertex of  $\Omega$ , say, the common endpoint of  $\gamma_1$  and  $\eta_1$ . By Lemma 3.32, there exists a geodesic  $\gamma_1^\perp$  orthogonal to  $\gamma_1$  such that  $\Sigma_n \cap (\mathcal{M}_{\gamma_1^\perp, p} \times \mathbb{R})$  is a normal graph on a slab of  $\gamma_1 \times \mathbb{R}$ . Consequently,  $\check{\Sigma}_n \cap (\mathcal{M}_{\gamma_1^\perp, p} \times \mathbb{R})$  is a normal graph over a subdomain of  $\gamma_1^n \times \mathbb{R}$ . Thus,  $\check{\Sigma}_\infty \cap (\mathcal{M}_{\gamma_1^\perp, p} \times \mathbb{R})$  is the limit with multiplicity 1 and is a normal graph over a region contained in  $\gamma_1 \times \mathbb{R}$ . In particular, the boundary at infinity of  $\check{\Sigma}_\infty$  consists of the four vertical lines passing through the vertices of  $\Omega$ .

We can conclude, using Proposition 3.26, that  $\check{\Sigma}_n^+(\frac{1}{2}h^-(\check{\Sigma}_n))$  is simply connected, and since  $\check{\Sigma}_\infty$  is the limit of such surfaces,  $\check{\Sigma}_\infty$  is itself simply connected. Proceeding as in Lemma 3.16, we obtain that  $\check{\Sigma}_\infty$  is a vertical graph defined on  $\Omega$  assuming the values  $+\infty$  on  $\gamma_1 \cup \gamma_2$  and  $-\infty$  on  $\eta_1 \cup \eta_2$ . By Theorem 3.1 of [14], we obtain the equality  $a(\partial\Omega) = P(\partial\Omega)$ , following the notation of the reference, and it means that  $d_{\mathbb{M}}(\gamma_1, \gamma_2) \geq 2\ln(\sqrt{2} + 1)$ , a contradiction.  $\square$

Now, we are going to prove Theorem 3.21, the main result of the chapter.

*Proof.* Taking the sequence  $(\Sigma_n)_n$ , Lemma 3.29 and Proposition 3.33 guarantee that the sequences  $(p_n^+)_n$  and  $(p_n^-)_n$  are bounded, so those points have subsequences converging to  $p_\infty^+$  and  $p_\infty^-$ , those points being symmetric with respect to the slice  $\mathbb{M} \times \{0\}$ . As in Proposition 3.33, we obtain, using Appendix B of [7] and Lemma 3.15, the existence of  $U$  (resp.  $U'$ ), which is a neighborhood of  $p_\infty^+$  (resp.  $p_\infty^-$ ) such that there is a surface  $\Sigma_\infty$  containing  $p_\infty^+$  and  $p_\infty^-$  and  $(\Sigma_n \cap U)_n$  (resp.  $(\Sigma_n \cap U')_n$ ) converges to  $\Sigma_\infty \cap U$  (resp.  $\Sigma_\infty \cap U'$ ) with multiplicity one.

Again, by Lemma 3.31, for  $M$  large enough, each minimal surface  $\Sigma_n \cap \{|z| > M\}$  is a normal graph over  $\gamma_i^n \times \mathbb{R}$  lying below the graph of  $u^* + \sup_n h^+(\Sigma_n)$  and above the graph of  $-u^* + \inf_n h^-(\Sigma_n)$ . Passing the limit, the surface  $\Sigma_\infty \cap \{|z| > M\}$  is also a normal graph over  $\gamma_i^n \times \mathbb{R}$  which lies below the graph of  $u^* + \sup_n h^+(\Sigma_n)$  and above the graph of  $-u^* + \inf_n h^-(\Sigma_n)$  (consequently, it is asymptotic to  $(\gamma_1 \cup \gamma_2) \times \mathbb{R}$  as  $z \rightarrow \infty$  and  $z \rightarrow -\infty$ ), and it is a limit of multiplicity 1.

For each vertex  $q$  of  $\Omega$ , by Lemma 3.32, there exists a region  $\mathcal{M}_q$  bounded by a complete geodesic of  $\mathbb{M}$  perpendicular to  $\gamma_i$  (we assume  $q$  is an endpoint of  $\gamma_i$ ) and  $q$  is contained in the boundary at infinity of  $\mathcal{M}_q$  such that  $\Sigma_\infty \cap (\mathcal{M}_q \times \mathbb{R})$  is a normal graph on  $(\mathcal{M}_q \cap \gamma_i) \times \mathbb{R}$ . In particular, the boundary at infinity of  $\Sigma_\infty$  is given by the four vertical lines at the vertices of  $\Omega$ . Moreover, outside the compact  $(\Omega \setminus \cup_{q \in \partial^2 \Omega} \mathcal{M}_q) \times \mathbb{R}$ ,  $\Sigma_\infty$  is a normal graph on  $\gamma_i \times \mathbb{R}$  and is asymptotic to  $\gamma_i \times \mathbb{R}$ , for  $i = 1, 2$ .

The proof that  $\Sigma_\infty$  is a topological annulus and that this is a bigraph is analogous to the one in Theorem 3.1.  $\square$

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Minimal surfaces of finite total curvature in  $\mathbb{M} \times \mathbb{R}$ 


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## 4.1 Introduction

The goal of this chapter is to study minimal surfaces in  $\mathbb{M} \times \mathbb{R}$  having finite total curvature, where  $\mathbb{M}$  is a Hadamard manifold with pinched sectional curvature. The main result gives a formula to compute the total curvature in terms of topological, geometrical and conformal data of the minimal surface. In particular, we prove the total curvature is an integral multiple of  $2\pi$ .

## 4.2 Preliminaries

Let  $X : \Sigma \rightarrow \mathbb{M} \times \mathbb{R}$  be a minimal conformal immersion of the surface  $\Sigma$  in  $\mathbb{M} \times \mathbb{R}$ , where  $\mathbb{M}$  is a Hadamard surface satisfying  $-a^2 \leq K_{\mathbb{M}} \leq -b^2$ , for positive constants  $a$  and  $b$ . We can decompose the immersion  $X$  as  $(h, f)$ , where  $h$  and  $f$  are the projections of  $X$  in the first and second factors of  $\mathbb{M} \times \mathbb{R}$ , respectively. Since  $X$  is minimal, the maps  $h$  and  $f$  are harmonic.

We consider local conformal parameters for a simply-connected open domain  $\Omega \subset \Sigma$ , given by  $w = u + iv$ . In  $\mathbb{M}$ , we can take global conformal parameters  $z = x + iy$  such that  $\mathbb{M}$  is isometric to  $(\mathbb{D}, \frac{4\alpha(z)^2}{(1-|z|^2)^2}|dz|^2)$ , where  $\alpha$  is a smooth function bounded between two positive constants (see [23]). With these notations, we can write the equation satisfied by the harmonic map  $h$ :

$$\sigma h_{w\bar{w}} + 2(\sigma_z \circ h)h_w h_{\bar{w}} = 0,$$

where  $\sigma(z)^2 = \frac{4\alpha(z)^2}{(1-|z|^2)^2}$ .

Associated to this map, we have the holomorphic *Hopf differential* of  $h$ , given by

$$\mathcal{Q}(h) = (\sigma \circ h)^2 h_w \bar{h}_w dw^2$$

(for short, we write  $\phi$  for  $(\sigma \circ h)^2 h_w \bar{h}_w$ ).

Since  $X$  is a conformal immersion, the following equalities hold:

$$\begin{aligned} \sigma^2 |h_u|^2 + f_u^2 &= \sigma^2 |h_v|^2 + f_v^2; \\ \sigma^2 \langle h_u, h_v \rangle + f_u f_v &= 0. \end{aligned}$$

A trivial consequence of the above equations is that  $\phi = -f_w^2$ , hence the zeroes of  $\phi$  have even order. Furthermore, we define  $\eta$  as the holomorphic 1-form in  $\Omega$  given by  $\eta = -2i\sqrt{\phi(w)}dw$ , where the square root of  $\phi$  is chosen in such a way that

$$f = \operatorname{Re} \int_w \eta. \quad (4.1)$$

Considering  $N$  the unit normal vector field along  $\Sigma$ , denote by  $N_3$  the function  $\langle N, \partial_t \rangle$ , where  $t$  is a global parameter for  $\mathbb{R}$ . Define  $\xi$  as the function given by  $\xi := \tanh^{-1}(N_3)$ . We can conclude from [40] that the function  $\xi$  satisfies the sinh-Gordon equation:

$$\Delta_0 \xi = -2K_{\mathbb{M}} \sinh(2\xi) |\phi|, \quad (4.2)$$

where  $\Delta_0$  stands for the Euclidean Laplacian.

Writing the metric of  $\Sigma$  in terms of  $\xi$ , we have:

$$ds^2 = \cosh^2(\xi) |\eta|^2 = 4 \cosh^2(\xi) |\phi| |dz|^2.$$

Finally, we denote by  $K_\Sigma$  the Gaussian curvature of  $\Sigma$ . The Gauss equation states that

$$K_\Sigma = K_{\mathbb{M} \times \mathbb{R}}(X_u, X_v) + K_{ext},$$

where  $K_{ext}$  is the extrinsic curvature of  $\Sigma$ . Since  $X$  is minimal and the sectional curvature of  $\mathbb{M} \times \mathbb{R}$  is nonpositive, the curvature  $K_\Sigma$  is nonpositive. The total curvature of  $\Sigma$  is defined by

$$C(\Sigma) = \int_\Sigma K_\Sigma dA.$$

### 4.3 Minimal surfaces of finite total curvature

We are going to prove the following result:

**Theorem 4.1.** *Let  $X$  be a complete minimal immersion of  $\Sigma$  in  $\mathbb{M} \times \mathbb{R}$  with finite total curvature. Then*

1.  $\mathcal{Q}$  is holomorphic on  $S \setminus \{p_1, \dots, p_n\}$  and extends meromorphically to each puncture. Moreover, parametrizing a neighborhood of each puncture  $p_j$  by the exterior of a disc and writing

$$\mathcal{Q}(z) = \left( \sum_{k \geq 1} \frac{a_{-k}}{z^k} + P_j(z) \right)^2 dz^2$$

around  $p_j$ , where  $P_j$  is a polynomial function, then  $P_j$  is not identically zero. We denote the degree of  $P_j$  by  $m_j$ .

2. The third coordinate of the unit normal vector  $N_3$  converges to 0 uniformly at each puncture.
3. The total curvature is a multiple of  $2\pi$ . More precisely, the following equality holds:

$$\int_{\Sigma} K_{\Sigma} = 2\pi(2 - 2g - 2n - \sum_{k=1}^n m_k).$$

**Definition.** We say that  $m_j$  is the *degree* of  $p_j$ .

*Proof.* It is well known that  $\Sigma$  is conformally equivalent to  $S \setminus \{p_1, \dots, p_n\}$ , a compact Riemann surface  $S$  punctured in a finite number of points. This follows directly from Huber's theorem (see [21]).

1. For  $j = 1, \dots, n$ , let  $U_j$  be a neighborhood of  $p_j$  such that  $U_j \cap U_k = \emptyset$  if  $j \neq k$  and there exists a biholomorphism  $\psi_j : D(0, 1) \rightarrow U_j$  mapping 0 to  $p_j$ . If  $0 < r < 1$ , define  $U_j(r)$  by  $\psi_j(D(0, r))$ , the set  $S(r)$  by  $S \setminus \cup_{k=1}^n U_k(r)$  and  $S^*$  by  $S \setminus \{p_1, \dots, p_n\}$ . Around  $p_j$ , we can take  $U_j(r) \setminus \{p_j\}$  as a neighborhood of this puncture in  $S^*$ , and the corresponding end representative of  $\Sigma$  can be parametrized by  $A(1/r) := \mathbb{C} \setminus \overline{D(0, 1/r)}$ . From now on, if  $R_j > 1$ , we denote by  $E_j$  the end representative of  $\Sigma$  corresponding to  $U_j(R_j^{-1}) \setminus \{p_j\}$ . In these coordinates, the metric is given by

$$ds^2 := \lambda^2 |dz|^2 = 4\cosh^2(\xi) |\phi| |dz|^2.$$

If  $u := \log \cosh^2(\xi)$ , we have that

$$\Delta_0 u = \frac{2\|\nabla_0 \xi\|^2}{\cosh^2(\xi)} + 2 \tanh(\xi) \Delta_0 \xi = \frac{2\|\nabla_0 \xi\|^2}{\cosh^2(\xi)} - 8K_{\mathbb{M}} \sinh^2(\xi) |\phi| \geq 0.$$

Clearly,  $u$  is a subharmonic function.

**Claim.** *The quadratic differential  $\mathcal{Q}$  has a finite number of zeroes in  $S$ .*

*Proof.* Clearly, the number of zeroes in  $S(r)$  is finite, since they are isolated and  $S(r)$  is compact. Fix  $j \in \{1, \dots, n\}$ . If  $\mathcal{Z}_j$  is the set of zeroes of  $\phi$  in  $E_j$ , we have that

$$\Delta_0 \log |\phi| = \sum_{z \in \mathcal{Z}_j} 2\pi m(z) \delta_z,$$

where  $m(z)$  is the multiplicity of  $z$  as a zero of  $\phi$ .

It is well-known that  $-K_{\Sigma} \lambda^2 = \Delta_0 \log \lambda$ , hence the following equality holds:

$$-2K_{\Sigma} \lambda^2 = \Delta_0 u + \Delta_0 \log |\phi|. \tag{4.3}$$

Denote by  $A(R_j, R)$  the annulus  $\{z \in \mathbb{C}; R_j \leq |z| \leq R\}$  and by  $D_{\epsilon}$  the union of discs of radius  $\epsilon$  around the points of  $\mathcal{Z}_j$ . Integrating the identity (4.3) over  $A(R_j, R) \setminus D_{\epsilon}$ , we have that

$$-2 \int_{A(R_j, R) \setminus D_{\epsilon}} K_{\Sigma} \lambda^2 = \int_{A(R_j, R) \setminus D_{\epsilon}} \Delta_0 u = \int_{\partial A(R_j, R)} \partial_{\nu} u + \int_{\partial D_{\epsilon}} \partial_{\nu} u. \tag{4.4}$$

In a neighborhood of  $w \in \mathcal{Z}_j$ , the function  $u + m(w) \log |z - w|$  is regular and smooth. Since  $\nu$  points inside  $D_{\epsilon}$ , we have that

$$\lim_{\epsilon \rightarrow 0} \int_{\partial D_{\epsilon}} \partial_{\nu} u = 2\pi m(w).$$

Substituting into (4.4),

$$-2 \int_{A(R_j, R)} K_{\Sigma} \lambda^2 = \sum_{w \in \mathcal{Z}_j} 2\pi m(w) + \int_{\partial A(R_j, R)} \partial_{\nu} u,$$



therefore

$$\int_{\mathbb{S}^1} \partial_r u(R, \theta) R d\theta = \int_{\mathbb{S}^1} \partial_r u(R_j, \theta) R_j d\theta - 2 \int_{A(R_j, R)} K_\Sigma \lambda^2 - \sum_{w \in \mathcal{Z}_j} 2\pi m(w). \tag{4.5}$$

Let  $U(r) = \int_{\mathbb{S}^1} u(r, \theta) d\theta$ . Clearly, the function  $U$  is continuous and the derivative of  $U$  is well defined when  $\{|z| = r\}$  has no zeroes of  $\phi$  (we can suppose this is the case for  $r = R_j$ ). In principle,  $U$  would take values on  $[0, +\infty]$ , but it only takes real values. In fact, if  $r^\infty \in U^{-1}(+\infty)$ , we have that, when  $r^\infty - r'$  is a small enough positive number, then  $[r', r^\infty) \cap U^{-1}(+\infty) = \emptyset$  and  $|U'|$  is uniformly bounded in  $(r', r^\infty)$  by a constant  $D$ , by the identity (4.5). If  $r \in (r', r^\infty)$ , we have

$$U(r) = U(r') + \int_{r'}^r U'(x) dx \leq U(r') + D(r - r'),$$

thus  $U(r^\infty) \leq U(r') + D(r^\infty - r')$  and  $U(r^\infty)$  is finite, a contradiction.

If the number of zeroes of  $\phi$  is infinite, for large  $R$ , we have that

$$R \partial_r U(R) \leq -1.$$

Hence, when  $R$  is large, the function  $U$  is decreasing and  $U(R) \leq C - \log R$ , thus  $U(R) < 0$  for some  $R$ , a contradiction, because  $U \geq 0$ .  $\square$

A trivial corollary of last claim is that  $\int_{A(R_j, R)} \Delta_0 u$  is nonnegative and bounded from above by  $-2C(\Sigma)$ , consequently the integral  $\int_{A(R_j, R)} \Delta_0 u$  is uniformly bounded on  $(R_j, \infty)$ .

**Claim.** *The inequality  $\cosh^2(\xi)|\phi| \leq B|z|^B|\phi|$  holds in  $A(R_j)$ , for a positive constant  $B > 0$  and for sufficiently large  $R_j > 0$ .*

*Proof.* This follows the same ideas of the analogous result in [19].  $\square$

**Claim.** *The differential  $\mathcal{Q}$  is holomorphic on  $S \setminus \{p_1, \dots, p_n\}$  and extends meromorphically to each puncture.*

*Proof.* Considering  $R_j$  to be large enough, we can take  $B$  as an even integer and  $\phi$  as a function without zeroes in  $A(R_j)$ . If  $\pi : \widetilde{A(R_j)} \rightarrow A(R_j)$  is the double cover of  $A(R_j)$ , we have that  $(Bz^B \phi) \circ \pi$  is the square of a holomorphic function  $\rho$ . We obtain that  $(\cosh(\xi)|\phi|^{\frac{1}{2}}) \circ \pi \leq |\rho|$ , and by Lemma 9.6 of [32], since  $X$  is a complete immersion, the function  $\rho$  extends meromorphically to infinity, hence we can extend  $\phi$  meromorphically to the punctures.  $\square$

**Claim.** *If the differential  $\mathcal{Q}$  is written as*

$$\mathcal{Q}(z) = \left( \sum_{k \geq 1} \frac{a_{-k}}{z^k} + P_j(z) \right)^2 dz^2$$

*around  $p_j$ , where  $P_j$  is a polynomial function, then  $P_j$  is not identically zero.*

*Proof.* First, we are going to prove the claim when  $a_{-1} = 0$ . In fact, if the claim is false in this case, then, up to a conformal change of coordinates, we can suppose that  $\mathcal{Q}(z) = z^{2k_j} dz^2$ , for some integer  $k_j$  satisfying  $k_j \leq -2$ . In this situation, the integral  $\int_{A(R_j)} |\phi(z)| dz$  is finite. Therefore, we obtain that

$$\begin{aligned} \int_{E_j} -K_\Sigma dA &= \int_{A(R_j)} \Delta_0 \log \lambda dz = \int_{A(R_j)} \Delta_0 u dz \\ &\geq \int_{A(R_j)} \frac{2 \|\nabla_0 \xi\|^2}{\cosh^2(\xi)} dz - \int_{A(R_j)} 8K_{\mathbb{M}} \sinh^2(\xi) |\phi| dz \\ &\geq \int_{A(R_j)} 8b^2 \sinh^2(\xi) |\phi| dz, \end{aligned}$$

consequently the following inequality holds:

$$\int_{A(R_j)} 8b^2 |\phi| dz - \int_{E_j} K_\Sigma dA \geq \int_{A(R_j)} 8b^2 \cosh^2(\xi) |\phi| dz.$$

We conclude that  $\text{Area}(E_j) = \int_{A(R_j)} 4 \cosh^2(\xi) |\phi| dz$  is finite, which contradicts the fact that a complete end of  $\Sigma$  must have infinite area (see Remark 4 in the Appendix of [12]).

Now we prove the claim when  $a_{-1}$  is nonzero. Indeed, suppose the end associated to  $p_j$  satisfies  $a_{-1} \neq 0$  and  $P_j \equiv 0$ . For a conformal parameter  $z$  in  $E_j$  satisfying  $\mathcal{Q}(z) = -c_j^2 z^{-2} dz^2$ , for some  $c_j > 0$ , we obtain the equality

$$f(z) = 2c_j \operatorname{Re} \left( \int_z u^{-1} du \right) = 2c_j \log(|z|/R).$$

We conclude that the intersection of  $E_j$  with  $\mathbb{M} \times \{t\}$  is a compact curve, for  $t \geq 0$ , and that  $E_j$  is properly immersed.

Since  $K_{\mathbb{M}} \leq -b^2$ , we can take a vertical rotational catenoid  $\mathcal{C}$  in  $\mathbb{M} \times \mathbb{R}$  whose mean curvature vector field points inwards, whose height is

bounded and such that  $\partial E_j$  is disjoint from all vertical translations of  $\mathcal{C}$  (see the Appendix for the meaning of "inwards" and the existence of such catenoid). Then, if  $T_x(\mathcal{C})$  is a vertical translation of  $\mathcal{C}$  by  $x \in \mathbb{R}$ , we have  $T_{-n}(\mathcal{C}) \cap E_j$  is empty for large enough  $n \in \mathbb{N}$ . Moving the catenoid vertically in the positive direction, since the catenoid can not have a first point of contact with  $E_j$ , by the maximum principle, we have that  $E_j$  is cylindrically bounded, and it has unbounded height. But this contradicts Proposition 5.2, then  $P_j$  must not be identically zero.  $\square$

**Remark.** Since the polynomials  $P_j$  are not identically zero, we can conformally parametrize  $E_j$  such that, by Theorem 6.4 of [41], the Hopf differential of  $h$  near  $p_j$  satisfies

$$Q(z) = \left( (m_j + 1)z^{m_j} + \frac{c_j i}{z} \right)^2 dz^2,$$

for some  $c_j \in \mathbb{R}$  (the coefficient  $c_j$  is real because the function  $f$  is well defined by (4.1)). We are going to assume this expression, unless otherwise stated.

**Remark.** There are several manners to prove that  $P_j$  is not the zero polynomial when  $a_{-1} \neq 0$ . Consider in  $\mathbb{M}$  the Fermi coordinates given by  $\phi(s, t) = \exp_{\alpha(t)}(sJ\alpha'(t))$ , for  $(s, t) \in \mathbb{R}^2$  and some geodesic  $\alpha$  which does not intersect  $h(\partial E_j)$ . In order to prove that  $E_j$  is cylindrically bounded, we could use the barriers defined by the graph of the function

$$f(s) = \frac{1}{k} \log(\tanh(\frac{ks}{2})), s > 0,$$

where  $k \in (0, b)$ . Supposing that  $h(\partial E_j)$  is contained in the region  $\{\phi(s, t) \in \mathbb{M}; s < 0\}$ , we have that the mean curvature vector field of the graph of  $f$  points upwards (see [14] for the proof), and proceeding as before, we conclude that  $h(E_j)$  is contained in the convex hull of  $h(\partial E_j)$ , therefore  $E_j \subset D(p, R) \times \mathbb{R}$ , for some  $p \in \mathbb{M}$ ,  $R > 0$ . In addition, we can prove that  $E_j$  can not be cylindrically bounded considering a family of rotational catenoids with mean curvature vector field pointing inwards. We suppose this family varies from a surface containing  $D(p, R) \times \mathbb{R}$  in its complement to a double-sheeted covering of a horizontal slice  $\mathbb{H}^2 \times \{t\}$ , for a sufficiently large  $t > 0$  (the existence of this family

of catenoids is guaranteed in the Appendix). Then, when we vary the catenoids, we obtain a first point of contact of  $E_j$  and one of the annuli, a contradiction to the maximum principle (see [37]).

2. We prove here that  $N_3$  goes to 0 in each puncture. We choose  $R_j$  large enough to guarantee that  $\phi$  has no zeroes in  $E_j$  and, in this situation, it is clear that the metric  $g_\phi = |\phi(z)||dz|^2$  is flat. Denoting by  $D_{|\phi|}(z, r)$  a disc in  $E_j$  with respect to the metric  $g_\phi$  centered in  $z$  of radius  $r$ , by Proposition 2.1 and Lemma 2.4 of [18] (which also can be applied to this context), there exist positive constants  $R'$  and  $c'$  such that, if  $|z| > R'$ , then  $F := \int \sqrt{\phi} dz$  is well defined in  $D_{|\phi|}(z, c'|z|)$  and it is a conformal diffeomorphism onto its image. If  $w$  are the coordinates in  $D_{|\phi|}(z, c'|z|)$  induced by  $F$  such that  $w(z) := F(z) = 0$ , we have that  $g_\phi = |dw|^2$ . Therefore, if  $|z| > \max\{1/c', R'\}$ , define in  $D_{|\phi|}(z, 1)$  the metric

$$d\mu^2 = \sigma^2 |dw|^2 := \frac{4\alpha(w)^2}{(1 - d_{|\phi|}(w, 0))^2} |dw|^2,$$

where  $d_\phi$  is the distance function in the metric  $|dw|^2$ . Notice that this metric is precisely the metric of  $\mathbb{M}$  in the disc  $D_{|\phi|}(z, 1)$ . Then its curvature function, denoted by  $\tilde{K}$ , satisfies the inequalities  $-a^2 \leq \tilde{K} \leq -b^2$ .

The functions  $\xi$  and  $\tilde{\xi} := \log \sigma$  satisfy

$$\begin{aligned} \Delta_{|\phi|} \xi &= -2K_{\mathbb{M}} \sinh(2\xi); \\ \Delta_{|\phi|} \tilde{\xi} &= -\tilde{K} e^{2\tilde{\xi}}. \end{aligned}$$

If  $\eta := \xi - \tilde{\xi}$ , we have

$$\begin{aligned} \Delta_{|\phi|} \eta &= -K_{\mathbb{M}}(e^{2\xi} - e^{-2\xi} - \frac{\tilde{K}}{K_{\mathbb{M}}} e^{2\tilde{\xi}}) \\ &\geq b^2 e^{2\xi} - a^2 e^{-2\xi} - a^2 e^{2\tilde{\xi}} \\ &\geq e^{2\tilde{\xi}}(b^2 e^{2\eta} - a^2 C e^{-2\eta} - a^2), \end{aligned}$$

where  $C := \max_{w \in D_{|\phi|}(z, 1)} e^{-4\tilde{\xi}(w)}$ . Since  $\eta$  goes to  $-\infty$  as  $w$  goes to  $\partial D_{|\phi|}(z, 1)$ , we have that  $\eta$  is bounded from above and it has a maximum at a point  $p_0 \in D_{|\phi|}(z, 1)$ . Obviously,  $\Delta_{|\phi|} \eta(p_0) \leq 0$ , then, at this

point,

$$\begin{aligned}
-e^{2\tilde{\xi}}K_{\mathbb{M}}(e^{2\eta} - e^{-4\tilde{\xi}}e^{-2\eta} - \frac{\tilde{K}}{K_{\mathbb{M}}}) &\leq 0 \Leftrightarrow \\
e^{2\eta} - e^{-4\tilde{\xi}}e^{-2\eta} &\leq \frac{\tilde{K}}{K_{\mathbb{M}}} \leq \frac{a^2}{b^2} \Leftrightarrow \\
e^{4\eta} - \frac{a^2}{b^2}e^{2\eta} - e^{-4\tilde{\xi}} &\leq 0 \Leftrightarrow \\
2e^{2\eta(p_0)} &\leq \frac{a^2}{b^2} + \sqrt{\frac{a^4}{b^4} + 4C} =: 2C_1.
\end{aligned}$$

Since  $\eta$  maximizes at  $p_0$ , we obtain that  $\eta \leq \eta(p_0) \leq \log\sqrt{C_1}$ , hence we conclude the inequality  $\xi \leq \tilde{\xi} + \log\sqrt{C_1}$ . We can apply the same reasoning to  $-\xi$  instead of  $\xi$ , then we have that, at  $w = 0$ ,

$$|\xi(0)| \leq \tilde{\xi}(0) + \log\sqrt{C_1} \leq \sup_{\mathbb{D}} \log(2\alpha) + \log\sqrt{C_1} =: C_2,$$

and this implies that  $|\xi(z)| \leq C_2$  if  $|z| > \max\{1/c', R'\}$ .

Take  $z \in \mathbb{C}$  such that  $|z| \geq \max\{r/c', R'\}$ . Using Euclidean coordinates  $x + iy$  in  $D_{|\phi|}(z, r)$ , define the function  $\Psi : D_{|\phi|}(z, r) \rightarrow \mathbb{R}$  as

$$\Psi(x, y) = \frac{C_2}{\cosh(br)} \cosh(\sqrt{2}bx) \cosh(\sqrt{2}by),$$

we have  $\Delta_0\Psi = 4b^2\Psi$ , and  $\Psi \geq C_2 \geq \xi$  in  $\partial D_{|\phi|}(z, r)$ . Moreover,  $\Psi \geq \xi$  in  $D_{|\phi|}(z, r)$ . In fact, if  $\Psi - \xi$  admits a negative minimum at  $p_0$ , it would be in the interior of the disc, therefore  $\xi(p_0) > \Psi(p_0) \geq 0$  and  $\Delta_0(\Psi - \xi)(p_0) \geq 0$ . On the other hand, we have at  $p_0$  that

$$\Delta_0(\Psi - \xi) = 4b^2\Psi + 2K_{\mathbb{M}}\sinh(2\xi) \leq 4(b^2\Psi + K_{\mathbb{M}}\xi) \leq 4b^2(\Psi - \xi) < 0,$$

a contradiction. Analogously,  $\Psi \geq -\xi$ , and then  $\Psi \geq |\xi|$ . Therefore, evaluating at  $z$ ,  $|\xi(z)| \leq C_2/\cosh(br)$ . Consequently, we conclude that

$$|\xi(z)| \leq 2C_2e^{-c'|z|}. \quad (4.6)$$

This estimate implies that  $|\xi| \rightarrow 0$  at the punctures. Consequently, the tangent planes become vertical at infinity.

**Remark.** It is easy to verify that, for any  $\epsilon \in (0, 1)$ , there exists  $\delta = \delta(\epsilon)$  and  $R = R(\epsilon)$  such that the disc  $D_{|\phi|}(z, \delta|z|^{m_j+1})$  is contained in  $D(z, \epsilon|z|)$ , for all  $z \in \mathbb{C}$  satisfying  $|z| > R$ .

3. We finally prove the last statement. Recall that we can parametrize  $E_j$  by  $A(R_j)$  such that the Hopf differential of  $h$  has the expression

$$\mathcal{Q}(z) = \left( (m_j + 1)z^{m_j} + \frac{c_j i}{z} \right)^2 dz^2,$$

for some  $c_j \in \mathbb{R}$ . Without loss of generality, we can assume that

$$R_j^{m_j+1} > 1 + (4\pi|c_j|/\cos(\pi/10)). \quad (4.7)$$

Then, we can locally define the map

$$F(z) := \int \sqrt{\phi(z)} dz = \int (m_j + 1)z^{m_j} + \frac{c_j i}{z} dz.$$

It is clear that  $ImF$  is globally well defined, and if  $\theta$  is a locally defined argument function, we have

$$ImF(z) = c_j \log|z| + |z|^{m_j+1} \sin((m_j + 1)\theta)$$

and, locally,

$$ReF(z) = -c_j \theta + |z|^{m_j+1} \cos((m_j + 1)\theta).$$

From now on, given a simply connected domain  $\Omega \subset A(R_j)$ , we denote by  $F_\Omega$  a branch of  $F$  defined on  $\Omega$ .

Consider now the following concept:

**Definition.** Given a piecewise smooth continuous curve  $\gamma : [0, l] \rightarrow \mathbb{C}$ , a *generalized lift* of  $\gamma$  is a piecewise smooth continuous curve  $\beta : [0, l] \rightarrow A(R_j)$  such that there exists a partition  $0 = t_0 < t_1 < \dots < t_{n+1} = l$ , for some  $n \in \mathbb{N}$  and domains  $D_i \subset A(R_j)$ ,  $i = 0, \dots, n$ , where we can define a branch of the logarithm, such that

- $\beta([t_i, t_{i+1}]) \subset D_i$ ,  $i = 0, \dots, n$ ;
- $\gamma$  is the juxtaposition of the paths  $F_{D_0}(\beta|_{[t_0, t_1]}), \dots, F_{D_n}(\beta|_{[t_n, t_{n+1}]})$ , in this order.

This result is crucial for the proof:

**Lemma 4.2.** *Fix  $C > 0$ . Let  $\gamma_1^C : [0, 8C] \rightarrow \mathbb{C}$  be the curve given by*

$$\gamma_1^C(t) = \begin{cases} C + it, & t \in [0, C]; \\ 2C - t + iC, & t \in [C, 3C]; \\ -C + i(4C - t) & t \in [3C, 5C]; \\ t - 6C - iC & t \in [5C, 7C]; \\ C + i(t - 8C) & t \in [7C, 8C]. \end{cases}$$

*Let also  $\gamma^C : [0, 8(m_j + 1)C] \rightarrow \mathbb{C}$  be the curve  $\gamma_1^C$  traversed  $m_j + 1$  times. Then, for  $C$  sufficiently large, the curve  $\gamma^C$  admits a generalized lift  $\tilde{\gamma}^C$  which starts and finishes at the same connected component of  $(ImF)^{-1}(0)$ .*

*Proof.* Suppose first that  $c_j = 0$ . Hence  $F : A(R_j) \rightarrow A(R_j^{m_j+1})$  is a well-defined covering map, and if  $C > R_j^{m_j+1}$ , it is enough to take the usual lift of  $\gamma^C$ .

Now, suppose  $c_j$  is nonzero. It is known (see [18]) that, if  $R_j$  is large enough, the set  $(ImF)^{-1}(0)$  consists of  $2(m_j + 1)$  connected components, denoted by  $l_0, \dots, l_{2m_j+1}$ , and each of them is a smooth curve whose boundary is a point in  $\{z; |z| = R_j\}$  and, for each  $k \in \{0, \dots, 2m_j + 1\}$ , the curve  $l_k$  is contained in the region

$$\left\{ z \in A(R_j); \frac{k\pi}{m_j + 1} - \frac{\pi}{10(m_j + 1)} < \arg(z) < \frac{k\pi}{m_j + 1} + \frac{\pi}{10(m_j + 1)} \right\}.$$

In addition, let  $\Delta_k$  be the domain

$$\left\{ z \in A(R_j); \frac{k\pi}{m_j + 1} - \frac{\pi}{10(m_j + 1)} < \arg(z) < \frac{(k + 1)\pi}{m_j + 1} + \frac{\pi}{10(m_j + 1)} \right\},$$

and let  $\Omega_k$  be the (open) subdomain of  $\Delta_k$  bounded by  $l_k, l_{k+1}$  and  $\{z; |z| = R_j\}$  (here,  $l_{2m_j+2} = l_0$ ). We can consider an argument function in  $\Delta_k$  taking values in the interval

$$\left( \frac{k\pi}{m_j + 1} - \frac{\pi}{10(m_j + 1)}, \frac{(k + 1)\pi}{m_j + 1} + \frac{\pi}{10(m_j + 1)} \right),$$

then we can define  $F_k$  as  $F_{\Delta_k}$ . The assumption (4.7) implies that  $ReF_k(z)$  is positive if  $z \in l_{2k}$ . In fact, when  $z \in l_{2k}$ , we have

$$\begin{aligned} ReF_k(z) &= |z|^{m_j+1} \cos[(m_j + 1)\arg z] - c_j \arg z \geq \\ &R_j^{m_j+1} \cos(\pi/10) - 4\pi|c_j| > 1 - \cos(\pi/10) > 0. \end{aligned}$$

The same argument proves that  $ReF_k(z)$  is negative if  $z \in l_{2k+1}$ . Since  $\phi$  never vanishes in  $A(R_j)$  (we can choose  $R_j$  to be large enough), the derivative of  $ReF_k$  is never zero along  $l_k$ . Hence, since  $ReF_k(z)$  tends to  $+\infty$  along  $l_0$  as  $z$  diverges along  $l_0$ , we have that, for some sufficiently large  $C > 0$ , there is a unique point  $p \in l_0$  such that  $F_0(p) = C$ . In particular, we can choose  $C > \max\{M_0, M_1\}$ , where  $M_0 := R_j^{m_j+1} + 4\pi|c_j|$  and  $M_1 := \max\{|ImF(z)|; |z| = R_j\}$ .

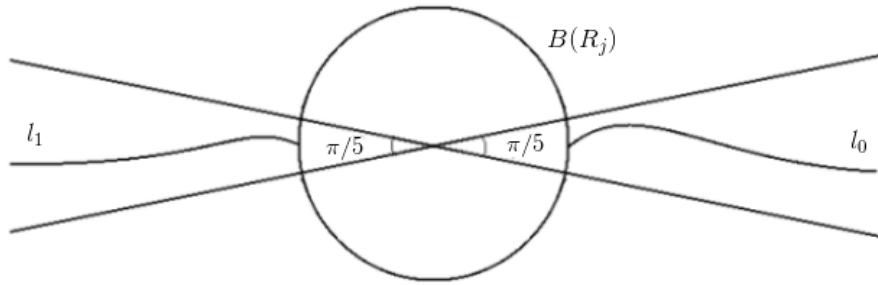


Figure 4.1: Curves  $l_k$  when  $m_j = 0$

In order to construct  $\tilde{\gamma}^C$ , the first step is to obtain a (usual) lift of  $\gamma^C|_{[0,4C]}$  with respect to  $F_0 : \Delta_0 \rightarrow \mathbb{C}$ . Consider the number

$$t^* := \sup\{t \in [0, 4C]; \exists \beta_t : [0, t] \rightarrow \bar{\Omega}_0, \beta_t(0) = p \text{ and } F_0 \circ \beta_t = \gamma^C|_{[0,t]}\}.$$

Since  $\phi$  does not have zeroes in  $A(R_j)$ , by the Inverse Function Theorem and the fact that  $F_0$  preserves orientation, there exists a path  $\beta_\delta : [0, \delta] \rightarrow \bar{\Omega}_0$  satisfying  $\beta_\delta(0) = p$  and  $F_0 \circ \beta_\delta = \gamma^C|_{[0,\delta]}$ , for some  $\delta \in (0, 4C)$ . Hence  $t^* > 0$ . Moreover, we can define a lift  $\hat{\beta} : [0, t^*) \rightarrow \bar{\Omega}_0$  of  $\gamma^C|_{[0,t^*)}$  starting at  $p$ .

Now, we prove that we can extend  $\hat{\beta}$  to  $[0, t^*]$ , taking values in  $\bar{\Omega}_0$ . In order to do this, take a sequence  $(t_n)_{n \in \mathbb{N}}$  in  $[0, t^*)$  converging to  $t^*$ . We know that either  $|ReF_0(\hat{\beta}(t_n))| = C$ , for all  $n$ , or  $ImF_0(\hat{\beta}(t_n)) = C$ , for all  $n$ , up to taking a subsequence.

Using the expression of  $F_0$ , we can conclude that  $(\hat{\beta}(t_n))_n$  is bounded. Hence, for any sequence  $(t_n)_{n \in \mathbb{N}}$  in  $[0, t^*)$  converging to  $t^*$ , the sequence  $(\hat{\beta}(t_n))_n$  has an accumulation point in  $\bar{\Omega}_0$  (up to taking a subsequence, we can suppose that  $(\hat{\beta}(t_n))_n$  converges). Suppose  $(\hat{\beta}(t_n))_n$  converges to a point in  $\{z; |z| = R_j\}$ . If  $|ReF_0(\hat{\beta}(t_n))| = C$ , for all  $n$ , we have that



$$C \leq |\arg(\hat{\beta}(t_n))c_j| + |\hat{\beta}(t_n)|^{m_j+1} \leq 2\pi|c_j| + |\hat{\beta}(t_n)|^{m_j+1},$$

and taking limits, we conclude that  $C \leq 2\pi|c_j| + R_j^{m_j+1} < M_0$ , a contradiction. Since  $C > M_1$ , it is not possible that  $\text{Im}F_0(\hat{\beta}(t_n)) = C$ , for all  $n$ , therefore  $(\hat{\beta}(t_n))_n$  does not converge to a point in  $\{z; |z| = R_j\}$ .

If the sequence  $(\hat{\beta}(t_n))_n$  converges to a point  $q \in \Omega_0$ , by continuity, we have that  $F_0(q) \in \gamma^C([0, 4C])$  and that  $\gamma^C(t^*) = F_0(q)$ . Taking a neighborhood  $U \subset \Omega_0$  of  $q$  such that  $F_0|_U$  is a diffeomorphism onto its image, there exists  $\delta > 0$  such that  $\gamma([t^* - \delta, t^* + \delta]) \subset U$ . Therefore, we can define  $\beta_{t^*+\delta} : [0, t^* + \delta] \rightarrow \Omega_0$  as

$$\beta_{t^*+\delta}(t) = \begin{cases} \hat{\beta}(t), & t \in [0, t^*]; \\ F_0^{-1}(\gamma^C(t)), & t \in (t^* - \delta, t^* + \delta], \end{cases}$$

and we deduce that  $t^* + \delta \leq t^*$ , a contradiction.

It remains to analyze the case when  $(\hat{\beta}(t_n))_n$  converges to a point  $q$  in  $l_0 \cup l_1$ . In particular,  $F_0$  is defined at  $q$  and  $F_0(q)$  is a real number. Proceeding as before, we can smoothly extend  $\hat{\beta}$  to  $\beta_{t^*} : [0, t^*] \rightarrow \bar{\Omega}_0$  satisfying  $\beta_{t^*}(t^*) = q$ . If  $q \in l_0$ , since  $|\text{Re}F_0(\hat{\beta}(t_n))| = C$  and  $\text{Re}F_0 > 0$  along  $l_0$ , we conclude that  $\text{Re}F_0(\beta_{t^*}(t^*)) = \text{Re}F_0(q) = C$ , then  $p = q$ . Since  $\beta_{t^*}$  is a lift of  $\gamma^C|_{[0, t^*]}$  and  $t^* \leq 4C$ , we obtain that  $t^* \in [0, C]$ . Furthermore,  $\text{Im}F_0(\beta_{t^*})$  must be strictly increasing along  $[0, t^*]$ , but  $\text{Im}F_0(\beta_{t^*}(t^*)) = \text{Im}F_0(\beta_{t^*}(0))$ , a contradiction. Therefore,  $q \in l_1$ ,  $t^* = 4C$ , and  $F_0(\beta_{4C}(4C)) = -C$ .

Inductively, for  $k = 1, \dots, 2m_j + 1$ , we have a curve  $\beta_{4kC} : [4kC, 4(k+1)C] \rightarrow \bar{\Omega}_k$  starting at  $\beta_{4(k-1)C}(4kC)$ , lifting  $\gamma^C|_{[4kC, 4(k+1)C]}$  with respect to  $F_k : \Delta_k \rightarrow \mathbb{C}$ , and  $\beta_{4kC}(4(k+1)C) \in l_{k+1}$ . Finally, we define  $\tilde{\gamma}^C$  as the juxtaposition of  $\beta_{4C}, \dots, \beta_{8(m_j+1)C}$ , in this order. Evidently, the point  $\tilde{\gamma}^C(8(m_j+1)C)$  is in  $l_{2m_j+2} = l_0$ , as well as  $\tilde{\gamma}^C(0)$ .  $\square$

A consequence of the arguments of the preceding proof is that we can cover  $A(R_j)$  by domains  $\Delta_k$ ,  $k = 0, \dots, 2m_j + 1$ , where we can define an integral of  $\sqrt{\phi}$ , denoted by  $F_k : \Delta_k \rightarrow \mathbb{C}$  (the domains  $\Delta_k$  from Lemma 4.2 can also be considered in  $A(R_j)$  when  $c_j = 0$ ). Since the argument functions used to define the maps  $F_k$  are bounded from above by  $4\pi$ , in absolute value, we have that there exist  $R^*, C_* > 0$  independent on

$k$  such that, when  $|z| > R^*$ , the following inequality holds:

$$C_*|z|^{m_j+1} > |F_k(z)| > C_*^{-1}|z|^{m_j+1}.$$

Let  $P(C, p_j)$  be the curve obtained from  $\tilde{\gamma}^C$  when we connect  $\tilde{\gamma}^C(0)$  and  $\tilde{\gamma}^C(8(m_j + 1)C)$  by the shortest curve segment in  $l_0$ .

We state two properties of  $P(C, p_j)$ , whose proofs can be deduced by the arguments in the demonstration of Lemma 4.2.

- (a)  $P(C, p_j)$  is a simple, piecewise smooth closed curve. If  $c_j = 0$ , it has  $4(m_j + 1)$  vertices, all of them having internal angle  $\frac{\pi}{2}$ ; if  $c_j \neq 0$ , it has  $4(m_j + 1) + 2$  vertices, one of them having internal angle  $\frac{3\pi}{2}$ , and the other ones having internal angle  $\frac{\pi}{2}$ .
- (b) If  $R \geq R_j$ , there exists  $\tilde{C} = \tilde{C}(R)$  such that, if  $C > \tilde{C}$ , the bounded region determined by  $P(C, p_j)$  contains  $D(0, R)$ .

For  $k = 0, \dots, m_j$  and  $l = 0, 1$ , let  $A_k^l(C)$  be the arc

$$\tilde{\gamma}^C([(8k + 4l + 1)C, (8k + 4l + 3)C]).$$

By construction,  $A_k^l(C)$  is bijectively mapped onto a subset of  $\{w \in \mathbb{C}; |Imw| = C\}$  by the map  $F_{2k+l}$ . Let also  $B_k^l(C)$  be the arc

$$\tilde{\gamma}^C([(8k + 4l)C, (8k + 4l + 1)C] \cup [(8k + 4l + 3)C, (8k + 4l + 4)C]),$$

for  $k = 0, \dots, m_j$  and  $l = 0, 1$ . Each of these curves are one-to-one mapped onto a subset of  $\{w \in \mathbb{C}; |Rew| = C\}$  by the map  $F_{2k+l}$ . Denote by  $B^*(C)$  the (possibly degenerate) compact arc of  $P(C, p_j)$  lying in  $l_0$  which connects  $\tilde{\gamma}^C(0)$  and  $\tilde{\gamma}^C(8(m_j + 1)C)$ . We are going to denote by  $\mathcal{I}(C)$  and  $\mathcal{R}(C)$  the union of the curves  $A_k^l(C)$  and  $B_k^l(C)$ , respectively. It is true that there is a small neighborhood  $V$  of  $A_k^l(C)$  contained in  $\Omega_{2k+l}$  such that  $F_{2k+l} : V \rightarrow F_{2k+l}(V)$  is a conformal diffeomorphism. A similar property holds for the curves  $B_k^l(C)$  and for  $B^*(C)$ .

We now proceed to the proof. Choose  $r$  small enough such that  $R_j < r^{-1}$ , for all  $j$ . Applying Gauss-Bonnet on  $S(r)$ , we obtain that

$$\int_{S(r)} K_\Sigma dA + \int_{\partial S(r)} \kappa_g = 2\pi(2 - 2g - n). \quad (4.8)$$

Consider, in the  $z$ -plane, the annulus  $\Omega(C, r, p_j)$  in  $\mathbb{C}$  bounded by the union of two curves: the circle  $\{|z| = r^{-1}\}$  and the curve  $P(C, p_j)$ . Again, by Gauss-Bonnet, we have

$$\int_{\Omega(C, r, p_j)} K_{\Sigma} dA + \int_{P(C, p_j)} \kappa_g - \int_{\{|z|=r^{-1}\}} \kappa_g = -2\pi(m_j + 1). \quad (4.9)$$

Summing Equation (4.8) with the equations in (4.9) for all  $j$ , we obtain

$$\int_{\tilde{S}(C)} K_{\Sigma} dA + \sum_{j=1}^n \int_{P(C, p_j)} \kappa_g = 2\pi(2 - 2g - 2n - \sum_{j=1}^n m_j),$$

where  $\tilde{S}(C) = S(r) \cup [\bigcup_{j=1}^n \Omega(C, r, p_j)]$ . As  $C$  goes to infinity,  $\tilde{S}(C)$  goes to  $S^* \cong \Sigma$ . It is enough to prove that  $\int_{P(C, p_j)} \kappa_g$  goes to zero as  $C$  goes to  $+\infty$ .

For each  $k \in \{0, \dots, 2m_j + 1\}$ , we know that  $ImF^{-1}(0) \cap \Omega_k$  is at a positive distance from the lines that bound  $\Delta_k$ . Then, there exist positive numbers  $\delta_k$ ,  $\epsilon_k$  and  $R_k^*$  such that  $D_{|\phi|}(z, \delta_k |z|^{m_j+1}) \subset D(z, \epsilon_k |z|)$ , for all  $z \in A(R_k)$  satisfying  $|z| > R_k^*$ . Moreover, choosing  $\epsilon_k$  to be small enough, we can assure that  $D(z, \epsilon_k |z|) \subset \Delta_k$  when  $z \in \Omega_k$  and  $|z| > R_k^*$ .

If  $\epsilon^{(0)} := \min\{\epsilon_0, \dots, \epsilon_{2m_j+1}\}$ , we take  $R^* > 0$  such that, if  $|z| > R^*$ , there exists  $k \in \{0, \dots, 2m_j+1\}$  depending on  $z$  such that the following properties hold:

- $D_{|\phi|}(z, 1) \subset D(z, \epsilon^{(0)} |z|) \subset \Delta_k$ ;
- $F_k : D_{|\phi|}(z, 1) \rightarrow F_k(D_{|\phi|}(z, 1))$  is a conformal diffeomorphism;
- $C_* |z|^{m_j+1} > |F_k(z)| > C_*^{-1} |z|^{m_j+1}$ ;
- There exist positive constants  $\widehat{C}$  and  $\widehat{c}$ , not depending on  $k$ , such that

$$\sup_{D_{|\phi|}(z, 1)} |\xi| \leq \widehat{C} e^{-\widehat{c}|z|};$$

- $\sup_{D_{|\phi|}(z, 1)} \cosh(2\xi) \leq 2$ .

We can consider  $w$ -coordinates in  $D_{|\phi|}(z, 1)$  induced by  $F_k$ ,  $k$  depending on  $z$  (notation:  $w := F_k(z)$ ); in these parameters, the function  $\xi$  satisfies the equation

$$\Delta_{|\phi|} \xi = -2K_{\mathbb{M}} \sinh(2\xi). \quad (4.10)$$

If  $z$  satisfies  $|z| > R^*$ , define  $B_1(z)$  as  $D_{|\phi|}(z, 1)$ . By Theorem 3.9 of [15], we can conclude the following interior gradient estimate for the Poisson equation:

$$\sup_{B_{1/2}(z)} \|\nabla \xi\| \leq \tilde{C} (\sup_{B_1(z)} |\xi| + \sup_{B_1(z)} |2K_{\mathbb{M}} \sinh(2\xi)|),$$

for a universal constant  $\tilde{C}$ . Since  $\sup_{B_1(z)} \cosh(2\xi) \leq 2$ , we obtain that

$$\sup_{B_1(z)} |\sinh(2\xi)| \leq 4 \sup_{B_1(z)} |\xi|.$$

Therefore, we have the estimate

$$\sup_{B_{1/2}(z)} \|\nabla \xi\| \leq 9\tilde{C} \max\{1, a^2\} \sup_{B_1(z)} |\xi|.$$

By the properties stated above, we rewrite the estimate as

$$\sup_{B_{1/2}(z)} \|\nabla \xi\| \leq \tilde{C} e^{-\tilde{c}|w|^{(m_j+1)^{-1}}},$$

renaming  $9\tilde{C} \max\{1, a^2\} \tilde{C}$  by  $\tilde{C}$ , for simplicity. Clearly,  $\tilde{C}$  does not depend on  $k$ . In particular, we conclude that

$$\|\nabla \xi(w)\| \leq \tilde{C} e^{-\tilde{c}|w|^{m'_j}}, \quad (4.11)$$

for  $m'_j := (m_j + 1)^{-1}$ .

First, let us prove that  $\int_{\mathcal{I}(C)} \kappa_g ds$  goes to 0 as  $C$  goes to  $+\infty$ . Fixing a curve  $A_k^0(C)$  in  $\mathcal{I}(C)$ , we know that this curve can be parametrized as  $\tau_C(x) = x + iC$ , for  $x \in [-C, C]$ . An elementary computation shows that

$$\kappa_g = -\frac{\sinh(\xi)\xi_y}{2\cosh^2(\xi)}.$$

Along the curve  $\tau_C$ , we have that, when  $|w|$  is sufficiently large, by the estimate in (4.11),

$$|\xi_y(w)| \leq \|\nabla \xi(w)\| \leq \tilde{C} e^{-\tilde{c}(|x|^{m'_j} + |C|^{m'_j})},$$

for positive constants  $\tilde{C}$  and  $\tilde{c}$ . Therefore, we have

$$\begin{aligned} \int_{\tau_C} |\kappa_g| ds &\leq \int_{-C}^C |\xi_y| dx \\ &\leq \tilde{C} \int_{-\infty}^{+\infty} e^{-\tilde{c}(|x|^{m'_j} + |C|^{m'_j})} dx \\ &\leq \tilde{C} e^{-\tilde{c}|C|^{m'_j}} \int_{-\infty}^{+\infty} e^{-\tilde{c}|x|^{m'_j}} dx, \end{aligned}$$

and the last term certainly goes to zero as  $C$  goes to  $+\infty$ . The same argument can be applied to  $A_k^1(C)$ , and then we conclude that  $\int_{\mathcal{I}(C)} \kappa_g ds$  converges to zero as  $C$  goes to  $+\infty$ .

Now, we are going to prove that

$$\int_{\mathcal{R}(C)} \kappa_g \rightarrow 0 \text{ as } C \rightarrow +\infty.$$

Here, we compute the curvature of  $\chi_C(y) = C + iy$  as a curve in  $\Sigma$ . Similar to the previous case, the geodesic curvature is given by

$$\kappa_g = -\frac{\sinh(\xi)\xi_x}{2\cosh^2(\xi)},$$

and the conclusion follows as in the first case.

We finally prove that  $\int_{B^*(C)} \kappa_g \rightarrow 0$  as  $C \rightarrow +\infty$ . Using the  $w$ -coordinates induced by  $F_0$ , we have that  $B^*(C)$  is contained in the real interval  $[C - 2\pi|c_j|, C + 2\pi|c_j|]$  of the  $w$ -plane. Proceeding exactly as in the first case, we obtain the estimate

$$\int_{B^*(C)} |\kappa_g| ds \leq \int_{C-2\pi|c_j|}^{C+2\pi|c_j|} |\xi_y| dx \leq \tilde{C} \int_{C-2\pi|c_j|}^{+\infty} e^{-\tilde{c}|x|^{m'_j}} dx,$$

which goes to 0 as  $C$  goes to  $+\infty$ .

□

We emphasize that the Section 2 of [18] can be fully applied to minimal surfaces of finite total curvature in  $\mathbb{M} \times \mathbb{R}$ . In particular, following the same ideas presented in the section, we can prove the results below:

**Proposition 4.3.** *Let  $X : \Sigma \rightarrow \mathbb{M} \times \mathbb{R}$  be a complete minimal immersion of finite total curvature.*

1. Let  $p$  be an end of  $\Sigma$ . If  $m_p \geq 0$  is the degree of  $p$ , then this end corresponds to  $m_p + 1$  geodesics  $\gamma_1, \dots, \gamma_{m_p+1} \subset \mathbb{M}^2 \times \{+\infty\}$ ,  $m_p + 1$  geodesics  $\Gamma_1, \dots, \Gamma_{m_p+1} \subset \mathbb{M}^2 \times \{-\infty\}$ , and  $2(m_p + 1)$  vertical straight lines (possibly some of them coincide) in  $\partial_\infty \mathbb{M}^2 \times \mathbb{R}$ , each one joining an endpoint of some  $\gamma_j$  to an endpoint of some  $\Gamma_j$ . Moreover, any end representative of  $p$  is asymptotic at infinity (in the sense presented in [17]) to the ideal polygon formed by the mentioned curves.
2.  $X$  is a proper immersion.
3. Given  $p_0 \in \Sigma$ , there exists a positive constant  $\Lambda = \Lambda(p_0, \Sigma)$  such that

$$|K_\Sigma(p)| \leq \Lambda e^{-d(p, p_0)},$$

for all  $p \in \Sigma$ , where  $d$  is the distance function in  $\Sigma$ .

## 4.4 Examples

In this section, we give some examples of minimal surfaces with finite total curvature in  $\mathbb{M} \times \mathbb{R}$ .

1. *Vertical planes.* The simplest examples are the vertical totally geodesic planes  $\alpha \times \mathbb{R}$ , where  $\alpha$  is a horizontal geodesic. Their total curvature is zero, and these are the only surfaces satisfying this condition. In fact, let  $\Sigma$  be a minimal surface with vanishing total curvature. The Gauss equation states that

$$K_\Sigma = K_{\mathbb{M} \times \mathbb{R}}|_{G(\Sigma)} + K_{ext},$$

where  $K_\Sigma$  and  $K_{ext}$  are the intrinsic and extrinsic curvatures of  $\Sigma$ , respectively, and  $K_{\mathbb{M} \times \mathbb{R}}|_{G(\Sigma)}$  is the sectional curvature of the ambient restricted to the Grassmanian of tangent planes of  $\Sigma$ . The curvature  $K_\Sigma$  is nonpositive, by the minimality of  $\Sigma$ , and thus  $K_\Sigma$  is identically zero, since the total curvature vanishes. It implies that  $K_{\mathbb{M} \times \mathbb{R}}|_{G(\Sigma)} \equiv K_{ext} \equiv 0$ , therefore  $\Sigma$  is a totally geodesic surface whose tangent planes are always vertical. Finally, given a vertical plane  $P \in T_{(p,r)}(\mathbb{M} \times \mathbb{R})$ , there is exactly one totally geodesic surface in  $\mathbb{M} \times \mathbb{R}$  that is tangent to  $P$ , which is  $\gamma_v \times \mathbb{R}$ , where  $\gamma_v$  is the geodesic of  $\mathbb{M}$  satisfying  $\gamma'_v(0) = v \in (T_p \mathbb{M} \times \{0\}) \cap P$ ,  $v \neq 0$ , and the assertion is proved.

2. *Scherk graphs.* Let  $P$  an ideal geodesic polygon in  $\mathbb{M}$  whose vertices are the points of infinity  $p_1, \dots, p_{2n} \in \partial_\infty \mathbb{M}$ . Denote by  $A_i$  the complete geodesic connecting  $p_{2i-1}$  to  $p_{2i}$ ,  $i = 1, \dots, n$ , and by  $B_i$  the complete geodesic connecting  $p_{2i}$  to  $p_{2i+1}$ ,  $i = 1, \dots, n$ , where  $p_{2n+1} := p_1$ .

Consider the family  $\mathcal{H} = \{H_i\}_{i=1}^{2n}$ , where for each  $i = 1, \dots, 2n$ ,  $H_i$  is a horocycle at  $p_i$  bounding an open horodisc  $F_i$  such that  $H_i \cap H_j = \emptyset$  if  $i \neq j$ . Denote by  $\tilde{A}_i$  the geodesic segment given by  $A_i \setminus (\cup_{j=1}^{2n} F_j)$ , and define  $\tilde{B}_i$  in a similar way. Let  $\gamma(i)$  be the geodesic segment connecting the two interior points of  $H_i \cap P$  and denote by  $P(\mathcal{H})$  the polygon

$$\bigcup_{i=1}^n (\tilde{A}_i \cup \tilde{B}_i) \cup \bigcup_{j=1}^{2n} \gamma(j)$$

and  $D(\mathcal{H})$  the domain bounded by  $P(\mathcal{H})$ .

For a positive  $r > 0$ , let  $G(r, \mathcal{H})$  be the graph of the minimal surface equation over  $D(\mathcal{H})$  whose boundary data are given by  $r$  on  $\cup_{i=1}^n \tilde{A}_i$  and zero elsewhere on  $P(\mathcal{H})$ .

Define the following quantities:

$$a(P) = \sum_{i=1}^n |\tilde{A}_i|;$$

$$b(P) = \sum_{i=1}^n |\tilde{B}_i|.$$

Let  $D$  the domain bounded by  $P$ . We say that a geodesic convex polygon  $Q$  is *inscribed* in  $D$  if the set of vertices of  $Q$  is contained in the set of vertices of  $P$ . Using the horocycles  $H_i$ , define

$$a(Q) = \sum_{\tilde{A}_i \subset Q} |\tilde{A}_i|;$$

$$b(Q) = \sum_{\tilde{B}_i \subset Q} |\tilde{B}_i|.$$

We also define  $|Q|$  as the sum of the lengths of the geodesic segments contained in the sides of  $Q$  and determined by the horocycles  $H_i$ . In [14], the authors proved the following theorem:

**Theorem 4.4.** *There is a solution to the Dirichlet problem for the minimal surface equation in the domain  $D$  bounded by  $P$  with prescribed data  $+\infty$  at  $A_i$  and  $-\infty$  at  $B_i$  if and only if the following two conditions are satisfied:*

- (a)  $a(P) - b(P) = 0$ ,
- (b) For all inscribed polygons  $Q$  in  $D$  different from  $P$  there exist horocycles at the vertices such that

$$2a(Q) < |Q| \text{ and } 2b(Q) < |Q|.$$

Moreover, the solution is unique up to additive constants.

The graph of the function described in the theorem are called the *Scherk graph* over  $D$ .

By the proof of Theorem 4.4 (see [8] and [14]), the Scherk graph  $\Sigma_n$  over  $D$  is a limit of the sequence of surfaces  $(G_k := G(r_k, \mathcal{H}^k))_k$ , where  $(r_k)_k$  is a sequence going to  $+\infty$  as  $k$  goes to  $+\infty$  and, for each  $k$ ,  $\mathcal{H}^k = \{H_i^k\}_{i=1}^{2n}$  a family of horocycles of  $\mathbb{M}$  such that  $(H_i^k)_{i=1}^\infty$  is a sequence of nested horocycles at  $p_i$  converging to this point. Using Gauss-Bonnet on each  $G_k$ , we conclude that the total curvature of those surfaces is uniformly bounded from below by  $2\pi(1-n)$ , therefore  $\Sigma_n$  has finite total curvature.

In order to compute explicitly the total curvature of  $\Sigma_n$ , we notice that, since this surface is a graph, the coincidences mentioned in Proposition 4.3 do not happen. Therefore, we have that  $m_p = n - 1$ , following the notation of the same corollary. Consequently, applying the formula of Theorem 4.1, we conclude that the total curvature of  $\Sigma_n$  is precisely  $2\pi(1-n)$ .

Following the same ideas in Theorem 6 in [37], we have the result below:

**Proposition 4.5.** *If  $\Sigma$  is a complete minimal surface of total curvature  $-2\pi$  in  $\mathbb{M} \times \mathbb{R}$ , then  $\Sigma$  is the Scherk minimal graph over an ideal quadrilateral in  $\mathbb{M}$ .*

3. *Horizontal catenoids.* In [35], the author constructs a class of minimal annuli with horizontal slices of symmetry. These catenoids  $C$  are similar to the ones constructed in [28] and [36]. They are limits of compact minimal annuli  $(C_n)_{n \in \mathbb{N}}$  whose boundary components  $S_n^1$  and  $S_n^2$  are convex curves contained in the vertical planes  $P_n^1$  and  $P_n^2$ , respectively. Denote by  $\kappa_n^i$ ,  $\hat{\kappa}_n^i$  and  $\tilde{\kappa}_n^i$  the geodesic curvatures of  $S_n^i$  as a curve of  $C_n$ ,  $P_n^i$  and  $\mathbb{M} \times \mathbb{R}$ , respectively. Clearly, we have that  $\kappa_n^i \leq \tilde{\kappa}_n^i$ , and since  $P_n^i$  is a totally geodesic submanifold of  $\mathbb{M} \times \mathbb{R}$ , the curvatures  $\hat{\kappa}_n^i$



and  $\tilde{\kappa}_n^i$  are equal, up to a sign. Moreover, for each  $i$ , the induced metric on  $P_n^i$  is Euclidean, thus the total curvature of  $S_n^i$  is  $2\pi$ . Consequently, using Gauss-Bonnet,

$$\begin{aligned} \int_{C_n} K_{C_n} + \int_{\partial C_n} \kappa_{\partial C_n} &= 0 \Leftrightarrow \\ \left| \int_{C_n} K_{C_n} \right| &\leq \int_{\partial C_n} |\kappa_{\partial C_n}| \Leftrightarrow \\ \left| \int_{C_n} K_{C_n} \right| &\leq \int_{S_n^1} |\kappa_n^1| + \int_{S_n^2} |\kappa_n^2| \Leftrightarrow \\ \left| \int_{C_n} K_{C_n} \right| &\leq \int_{S_n^1} |\hat{\kappa}_n^1| + \int_{S_n^2} |\hat{\kappa}_n^2| = 4\pi. \end{aligned}$$

Therefore,  $C$  has finite total curvature and its absolute value is at most  $4\pi$ . On the other hand, by the formula of Theorem 4.1,

$$\left| \int_{C_n} K_{C_n} \right| \geq 4\pi,$$

thus  $\int_C K_C = -4\pi$ .

## 4.5 Index of minimal surfaces in $\mathbb{M}^2 \times \mathbb{R}$

Here, we are going to add the extra assumption that  $K_{\mathbb{M}}$ , the sectional curvature of  $\mathbb{M}$ , satisfies  $\|\nabla_{\mathbb{M}} K_{\mathbb{M}}\| \in L^\infty(\mathbb{M})$ . The main objective of this section is to prove the following result:

**Theorem 4.6.** *Let  $\Sigma$  be a complete oriented minimal surface with unit normal field  $N$  immersed in  $\mathbb{M}^2 \times \mathbb{R}$ . Let  $\nu := g(N, \partial_t)$  be the vertical component of  $N$ ,  $A$  the second fundamental form of  $\Sigma$  and  $K_\Sigma$  be the intrinsic curvature of  $\Sigma$ . Then:*

1. *If  $\nu^2(1 - \nu^2)^{1/2} \in L^1(\Sigma)$  and  $|A| \in L^2(\Sigma)$ , then the function  $|A|$  tends to zero uniformly at infinity. In particular, if  $\nu \in L^2(\Sigma)$  (or, equivalently, if  $\Sigma$  has finite total curvature), then  $\nu$  and  $K_\Sigma$  converge to zero uniformly at infinity.*
2. *If  $\nu^2(1 - \nu^2)^{1/2} \in L^1(\Sigma)$  and  $|A| \in L^2(\Sigma)$ , then the Jacobi operator of  $\Sigma$  has finite index.*

This result generalizes a theorem of [5], proved in the context of minimal surfaces in  $\mathbb{H}^2 \times \mathbb{R}$ . We point out that the hypotheses in Theorem 4.6 are slightly more general than the finiteness of the finite total curvature. In fact, the theorem includes, for example, the horizontal slices of  $\mathbb{M} \times \mathbb{R}$ .

We start by the following proposition:

**Proposition 4.7.** *In the sense of distributions, the following formula holds:*

$$|A|\Delta|A| \leq -|A|^2 Ric(N, N) + 4|A|^2 \tilde{K}_\Sigma - |A|^4 - \sqrt{2}|A|\nu^2 \langle \nabla_{\mathbb{M}} K_{\mathbb{M}}, N \rangle.$$

*Proof.* By the Simons' formula (see [29]), we have

$$\begin{aligned} \langle \Delta A, A \rangle &= \left( \sum_{k=1}^2 |\nabla_{\tilde{e}_k} A|^2 \right) - |A|^2 Ric(N, N) + 4|A|^2 \tilde{K}_\Sigma - |A|^4 \\ &\quad + \sum_{i,k,l=1}^2 \langle (\tilde{\nabla}_{\tilde{e}_k} \tilde{R})(\tilde{e}_i, \tilde{e}_l) \tilde{e}_i, A(\tilde{e}_k, \tilde{e}_l) \rangle \\ &\quad + \sum_{i,k,l=1}^2 \langle (\tilde{\nabla}_{\tilde{e}_i} \tilde{R})(\tilde{e}_i, \tilde{e}_k) \tilde{e}_l, A(\tilde{e}_k, \tilde{e}_l) \rangle. \end{aligned}$$

Here, the basis  $\{\tilde{e}_1, \tilde{e}_2\}$  is an orthonormal basis on  $\Sigma$  and  $\tilde{K}_\Sigma$  is the sectional curvature of  $\mathbb{M} \times \mathbb{R}$  along  $\Sigma$ .

We can choose the basis  $\{\tilde{e}_1, \tilde{e}_2\}$  conveniently, such that, for some point  $p \in \Sigma$ , the chosen frame is geodesic at  $p$ . Making the necessary computations, we obtain the following identity (at  $p$ ):

$$\begin{aligned} &\sum_{i,k,l=1}^2 \langle (\tilde{\nabla}_{\tilde{e}_k} \tilde{R})(\tilde{e}_i, \tilde{e}_l) \tilde{e}_i, A(\tilde{e}_k, \tilde{e}_l) \rangle + \sum_{i,k,l=1}^2 \langle (\tilde{\nabla}_{\tilde{e}_i} \tilde{R})(\tilde{e}_i, \tilde{e}_k) \tilde{e}_l, A(\tilde{e}_k, \tilde{e}_l) \rangle \\ &= \sqrt{2}|A| \sum_{i \neq k} (-1)^k \langle (\tilde{\nabla}_{\tilde{e}_k} \tilde{R})(\tilde{e}_i, \tilde{e}_l) \tilde{e}_i, N \rangle \\ &= -\sqrt{2}|A|\nu^2 \langle \nabla_{\mathbb{M}} K_{\mathbb{M}}, N \rangle, \end{aligned}$$

and this can be extended to all  $\Sigma$ .

We now compare  $|A|\Delta|A|$  with  $\langle \Delta A, A \rangle$ . For  $r > 0$ , define  $u_r$  as the function  $\sqrt{|A|^2 + r^2}$ . Clearly,  $u_r$  is a positive smooth function. Computing the Laplacian of  $u_r$ , we obtain the identity:

$$u_r \Delta u_r = \langle A, \Delta A \rangle + |\nabla u_r|^2 - |\nabla A|^2.$$

Moreover, we can make the following computations:

$$|\nabla u_r|^2 = \sum_{k=1}^2 |\tilde{e}_k u_r|^2 = \sum_{k=1}^2 u_r^{-1} |\langle \nabla_{\tilde{e}_k} A, A \rangle|^2 \leq \sum_{k=1}^2 |\nabla_{\tilde{e}_k} A|^2 = |\nabla A|^2,$$

hence we conclude that  $u_r \Delta u_r \leq \langle A, \Delta A \rangle$ .

Furthermore, we calculate  $\Delta u$ , where  $u := |A|$ , in the sense of distributions. For a nonnegative function  $\phi \in C_0^\infty(M)$ , we have

$$\begin{aligned} \int_M \phi \Delta u d\mu_M &= \int_M u \Delta \phi d\mu_M \\ &= \lim_{r \rightarrow 0} \int_M u_r \Delta \phi d\mu_M \\ &= \lim_{r \rightarrow 0} \int_M \phi \Delta u_r d\mu_M \\ &\leq \lim_{r \rightarrow 0} \int_M \phi \langle A, \Delta A \rangle u_r^{-1} d\mu_M, \end{aligned}$$

and when  $r$  goes to 0, the last term of the inequality chain goes to the integral  $\int_M \phi \langle \operatorname{sgn}(A), \Delta A \rangle d\mu_M$ , where

$$\operatorname{sgn}(A)(p) = \begin{cases} 0, & \text{if } A(p) = 0; \\ A(p)/|A(p)|, & \text{if } A(p) \neq 0. \end{cases}$$

We can conclude that  $\Delta u - \langle \operatorname{sgn}(A), \Delta A \rangle$  is a nonpositive distribution and, consequently, it is a nonpositive measure. Since  $\langle \operatorname{sgn}(A), \Delta A \rangle$  is a locally integrable function, it defines a signed measure, and obviously  $\Delta u$  is a signed measure satisfying

$$\Delta u \leq \langle \operatorname{sgn}(A), \Delta A \rangle,$$

in the sense of measures. Therefore, we can multiply the inequality by  $u$ , and we obtain

$$u \Delta u \leq \langle A, \Delta A \rangle,$$

from where we get the inequality in the statement.  $\square$

To prove the first item of Proposition 4.6, we point out that, by Lemma 31 of [1], the function  $u$  is in  $H_{loc}^1(\Sigma)$ . Consequently, we can follow the same calculations of [4], obtaining the inequality

$$\|\xi u^k\|_4^2 \leq Ck(\|\xi u^k\|_2^2 + \|d\xi|u^k\|_2^2 + \|\xi^2 u^{2k-1} \nu^2 \sqrt{1-\nu^2}\|_1),$$

where  $\xi \in C_0^\infty(\Sigma)$ .

If  $u_1$  is the restriction of  $u$  to the region of  $\Sigma$  where  $|u| < 1$ , we conclude that

$$\|\xi u^k\|_4^2 \leq 2Ck(\|\xi u^k\|_2^2 + \|d\xi|u^k\|_2^2 + \|\xi^2 u_1^{2k-1} \nu^2 \sqrt{1-\nu^2}\|_1), \quad (4.12)$$

Consequently, we have that

$$\|\xi u^k\|_4^2 \leq C_1 k (\|1_{\text{supp}\xi} u^k\|_2^2 + \|\xi^2 u_1^{2k-1} \nu^2 \sqrt{1-\nu^2}\|_1), \quad (4.13)$$

if  $|\xi| \leq 1$ .

If the set where  $u > 1$  is unbounded, when the area of  $\text{supp}\xi$  is large enough, we conclude that  $\|\xi^2 u_1^{2k-1} \nu^2 \sqrt{1-\nu^2}\|_1 \leq C' \|1_{\text{supp}\xi} u^k\|_2^2$ , and the inequality

$$\|\xi u^k\|_4^2 \leq C'_1 k (\|1_{\text{supp}\xi} u^k\|_2^2) \quad (4.14)$$

would allow us to prove that  $u(x) \rightarrow 0$  as  $x \rightarrow \infty$ , a contradiction. Hence  $u \leq 1$  out of a compact set of  $\Sigma$ . From this information, if we multiply the metric of  $\mathbb{M} \times \mathbb{R}$  by a constant  $c > 0$ , we have that the second fundamental form of  $\Sigma$  in this new ambient, denoted by  $\tilde{A}$ , is bounded in norm by 1 out of a compact set  $K \subset \Sigma$ , and then we have that, in  $\Sigma \setminus K$ ,  $u \leq c^{-1}$ . Therefore, in fact,  $u(x) \rightarrow 0$  as  $x \rightarrow \infty$ .

Furthermore, the function  $\nu$  satisfies the equation

$$-\Delta\nu = -(K_{\mathbb{M}} \circ \pi)\nu^3 + (|A|^2 + K_{\mathbb{M}} \circ \pi)\nu,$$

where  $\pi : \mathbb{M} \times \mathbb{R} \rightarrow \mathbb{M}$  is the projection in the first factor. Proceeding as before, we conclude that, if  $\nu \in L^2(\Sigma)$ , then  $\nu \rightarrow 0$  uniformly at infinity. Since  $2K_\Sigma = -|A|^2 + 2(K_{\mathbb{M}} \circ \pi)\nu^2$ , the proof of the first item is finished.

To prove the second item of Proposition 4.6, it is enough to proceed as in [2]. However, we need to clarify some steps. If  $B : \mathbb{M} \rightarrow \mathbb{R}$  is a Busemann function for  $\mathbb{M}$  and  $\hat{B} : \mathbb{M} \times \mathbb{R} \rightarrow \mathbb{R}$  the function given by  $\hat{B}(p, t) = B(p)$ . Denote by  $g_{\mathbb{M}}$ ,  $g$  and  $\hat{g}$  the metrics of  $\mathbb{M}$ ,  $\Sigma$  and the product metric of  $\mathbb{M} \times \mathbb{R}$ , respectively. We need to compute  $\Delta_g B$ . By Lemma 2.2 of [2], we have that

$$\Delta_g B = \Delta_{\hat{g}} \hat{B}|_\Sigma - \text{Hess}_{\hat{g}} \hat{B}(N, N). \quad (4.15)$$

Here, both Laplacians are defined as  $\text{div} \circ \text{grad}$ , in their respective metrics. Given a vector  $w \in T(\mathbb{M} \times \mathbb{R})$ , let its horizontal and vertical components given by  $w^h$  and  $w^v$ , respectively. With this notation, we have that

$$\text{Hess}_{\hat{g}} \hat{B}(w, w) = \text{Hess}_{\hat{g}} \hat{B}(w^h, w^h) = \text{Hess}_{g_{\mathbb{M}}} B(w^h, w^h).$$

We know that  $\nabla_{\mathbb{M}}B$  is a unit vector field whose integral curves are the geodesics which pass through the center of the horocycles where  $B$  is constant (call this point  $B(\infty)$ ). For a point  $p \in \mathbb{M}$ , let  $\{e_1, e_2\}$  be an orthonormal local frame around  $p$  such that  $e_1$  is tangent along the geodesic passing through  $p$  and  $B(\infty)$  (call it  $\gamma_p$ ) and  $e_2$  is tangent along the horocycle centered in  $B(\infty)$  passing through  $p$  (denoted by  $H_p$ ). By the choice of  $e_1$ , we have that  $e_1 = \pm \nabla f$  along  $\gamma_p$ , we have that  $Hess_{g_{\mathbb{M}}}B(e_1, \cdot) \equiv 0$ , therefore the equality holds:

$$Hess_{g_{\mathbb{M}}}B(w^h, w^h) = \langle w^h, e_2 \rangle^2 Hess_{g_{\mathbb{M}}}B(e_2, e_2).$$

By the choice of  $e_2$ , it is clear that  $Hess_{g_{\mathbb{M}}}B(e_2, e_2)(p)$  is the geodesic curvature of  $H_p$  with respect to the inward pointing normal vector field (we denote this function by  $\kappa(p)$ ). In fact,  $\nabla B(p)$  is the unit vector at  $p$  pointing in the direction which is opposite to  $B(\infty)$  (see [14]). In an analogous reasoning, we can conclude that  $\Delta_{\hat{g}}\hat{B}|_{\Sigma} = \kappa(p)$ . Thus, by the identity (4.15), we have

$$\Delta_g B(p) = \kappa(p) - \langle N, e_2 \rangle^2 \kappa(p) \geq \nu^2 \kappa(p).$$

Using comparison theorems, we have that  $\kappa(p) \geq b^{-1}$ , since  $K_{\mathbb{M}} \leq -b^2$ , therefore the following inequality holds:

$$\Delta_g B \geq b^{-1} \nu^2. \tag{4.16}$$

From now on, we consider the Jacobi operator of  $\Sigma$ , given by the expression

$$J_{\Sigma} = -\Delta - (1 - \nu^2)(K_{\mathbb{M}} \circ \pi) - |A|^2.$$

Next, we consider the proposition below:

**Proposition 4.8.** *The spectrum of the operator  $J_{\Sigma} + |A|^2$  is bounded from below by a positive constant  $C$  depending only on  $b$ .*

*Proof.* We start by the inequality

$$\int_{\Sigma} b^{-1} \nu^2 f^2 \leq \int_{\Sigma} \Delta_g B f^2 = \int_{\Sigma} \langle \nabla_g B, \nabla f^2 \rangle \leq 2 \int_{\Sigma} |f| \cdot |\nabla f| \tag{4.17}$$

By the elementary inequality

$$\int_{\Sigma} 2|f| \cdot |\nabla f| \leq 2b \int_{\Sigma} |\nabla f|^2 + \frac{1}{2b} \int_{\Sigma} f^2, \tag{4.18}$$

we conclude that

$$(2b)^{-1} \int_{\Sigma} f^2 \leq 2b \int_{\Sigma} |\nabla f|^2 + b^{-1} \int_{\Sigma} (1 - \nu^2) f^2. \quad (4.19)$$

Since  $K_{\mathbb{M}} \leq -b^2$ , we obtain

$$(2b)^{-1} \int_{\Sigma} f^2 \leq 2b \int_{\Sigma} |\nabla f|^2 - b^{-3} \int_{\Sigma} (K_{\mathbb{M}} \circ \pi)(1 - \nu^2) f^2. \quad (4.20)$$

The proposition is proved if we choose  $C$  to be  $(2b \max(b^{-3}, 2b))^{-1}$ .  $\square$

We finish the proof of the second item as in [2]. Sketching the arguments, we prove that the essential spectrum of  $J_{\Sigma}$  is bounded from below by a positive constant and, given that  $J_{\Sigma}$  is bounded from below, we conclude, by Proposition 1 of [3] that the index of  $J_{\Sigma}$  is finite.

## CHAPTER 5

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### Appendix

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In this chapter, we provide a detailed discussion about some basic results which are useful along this work.

### 5.1 Vertical annuli in $\mathbb{M} \times \mathbb{R}$

In this subsection, we study complete vertical rotational minimal catenoids in  $\mathbb{H}^2 \times \mathbb{R}$ . We prove that, when suitably placed in  $\mathbb{M} \times \mathbb{R}$ , their mean curvature vector fields do not vanish at any point. We also prove that, for a fixed point  $p \in \mathbb{M}$  and positive number  $R > 0$ , there exists a positive number  $h = h(p, R)$  such that there is no minimal annulus whose boundary is contained in the set  $B_R(p) \times \{-h', h'\}$  for  $h' > h$ , where  $B_R(p)$  is the open ball of radius  $R$  centered in  $p$ .

#### 5.1.1 Comparing geometries

Around a point of  $\mathbb{M}$ , we consider polar coordinates  $(s, \theta)$  on the surface, and the metric is given by  $ds^2 + Gd\theta^2$ , for some positive smooth function  $G$  of  $s$  and  $\theta$ . In particular, when  $\mathbb{M}$  is the hyperbolic space of curvature  $-k^2$ ,  $k > 0$  (notation:  $\mathbb{H}^2(-k^2)$ ), we have that the function  $G$  is precisely  $G^{(k)}(s, \theta) := \sinh^2(ks)$ .

Let us consider a rotational surface  $\Sigma$  in  $\mathbb{M} \times \mathbb{R}$ . We can parametrize it by  $(s, \theta) \mapsto (s, \theta, h(s))$ , and the associated coordinate frame is  $\bar{\partial}_s = \partial_s + h'(s)\partial_z$

and  $\bar{\partial}_\theta = \partial_\theta$  (here, we consider in  $\mathbb{M} \times \mathbb{R}$  the coordinates  $(s, \theta, z)$ ). So, the vector field  $N = (1 + h'(s)^2)^{-\frac{1}{2}}(-h'(s)\partial_s + \partial_z)$  along  $\Sigma$  is normal and unitary, and the mean curvature with respect to it is given by

$$2H = \frac{1}{2G(1 + h'(s)^2)^{\frac{3}{2}}} (2Gh''(s) + (1 + h'(s)^2)h'(s)G_s).$$

Then the surface  $\Sigma$  is minimal if and only if

$$2Gh''(s) + (1 + h'(s)^2)h'(s)G_s = 0.$$

In particular, when  $\mathbb{M} = \mathbb{H}^2(-k^2)$ , the equation becomes

$$\sinh(ks)h''(s) + k\cosh(ks)(1 + h'(s)^2)h'(s) = 0. \quad (5.1)$$

Fix two constants  $A, k > 0$  and let  $R_{A,k} := \frac{\operatorname{arcsinh}(A)}{k}$ . Consider the function  $h_{A,k} : [R_{A,k}, +\infty) \rightarrow \mathbb{R}$  defined by

$$h_{A,k}(s) = \int_{R_{A,k}}^s \frac{A}{\sqrt{\sinh^2(kr) - A^2}} dr.$$

The following facts about  $h_{A,k}$  are easy to verify:

- $h_{A,k} \in C^\infty((R_{A,k}, +\infty)) \cap C^0([R_{A,k}, +\infty))$ ;
- $h_{A,k}$  solves Equation 5.1 on the domain  $(R_{A,k}, +\infty)$ ;
- $h'_{A,k} > 0$  and  $\lim_{s \rightarrow R_{A,k}} h'(s) = +\infty$ .

In  $\mathbb{H}^2(-k^2) \times \mathbb{R}$ , define the subset

$$\mathcal{C}^{A,k} := \{(s, \theta, (-1)^j h_{A,k}(s)), s \geq R_{A,k}, j \in \{0, 1\}\}.$$

Obviously,  $\mathcal{C}^{A,k}$  is a complete vertical rotational minimal catenoid in the space  $\mathbb{H}^2(-k^2) \times \mathbb{R}$ .

We now define, in  $\mathbb{M} \times \mathbb{R}$ , the surface

$$\mathcal{C}_{\mathbb{M}}^{A,k} := \{(s, \theta, (-1)^j h_{A,k}(s)), s \geq R_{A,k}, j \in \{0, 1\}\},$$

for some fixed polar coordinate system in  $\mathbb{M}$ . This surface is a complete vertical rotational annulus in  $\mathbb{M} \times \mathbb{R}$ . If the sectional curvature of  $\mathbb{M}$  satisfies  $-k_1^2 < K_{\mathbb{M}} < -k_2^2$ , then, by a slight variation of Proposition 2 of [13], we have that

$$\frac{G_s^{(k_1)}}{G^{(k_1)}} > \frac{G_s}{G} > \frac{G_s^{(k_2)}}{G^{(k_2)}}. \quad (5.2)$$



By Equation 5.1, we obtain the inequalities

$$\begin{aligned} 2Gh''_{A,k_1}(s) + (1 + h'_{A,k_1}(s)^2)h'_{A,k_1}(s)G_s &< 0; \\ 2Gh''_{A,k_2}(s) + (1 + h'_{A,k_2}(s)^2)h'_{A,k_2}(s)G_s &> 0, \end{aligned}$$

for any  $A > 0, i = 1, 2$ .

The catenoid  $\mathcal{C}_{\mathbb{M}}^{A,k}$  separates  $\mathbb{M} \times \mathbb{R}$  in two connected components. One of them contains  $\mathbb{M} \times (T, +\infty)$ , for some  $T \in \mathbb{R}$ , which we call the *inner region* of  $\mathcal{C}_{\mathbb{M}}^{A,k}$ . The other component is the *outer region* of the catenoid.

We say that the mean curvature vector field  $\vec{H}_{A,k}$  of  $\mathcal{C}_{\mathbb{M}}^{A,k}$  points *inwards* (resp. *outwards*) when it is nonzero everywhere and it points to the inner region (resp. to the outer region). With the above reasoning, we conclude the following result:

**Proposition 5.1.** *For a Hadamard surface  $\mathbb{M}$ , suppose that the inequalities  $-k_1^2 < K_{\mathbb{M}} < -k_2^2$  hold. Then, for any positive  $A$ , the vector field  $\vec{H}_{A,k_1}$  points outwards, while  $\vec{H}_{A,k_2}$  points inwards.*

**Remark.** Concerning the variation of Proposition 2 of [13], we need to assure that the inequalities in (5.2) are strict, which is not done in the reference. Indeed, if  $G^i(s, \theta) := \sinh^2(k_i s)$ , for  $i = 1, 2$ , it is true that the functions  $f_{\theta}(s) = \frac{G_s^1(s, \theta)}{2G^1(s, \theta)}$  and  $g_{\theta}(s) = \frac{G_s(s, \theta)}{2G(s, \theta)}$  satisfy the equations

$$f'_{\theta} + f_{\theta}^2 = k_1^2 > \frac{(-K_{\mathbb{M}}(\cdot, \theta) + k_1^2)}{2}; \quad g'_{\theta} + g_{\theta}^2 = -K_{\mathbb{M}}(\cdot, \theta) < \frac{(-K_{\mathbb{M}}(\cdot, \theta) + k_1^2)}{2}.$$

It is clear that  $f_{\theta}$  and  $g_{\theta}$  satisfy the conditions of Corollary 2.2 of [34] (see [13] for details about  $f_{\theta}$  and  $g_{\theta}$ ). Then, defining

$$\begin{aligned} \phi_{\theta}(s) &= s \int_0^s (f_{\theta}(t) - t^{-1}) dt; \\ \psi_{\theta}(s) &= s \int_0^s (g_{\theta}(t) - t^{-1}) dt, \end{aligned}$$

we can apply the ideas of Lemma 2.1 of [34]. Explicitly,

$$\begin{aligned} (\phi'_{\theta}\psi_{\theta} - \phi_{\theta}\psi'_{\theta})'(s) &\geq (k_1^2 + K_{\mathbb{M}}(s, \theta))\phi_{\theta}(s)\psi_{\theta}(s) \leftrightarrow \\ \frac{G_s^1(s, \theta)}{G^1(s, \theta)} - \frac{G_s(s, \theta)}{G(s, \theta)} &\geq \frac{2 \int_0^s (k_1^2 + K_{\mathbb{M}}(x, \theta))\phi_{\theta}(x)\psi_{\theta}(x) dx}{\phi_{\theta}\psi_{\theta}(s)}, \end{aligned}$$

then one of the strict inequalities in (5.2) was proved. The other one can be proved in a similar procedure.

## 5.1.2 Height bounds of minimal annuli

We prove here the following proposition.

**Proposition 5.2.** *If  $\mathbb{M}$  is a Cartan-Hadamard manifold and if  $B_R(p)$  is a compact subset of  $\mathbb{M}$ , there exists  $h_0 > 0$  depending on  $p$  and  $R$  such that, for any two Jordan curves  $\Lambda_1, \Lambda_2 \subset B_R(p)$  and  $h' > h$ , there is no minimal annulus in  $\mathbb{M} \times \mathbb{R}$  whose boundary is given by  $(\Lambda_1 \times \{0\}) \cup (\Lambda_2 \times \{h'\})$ .*

*Proof.* Suppose, by contradiction, that there is a sequence  $\{\Sigma_n\}_{n \in \mathbb{N}}$  of minimal annuli such that  $\partial\Sigma_n \subset B_R(p) \times \{-h_n, h_n\}$ , where  $(h_n)_{n \in \mathbb{N}}$  is an increasing sequence of positive numbers which goes to  $+\infty$ . By [26], there is a minimal stable annuli  $S_n$  whose boundary is  $\partial B_R(p) \times \{-h_n, h_n\}$  that minimizes area among the annuli contained in the unbounded component of  $(\mathbb{M} \times [-h_n, h_n]) \setminus \Sigma_n$ . We then have area and curvature estimates for the sequence  $(S_n)_n$  in compact sets, then, by a diagonal argument, we have that a subsequence of  $(S_n)_n$  converges to a cylindrically bounded minimal annuli  $S$ . Since all the  $S_n$  are stable, the surface  $S$  also is. By Theorem 3 of [39], the second fundamental form of  $S$  is bounded.

Obviously,  $S \subset B_R(p) \times \mathbb{R}$ , and let  $R'$  the smallest number such that  $S \subset B_{R'}(p) \times \mathbb{R}$  (by the maximum principle, this number exists). By the choice of  $R'$ , we can choose a sequence  $(s_n = (q_n, t_n))_{n \in \mathbb{N}}$  of points of  $S$ ,  $q_n \in \mathbb{M}, t_n \in \mathbb{R}$  such that  $(q_n)_n$  converges to a point  $q$  in  $\partial B_{R'}(p)$ . We then consider, for each  $n$ , the surface  $S^n$ , a vertical translation of  $S$  such that  $\bar{s}_n := (q_n, 0) \in S^n$ . The points  $\bar{s}_n$  have  $\delta$ -neighborhoods on  $S^n$  that are graphs of functions  $F_n$  over the  $\delta$ -disc in  $T_{\bar{s}_n} S^n$  such that the set  $\|F_n\|_{C^2}$  is uniformly bounded. Therefore, up to a subsequence, the sequence  $(T_{\bar{s}_n} S^n)$  converges to a vertical plane  $P$  in  $T_{(q,0)}(\mathbb{M} \times \mathbb{R})$ , otherwise  $S$  would not be contained in  $B_R(p) \times \mathbb{R}$ , and the sequence of graphs of  $(F_n)_n$  converges to a minimal graph over a  $\delta$ -disc which intersects  $\partial B_R(p) \times \mathbb{R}$  tangentially, which is impossible.  $\square$

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