

Robustly transitive sets in nearly integrable Hamiltonian systems

MEYSAM NASSIRI

ABSTRACT. We introduce C^r -open sets ($r = 1, 2, \dots, \infty$), of symplectic diffeomorphisms (and Hamiltonian systems), exhibiting *large* robustly transitive sets. As a consequence of the constructions we show that, arbitrarily C^∞ -close to certain (nearly) integrable Hamiltonian systems with more than two degrees of freedom, there exist systems with unbounded robustly transitive sets.

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1. INTRODUCTION AND MAIN RESULTS

The theory of Kolmogorov, Arnold and Moser, (KAM) gives a precise description of the dynamics of a set of large measure of orbits for any small perturbation of a non-degenerate integrable Hamiltonian system. These orbits lie on the invariant KAM tori for which the dynamics are equivalent to irrational (Diophantine) rotations. This theory applies for the autonomous Hamiltonians, time-periodic Hamiltonians and also for symplectic diffeomorphisms. A basic and natural question is what happens for other orbits. What is the possible behavior of most orbits (in the topological sense) for generic systems?

In the case of autonomous systems in two degrees of freedom or time-periodic systems in one degree of freedom (i.e., 1.5 degrees of freedom), the KAM theorem proves the stability of *all* orbits, in the sense that the actions do not vary much along the orbits. Since each KAM torus has codimension one in the phase space, its complement is disconnected and contains two connected components. Thus, any orbit remains between two nearby invariant tori. This, of course, is not the case if the degree of freedom is larger than two, where the KAM tori are of codimensions at least two. A natural question arises: Do generic perturbations of integrable systems in higher dimensions exhibit instabilities?

The problem of instabilities for high dimensional nearly integrable Hamiltonian systems (i.e. small perturbations of integrable systems) has been considered one of the most important problems in Hamiltonian dynamics. The first example of instability is due to Arnold [A2], who constructed a family of small perturbations of a non-degenerate integrable Hamiltonian system that exhibits instability in the sense that there are orbits for which the variation of action is large. This kind of topological instability is sometimes called the *Arnold diffusion*. In fact, he had conjectured [A1, pp. 176] that the answer of the above question should be positive. While there is a large number of works and announcements towards this conjecture, specially in the recent years (see e.g. [CY], [D], [DLS], [KMV], [Ma], [X], and references there), few is known about “most of the orbits” in the complement of invariant or periodic Diophantine tori. Although it is very difficult to prove the existence of “some” instable orbits in general, it is the simplest expected non-trivial behavior in the complement of invariant tori. For instance, one may ask about transitivity or topological mixing.

On the other hand, in the non-conservative dynamics, there are several important recent contributions about robust transitivity. Recall that a diffeomorphism of a manifold M is transitive if it has a dense orbit in the whole manifold. Such a diffeomorphism is called C^r -robustly transitive if it belongs to the C^r -interior of the set of transitive diffeomorphisms. It has been known since 1960's, that any hyperbolic basic set is C^1 -robustly transitive. The first examples of non-hyperbolic C^1 -robustly transitive sets are due to M. Shub [Sh] and R. Mañé [Mñ]. For a long time their examples remained the unique ones. Then, L. Díaz (who was mainly interested in the dynamical consequences of hetero-dimensional cycles), jointly with C. Bonatti, discovered [BD] a semi-local source for transitivity, and C^1 robust in nature. They called it *blender*. Using this tool, one may construct examples of robustly transitive sets and diffeomorphisms. In contrast, Bonatti, Díaz, Pujals, Ures, [DPU], [BDP]

have surprisingly shown that any C^1 robustly transitive set admits an invariant dominated splitting on its tangent bundle, and a weak form of hyperbolicity holds. This result has been extended independently by Horita, Tahzibi [HT] and by Saghin [Sa] to the symplectic case, where the robust transitivity holds only in the symplectic world. Another important result in this direction is due to Arnaud, Bonatti and Crovisier [BC], [ABC]. They show that generically in the C^1 topology any symplectic diffeomorphism on compact manifold is transitive. They also prove that on non-compact manifolds, generic orbits of generic diffeomorphisms are not bounded. It is important to note that the C^1 topology is essential in all these results, because of the use of several basic perturbation lemmas (connecting lemmas, Franks lemma, etc.) known only in the C^1 topology. For the recent surveys on this topic and on a related theory about stably ergodic diffeomorphisms on compact manifolds, developed in the last decade by C. Pugh, M. Shub, and many others, see [BDV, chapters 7,8], [PS], [PSh].

In this paper, the problem of instability is investigated as a consequence of the existence of large or unbounded robustly transitive sets. We develop the methods of robust transitivity into the context of symplectic and Hamiltonian systems. And then we apply them for the nearly integrable symplectic and Hamiltonian systems with more than two degrees of freedom. We introduce such Hamiltonians or symplectic diffeomorphisms exhibiting *unbounded or large* robustly transitive sets. In particular, a stronger form of instability for a large set of orbits is obtained.

Let us introduce some definitions before to state the main results. Let $f : M \rightarrow M$ be a diffeomorphism of a compact manifold M . An f -invariant subset Λ is *partially hyperbolic* if its tangent bundle $T_\Lambda M$ splits as a Whitney sum of Tf -invariant subbundles:

$$T_\Lambda M = E^u \oplus E^c \oplus E^s,$$

and there exist a Riemannian metric on M and constants $1 > \lambda > 0$ and $\mu > 1$ such that for every $p \in \Lambda$,

$$m(T_p f|_{E^u}) > \mu > \|T_p f|_{E^c}\| \geq m(T_p f|_{E^c}) > \lambda > \|T_p f|_{E^s}\| > 0.$$

The co-norm $m(A)$ of a linear operator A between Banach spaces is defined by $m(A) := \inf\{\|A(v)\| : \|v\|=1\}$. The bundles E^u , E^c and E^s are referred to as the unstable, center and stable bundles of f , respectively.

An example of a partially hyperbolic set is a *hyperbolic set*, for which $E^c = 0$.

Let f and g be two diffeomorphisms on manifolds M and N , respectively. Suppose that $\Lambda \subset M$ is an invariant hyperbolic set for f . We say g is dominated by $f|_\Lambda$ if $\Lambda \times N$ is a partially hyperbolic set for $f \times g$, with $E^c = TN$. In this paper we sometimes talk about “weak” hyperbolic periodic point, meaning that the point is hyperbolic, but contraction and expansion constants are sufficiently close to one.

In a similar way one may define partially hyperbolic sets in a non-compact manifold.

Let X be a metric space, and $F : X \rightarrow X$. A set $Y \subset X$ is *transitive* for F if for any U_1, U_2 open in X , such that $U_i \cap Y \neq \emptyset$, there is some n with $F^n(U_1) \cap U_2 \neq \emptyset$. If in

addition, for any open sets $U_1, U_2 \subset Y$ (in the restricted topology), there is some n with $F^n(U_1) \cap U_2 \neq \emptyset$, then we say Y is *strictly transitive*. A stronger property is *topological mixing*, where $F^n(U_1) \cap U_2 \neq \emptyset$ holds for *any* sufficiently large n .

Let D^r be a subspace of $\text{Diff}^r(M)$ with the C^r topology. A compact set $Y \subset M$ is D^r -*robustly transitive* for $f \in D^r$, if for any $g \in D^r$ sufficiently close to f , the continuation (should be well defined) of Y is transitive for g . More generally if M is not compact, a non-relatively compact set $Y \subset M$ is D^r -*robustly transitive* if it is a union of compact D^r -robustly transitive sets. In the same way one may define robustly (strictly) topological mixing.

A point x is *non-wandering* for a diffeomorphism f if for any neighborhood U of x there is $n \in \mathbb{N}$ such that $f^n(U) \cap U \neq \emptyset$. By $\Omega(f)$ we denote the set of all non-wandering point of f .

Now, let us recall some basic facts and definitions of symplectic topology. A symplectic manifold is a C^∞ smooth manifold M together with a closed non-degenerate differential 2-form ω . We denote it by (M, ω) but sometimes we just write M . Examples of symplectic manifolds are orientable surfaces, even dimensional tori and cylinders, and the cotangent bundle T^*N of an arbitrary smooth manifold. A C^1 diffeomorphism f is *symplectic* if f preserve ω ; i.e. $f^*\omega = \omega$. We denote by $\text{Diff}_\omega^r(M)$ the space of C^r symplectic diffeomorphisms of M with the C^r topology, $1 \leq r \leq \infty$. If the symplectic form ω is exact, that is $\omega = d\alpha$ for some 1-form α , and $f_*\alpha - \alpha = dS$ for some smooth function $S : M \rightarrow \mathbb{R}$, then we say that f is an exact symplectic diffeomorphism.

Our main result concerning symplectic diffeomorphisms is the following.

Theorem A. *Let M and N be two symplectic manifolds (not necessarily compact). Let $f_1 \in \text{Diff}_\omega^r(M)$ such that there exists an open set $U \subset M$ whose maximal invariant set Λ is a hyperbolic transitive compact set. Let $f_2 \in \text{Diff}_\omega^r(N)$ such that:*

- a) f_2 is dominated by $f_1|_\Lambda$, and f_2 has a (weak) hyperbolic periodic point.
- b) For any \tilde{f}_2 sufficiently C^r close to f_2 , $\Omega(\tilde{f}_2) = N$.

Then there is a C^r -arc $\{F_\mu\}_{\mu \in [0,1]}$ of C^r symplectic diffeomorphisms on $M \times N$, such that $F_0 = f_1 \times f_2$, and for all $\mu \in (0, 1]$, $\Lambda \times N$ is robustly strictly topologically mixing in $\text{Diff}_\omega^r(M \times N)$. More precisely,

- (1) *For all F_μ , $\mu \in (0, 1]$, the maximal invariant set Γ_{F_μ} in $U \times N$ is (strictly) topologically mixing. Moreover Γ_{F_μ} contains hyperbolic periodic points whose stable and unstable manifolds are dense in it.*
- (2) *For any bounded domain N_c in N , and any $\nu > 0$, there exists a neighborhood \mathcal{U} of $\{F_\mu : \mu \in (0, 1]\}$ in $\text{Diff}_\omega^r(M \times N)$ such that for any $G \in \mathcal{U}$ the set $\Gamma_{G, N_{c,\nu}}$, the continuation of $\Lambda \times N_{c,\nu}$, is transitive and topological mixing, where $N_{c,\nu} \subset N_c$ and $N_c \setminus N_{c,\nu}$ is an open set in N of Lebesgue measure smaller than ν .*

The Theorem A, roughly speaking, says that if the product of a hyperbolic basic set Λ by any non-wandering dynamics on N is partially hyperbolic then we can perturb it such that (the continuation of) $\Lambda \times N$ become a robustly topological mixing set.

Remark that the non-wandering hypothesis (b) is obviously satisfied if the manifold N is compact or has a finite volume.

As a matter of fact, all known results about instability concern with nearly integrable systems. There are two reasons for it. First, for nearly integrable systems the stability seems *a priori* highly probable, and the invariant KAM tori are the “obstructions for instability”. So, to study the instabilities in general, one considers perturbations of integrable systems as the most crucial examples. Second, KAM theory gives useful dynamical informations of the system, and these informations are crucial in the classical methods for proving instabilities. One of the advantages of Theorem A is that the initial system F_0 is not necessarily close to the integrable systems, e.g. f_1 could be an Anosov diffeomorphism.

Theorem A is also related to an interesting example of Shub and Wilkinson [SW]. They proved that the product of “Anosov \times Standard map” on \mathbb{T}^4 is C^∞ approximated by (symplectic) stably ergodic systems. The ergodicity implies transitivity, but not topologically mixing. In the proof they use the central tool in the theory of stable ergodicity, namely, the *accessibility*. Two things seem essential in their proof. The first one is global (partial) hyperbolicity and the second one is compactness. See also Remark 6.1. On the other hand, there is no ergodic nearly integrable system, because the union of invariant KAM tori has positive Lebesgue measure. Theorem A can be seen as a local and topological version of this example.

Let (M, ω) be a symplectic manifold and $H : \mathbb{R} \times M \rightarrow \mathbb{R}$ a C^r function, called the (time dependent) Hamiltonian. For any $t \in \mathbb{R}$, the vector field X_{H_t} determined by the condition

$$\omega(X_{H_t}, Y) = dH_t(Y) \text{ or equivalently } i_{X_{H_t}} \omega = dH_t$$

is called the *Hamiltonian vector field* associated with $H_t := H(t, \cdot)$ or the symplectic gradient of H_t . A diffeomorphism is called *Hamiltonian diffeomorphism* if it is the time-one map of some time periodic Hamiltonian flow.

Remark 1.1. The Theorem A can be stated in the context of exact and Hamiltonian diffeomorphisms, and also time-dependent Hamiltonians. The statements are analogues and it is left to the reader.

Theorem B. *Let M and N be two symplectic manifolds (not necessarily compact), and h_1 and h_2 be two C^r Hamiltonians on M and N , respectively. Let f_1 and f_2 be the time one map of the hamiltonian flow generated by h_1 and h_2 , respectively. Suppose that*

- (i) h_1 is time periodic and f_1 has a transversal homoclinic point,
- (ii) f_2 is dominated by a hyperbolic invariant set of f_1 ,
- (iii) the whole manifold N is the non-wandering set for h_2 .

Then the Hamiltonian $h_1 + h_2$ is approximated in C^∞ topology by time-periodic Hamiltonians on $M \times N$ exhibiting a topologically mixing partially hyperbolic invariant set $\Xi \times N$. Moreover, the continuation of this set is well defined, and either it remains topologically mixing or it contains wandering points converging to the infinity.

When the manifold N is of dimension two, then as we mentioned before, existence of invariant KAM tori provides the stability of all points. In particular, there is no wandering point. The following corollary concerns with the class of integrable systems that contains the so-called *a priori* unstable integrable Hamiltonian systems H (cf. [CY], [DLS], [X]).

Corollary C. *Let $H_0(p, q, x, y, t) = h_2(p) + h_1(x, y, t)$ be a time-periodic Hamiltonian, where $t \in \mathbb{T} := \mathbb{R}/\mathbb{Z}$ is the time, $(p, q) \in \mathbb{R} \times \mathbb{T}$, and $(x, y) \in \mathbb{R}^n \times \mathbb{T}^n$. Suppose that $h_2(p) = p^2$, and let h_1 be an arbitrary Hamiltonian with some non-hyperbolic periodic orbit. Then, C^∞ -arbitrarily close to H_0 , there are C^r ($r \geq 5$) open sets of time periodic Hamiltonians exhibiting instability, namely, there exist topologically mixing invariant sets containing arbitrary large regions of the action variable p .*

Let us say a few words on the proofs. First ingredient is a new tool in symplectic dynamics called *symplectic blender*, a semi-local source of robust transitivity. It is based on the seminal work of Bonatti and Díaz [BD]. The symplectic blender provides *robustness* of the density of stable and unstable manifolds of a hyperbolic periodic point, in any compact region, which implies robustness of transitivity or even topological mixing.

Another main ingredient is that we reduce the problem to the one of iterated function system. Indeed, in comparison with the classical methods for instability, here we follow the dynamical consequences of the whole structure of homoclinic intersections of a normally hyperbolic submanifold, instead of only one of such intersections. Any homoclinic intersection gives a holonomy map (or the outer maps of [DLS]). Hence considering the whole structure of homoclinic intersections we will have infinitely many different outer maps, and this allows us to obtain instability and transitivity. We found the iterated function system as a natural and nice context to set down this idea. As a model one may consider perturbations of the product of a horseshoe and an integrable twist map and then results on the iterated function system yield minimality of (strong) stable and unstable foliations. Then using the *symplectic blender* one can show that transitivity (or even topological mixing) appears in an action variable and in a robust fashion.

Note specially that we do not use any KAM-type invariant sets in the proof. For instance, *recurrency* has an important role. And therefore, the classical problem, the large gap problem does not make sense here, although the large gaps between Diophantine tori may appear in a normally hyperbolic manifold N .

This paper is organized as the following. In section 2 we study transitivity of two deferent kinds of the iterated function systems (IFS). Namely, the IFSs of expanding maps, and the IFSs of recurrent diffeomorphisms. We use the former ones in section 3, where we introduce the symplectic blenders. In section 4 we prove Theorem A. In section 5 we prove Theorem B and Corollary C. Finally, in section 6 several remarks and open problems related to the main results are discussed.

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2. ITERATED FUNCTION SYSTEM

In this section we study transitivity of some iterated function system (IFS). In the IFSs, instead of taking iteration by only *one map*, one considers all the possible compositions and iterations of *several maps*. As a consequence, a point x may have an infinite number of orbits. The transitivity of the iterated function system of expanding maps has a fundamental role in the construction and properties of blenders (see section 3) and of the symplectic maps will be used in the proof of density of (strong) stable and unstable manifolds (see section 4).

Let g_1, g_2, \dots, g_n be functions defined on the metric space X . The iterated function system $\mathcal{G}(g_1, g_2, \dots, g_n)$ is the action of the group generated by $\{g_1, g_2, \dots, g_n\}$ on X . We use the notion of multi-index $\sigma = (\sigma_1, \dots, \sigma_k) \in \{1, 2, \dots, n\}^k$ for $g_\sigma = g_{\sigma_k} \circ \dots \circ g_{\sigma_1}$. We also denote $|\sigma| := k$. An orbit of $x \in X$ under the iterated function system $\mathcal{G} = \mathcal{G}(g_1, g_2, \dots, g_n)$ is a sequence $\{g_{\Sigma_k}(x)\}_{k=1}^\infty$ where $\Sigma_k = (\sigma_1, \dots, \sigma_k)$ and $\{\sigma_i\}_{i=1}^\infty \in \{1, 2, \dots, n\}^\mathbb{N}$. By $\text{Orbit}_{\mathcal{G}}^+(x)$ we denote the orbit of $x \in X$ under the IFS \mathcal{G} . Similarly, we denote $\text{Orbit}_{\mathcal{G}}^-(x)$ as the backward orbit of x , i.e. the orbit of x under the IFS $\mathcal{G}^{-1} = \mathcal{G}(g_1^{-1}, g_2^{-1}, \dots, g_n^{-1})$. The orbit of a subset $U \subset X$ is defined as the union of all its orbits, i.e. $\text{Orbit}_{\mathcal{G}}^+(U) = \cup_{x \in U} \text{Orbit}_{\mathcal{G}}^+(x)$.

Definition 2.1. The IFS $\mathcal{G}(g_1, g_2, \dots, g_n)$ is said *transitive* if the \mathcal{G} -orbit of any open set is dense. A set U is transitive for \mathcal{G} if the \mathcal{G} -orbit any open subset of U is dense in U . This is equivalent to the existence of some point with dense \mathcal{G} -orbit in U .

2.1. Contracting and expanding maps. In this subsection we study the transitivity for the iterated function system of contracting and expanding maps. The result presented here we be used in the construction of blender in section 3.

The simplest examples of contracting (expanding) maps are linear maps of \mathbb{R}^n , for which the absolute value of all eigenvalues are smaller than one (resp., bigger than one). In other words, a matrix A is contracting iff, $\|A\| := \sup\{|Av|/|v| : |v| \neq 0\} < 1$ for some norm $|\cdot|$ in \mathbb{R}^n .

In general, a map ϕ on a metric space (X, d) is contracting iff there is a constant $0 < K < 1$ such that $d(\phi(x), \phi(y)) < Kd(x, y)$, for all $x, y \in X$. The *contraction bound* (if exists), is a number $\lambda \in (0, 1)$ for which, ϕ in addition satisfies $\lambda d(x, y) < d(\phi(x), \phi(y))$, for all $x, y \in X$. This constant does not exist for any contracting map. For example, if some points converges super-exponentially fast to the unique fixed point of ϕ , and it can easily be constructed. For generic smooth contracting map ϕ on \mathbb{R}^n , the contraction bound does exist if we consider only a compact set U . In this case, the constant is equal to $\min\{m(Df_z) : z \in U\}$.

Proposition 2.2. *Let $U \subset \mathbb{R}^n$ be an open disk containing 0 and $\phi : U \rightarrow U$ be a contracting map with the contraction bound λ and $\phi(0) = 0$. Then there exists $k \in \mathbb{N}$ such that for any*

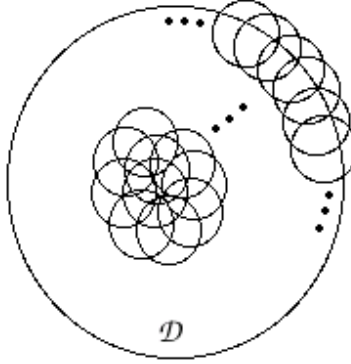


FIGURE 1. The covering and well-distributed properties. The disk \mathcal{D} is the largest one and the other disks are its images under ϕ_i 's.

$\varepsilon > 0$ small there exist vectors $c_1, \dots, c_k \in B_\varepsilon(0)$ and a number $\delta > 0$ such that

$$B_\delta(0) \subset \overline{\text{Orbit}_{\mathcal{G}}^+(0)},$$

where $\mathcal{G} = \mathcal{G}(\phi, \phi + c_1, \dots, \phi + c_k)$. Moreover,

$$\delta \geq \frac{\varepsilon}{1 - \lambda}, \text{ and } k < C(n) \cdot \lambda^{-n}.$$

These properties are **robust** in the following sense:

Let $\phi_0 = \phi$ and $\phi_i = \phi + c_i$. The same is true for the IFS of any family of contracting maps $\tilde{\phi}_i$ close to ϕ_i if their contraction bounds are also close to those of ϕ_i 's.

In order to prove this proposition we start with a non-perturbative version of it, which also clarifies the robustness of transitivity.

Remark 2.3. For smooth maps $\lambda = m(D\phi(0))$. No matter if the eigenvalues of $D\phi(0)$ are complex or real.

Definition 2.4. We say that an iterated function system $\mathcal{G}(\phi_1, \dots, \phi_k)$ of contracting maps has the *covering property* if there is a open set \mathcal{D} such that

$$\mathcal{D} \subset \bigcup_{i=1}^k \phi_i(\mathcal{D}).$$

The set of (unique) fixed points z_i 's of ϕ_i 's is *well-distributed* if any open ball of diameter d and centered in \mathcal{D} contains some z_i , where

$$d \geq \lambda^{-1} \cdot \max\{r \mid \forall x \in \mathcal{D}, \exists i, B_r(x) \subset \phi_i(\mathcal{D})\}$$

and λ is the minimum of the contraction bounds of ϕ_i 's.

Proposition 2.5. Let $\phi_i : \mathbb{R}^n \rightarrow \mathbb{R}^n$, $i = 1, 2, \dots, k$, be contracting maps, and $\phi_i(z_i) = z_i$ be their unique fixed points. Suppose that the iterated function system $\mathcal{G} = \mathcal{G}(\phi_1, \dots, \phi_k)$

has covering property on \mathcal{D} . Then for any $x \in \mathcal{D}$ there exists a sequence $\{\sigma_j\}_{j=1}^\infty$ such that for all $j \in \mathbb{N}$, $\sigma_j \in \{1, 2, \dots, k\}$, and

$$\phi_{\sigma_j}^{-1} \circ \phi_{\sigma_{j-1}}^{-1} \circ \dots \circ \phi_{\sigma_1}^{-1}(x) \in \mathcal{D}.$$

In addition, if the set $\{z_i\}_{i=1}^k$ is well-distributed in \mathcal{D} then

$$\mathcal{D} \subset \overline{\text{Orbit}_{\mathcal{G}}^+(0)}.$$

Proof. To prove the first part notice that given a point $x \in \mathcal{D}$, the covering property says that there is $\sigma_1 \in \{1, 2, \dots, k\}$ such that $\phi_{\sigma_1}^{-1}(x) \in \mathcal{D}$. Then, inductively, one constructs a sequence $\{\sigma_j\}_{j=1}^\infty$ such that $\phi_{\sigma_j}^{-1} \circ \phi_{\sigma_{j-1}}^{-1} \circ \dots \circ \phi_{\sigma_1}^{-1}(x) \in \mathcal{D}$.

Now we prove the second part. The well-distributed property yields that for any small ball $B_r(x_0)$ in \mathcal{D} , either it belongs to some $\phi_i(\mathcal{D})$ or it contains the fixed point of some ϕ_i . The latter case could be weakened to “or it contains some few \mathcal{G} -iterations of the fixed point of some ϕ_i ”. Now, If the ball $B_r(x_0)$ is very small then it belongs to the domain of some ϕ_i , i.e. $B_r(x_0) \subset \phi_i(\mathcal{D})$, and so there is $x_1 \in \mathcal{D}$ such that $B_{\lambda^{-1}r}(x_1) \subset \phi_i^{-1}(B_r(x_0)) \subset \mathcal{D}$. We may continue this process inductively. Since, the ratio of the balls is increasing exponentially, after some iteration, it would be large enough to contain the fixed point of some ϕ_i . This completes the proof. \square

Proof of Proposition 2.2. It is enough to show that there exist a number k , and certain (small) translations of the map ϕ , the covering property and the well-distributed hypothesis holds in some open ball $B_\varepsilon(0)$. Then using Proposition 2.5 we obtain the density of \mathcal{G} -orbit of 0. It is not difficult to see that $k < C(n) \cdot \lambda^{-n}$. The persistency follows the fact that the covering property and the well-distributed hypothesis are C^0 robust properties if the contraction bounds of the nearby maps are close to the initial ones. \square

2.2. Recurrent diffeomorphisms. In this subsection we study the transitivity for the iterated function system of recurrent diffeomorphisms. The results of this subsection shall be used in the proof of the main theorem.

let us first to recall some definitions.

An orbit is quasi periodic if its closure \mathcal{T} is diffeomorphic to the torus and the dynamics on \mathcal{T} is conjugate to an irrational rotation on the torus.

A Hamiltonian on a $2n$ -dimensional manifold is called *completely integrable* if it has n integrals in involution. Recall that an integral is a smooth real function on N or $N \times \mathbb{R}$ which is constant along the orbits of the Hamiltonian flow. A Hamiltonian is called integrable if it is locally completely integrable. A diffeomorphism is called integrable if it is the time-one map of some integrable Hamiltonian flow.

The Liouville-Arnold theorem says that if $f \in \text{Diff}_\omega^r(N)$ is integrable then $N = \overline{\cup N_i}$, where

- N_i 's are mutually disjoint open sets,
- for any i , N_i is invariant and diffeomorphic to $\mathbb{D}^n \times \mathbb{T}^n$ by a diffeomorphism h_i ,
- any torus $h_i^{-1}(\{x\} \times \mathbb{T}^n)$ is f -invariant and its dynamics is conjugate to a rotation.

We may also suppose that

- the family $\{N_i\}$ is locally finite in N .

Lemma 2.6. *Let f_1 be an integrable symplectic diffeomorphism on the symplectic manifold N . Then arbitrarily close to f_1 there is another integrable symplectic diffeomorphism f_2 which is conjugated to f_1 by a smooth change of coordinates on N such that*

- (1) any f_1 -invariant torus intersects transversally some f_2 -invariant torus, and vice versa,
- (2) given two open sets $U, V \subset N$, there is a chain of tori \mathcal{T}_j , $j = 1, 2, \dots, s$, invariants for f_{σ_j} , $\sigma_j = 1$ or 2 , such that, each \mathcal{T}_j , ($j < s$), intersects transversally \mathcal{T}_{j+1} , \mathcal{T}_1 intersects U and \mathcal{T}_s intersects V .

Proof. We construct a symplectic diffeomorphism $\phi \in \text{Diff}_\omega^r(N)$ close to the identity such that $f_2 = \phi \circ f_1 \circ \phi^{-1}$ has the desired properties. As mentioned before, $N = \cup \overline{N_i}$, where N_i is diffeomorphic to $\mathbb{D}^n \times \mathbb{T}^n$ by a diffeomorphism h_i . It is convenient to consider the polar coordinate system on $\mathbb{D}^n \times \mathbb{T}^n$, that is, any point is represented by

$$(r_1, \dots, r_n, \theta_1, \dots, \theta_n),$$

where $0 \leq r_i < 1$ and $\theta_i \in \mathbb{T}$.

The construction of ϕ has two steps.

Step 1. Let $\psi_1 \in \text{Diff}_\omega^r(\mathbb{R}^2)$ be the time one map an integrable Hamiltonian flow such that in the polar coordinate we have

- $\psi_1(r, \theta) = (r, \theta)$, if $r \geq 1$,
- $\psi_1(\{r = c\}) \neq \{r = c\}$, if $1 > c \geq 0$,
- any two open set in the unit disk $\{r < 1\}$ are connected by a chain of circles $\{r = c_j\}$ and $\psi_1(\{r = c_i\})$.

Note that it is not difficult to define ψ_1 explicitly. Now let

$$\psi = \overbrace{\psi_1 \times \dots \times \psi_1}^{n \text{ times}}.$$

Define $\varphi \in \text{Diff}_\omega^r(N)$ by

$$\varphi = \begin{cases} h_i^{-1} \circ \psi \circ h_i & \text{on } N_i \\ id & \text{on } N \setminus \cup N_i \end{cases}$$

The smoothness of φ on each N_i is trivial, and on the boundary of N_i 's follows from the fact that N_i 's are a locally finite family in N , they are mutually disjoint and ψ is equal to the identity on the boundary of $\mathbb{D}^n \times \mathbb{T}^n$.

Step 2. Let $i > j$ such that $\partial N_i \cap \partial N_j$ contains a regular hypersurface (codimension one) S_{ij} . Then for any such i, j we consider a small open neighborhood U_{ij} of some point of the hypersurface S_{ij} . The sets U_{ij} are pairwise disjoint. Let $U_{ij}^+ = U_{ij} \cap N_i$ and $U_{ij}^- = U_{ij} \cap N_j$. Then consider a symplectic diffeomorphism φ_{ij} supported in U_{ij} such that

$$\varphi_{ij}(U_{ij}^-) \cap U_{ij}^+ \neq \emptyset \text{ and } \varphi_{ij}(U_{ij}^+) \cap U_{ij}^- \neq \emptyset.$$

Now we take the composition of the all the above diffeomorphisms to define $\phi \in \text{Diff}_\omega^r(N)$, that is

$$\phi := (\circ_{ij} \varphi_{ij}) \circ \varphi.$$

It is not difficult to see that $f_2 = \phi \circ f_1 \circ \phi^{-1}$ has the desired properties. \square

Proposition 2.7. *Let T_1 be an integrable symplectic diffeomorphism on the symplectic manifold N such that almost all points are quasi periodic. Then arbitrarily close to T_1 there is an integrable symplectic diffeomorphism T_2 on N such that the iterated function system $\mathcal{G}(T_1^d, T_2^{d'})$ has a dense orbit, for any $d, d' \in \mathbb{Z}$. Moreover, almost all points have dense \mathcal{G} -orbits.*

Proof. Suppose that T_2 is an integrable diffeomorphism so that almost all points are quasi periodic. Let \mathcal{S}_0 be the set of all quasi periodic points for T_1 , which is T_1 -invariant. Similarly, let \mathcal{S}'_0 the set of all quasi periodic points for T_2 , which is T_2 -invariant. It follows that the complements of \mathcal{S}_0 and \mathcal{S}'_0 have zero Lebesgue measure, and Lebesgue measure is invariant under T_1 and T_2 . Let \mathcal{S} the set of all points whose orbits under the iterated function system $\mathcal{G}(T_1, T_2)$ belong to $\mathcal{S}_0 \cap \mathcal{S}'_0$.

CLAIM. The set \mathcal{S} has total Lebesgue measure.

Proof of Claim. We use an inductive process. Let the sequence of sets \mathcal{S}_k and \mathcal{S}'_k , $k \in \mathbb{N}$ defined as the following:

$$\begin{aligned} \mathcal{S}_{k+1} &:= \bigcap_{n \in \mathbb{Z}} T_1^n(\mathcal{S}'_k), \\ \mathcal{S}'_{k+1} &:= \bigcap_{n \in \mathbb{Z}} T_2^n(\mathcal{S}_k). \end{aligned}$$

By the definitions, \mathcal{S}_k is T_1 -invariant and \mathcal{S}'_k is T_2 -invariant. The complements of these sets have zero Lebesgue measure. Furthermore, if $x \in \mathcal{S}_k$ then for all $n, m \in \mathbb{Z}$, $T_2^m \circ T_1^n(x) \in \mathcal{S}'_{k-1}$, since $\mathcal{S}_k \subset \mathcal{S}'_{k-1}$. So \mathcal{S}_k contains the set of all points in N whose first k -th iterations under $\mathcal{G}(T_1^d, T_2^{d'})$ belong to \mathcal{S}_0 , for any $d, d' \in \mathbb{Z}$. More precisely,

$$\mathcal{S}_k = \{x \in N \mid \forall n_1, m_1, \dots, n_k, m_k \in \mathbb{Z}, T_2^{n_k} \circ T_1^{m_k} \circ \dots \circ T_2^{n_1} \circ T_1^{m_1}(x) \in \mathcal{S}_0\}.$$

This shows that $\mathcal{S} = \bigcap_{k=0}^{\infty} \mathcal{S}_k$. The complement of this set has zero Lebesgue measure. This completes the proof of the claim.

Now we apply Lemma 2.6 for T_1 . Then we obtain $\phi \in \text{Diff}_\omega^r(N)$ close to the identity and $T_2 = \phi \circ T_1 \circ \phi^{-1}$, such that given two open sets U, V , there is a chain of tori \mathcal{T}_j , $j = 1, 2, \dots, s$, invariants for T_{σ_j} , $\sigma_j = 1$ or 2 , such that, each \mathcal{T}_j intersects (transversally) \mathcal{T}_{j+1} , \mathcal{T}_1 intersects U and \mathcal{T}_s intersects V . It is not difficult to find an orbit of \mathcal{G} which shadows this chain. For any $z \in \mathcal{S}$, there is n_z such that $T_{\sigma_j}^{n_z}(z)$ is close to \mathcal{T}_{j+1} if z is sufficiently close to \mathcal{T}_j . The set \mathcal{S} is $\mathcal{G}(T_1, T_2)$ -invariant. So if $z \in \mathcal{S}$ is sufficiently close to \mathcal{T}_1 , then it has a \mathcal{G} -orbit shadowing all \mathcal{T}_j , and therefore there is an orbit from U to V . Moreover, given any point $x \in \mathcal{S}$ and any open set U , there is a finite sequence of tori \mathcal{T}_i , $i = 1, \dots, n$, invariant for T_1 or T_2 (alternatively), such that $x \in \mathcal{T}_1$, $\mathcal{T}_n \cap U \neq \emptyset$, and for

any i , \mathcal{T}_i intersects transversally \mathcal{T}_{i+1} . Then it follows that there exists $\Sigma = (\sigma_1, \dots, \sigma_m)$ such that $T_\Sigma(x) \in U$. This completes the proof. \square

Remark 2.8. If the set of quasi periodic points is residual then following the same argument in the proof, we conclude that the set of all points with dense orbit for $\mathcal{G}(T_1, T_2)$ is also residual.

Example 2.9. Let $N = \mathbb{R} \times (\mathbb{R}/2\pi\mathbb{Z})$ and

$$T_1 : (I, \theta) \mapsto (I, \theta + h(I)).$$

In this case, we choose the change of coordinates

$$\phi : (I, \theta) \mapsto (I + \epsilon \cos \theta, \theta).$$

And then we define $T_2 = \phi \circ T_1 \circ \phi^{-1}$. Now the above argument works well.

Definition 2.10. A point x is *recurrent* for a homeomorphism f if

$$\liminf_{n \rightarrow \infty} \text{dist}(x, f^n(x)) = 0.$$

A homeomorphism or diffeomorphism is said *recurrent* if almost all points are recurrent.

Theorem 2.11. *Let $T \in \text{Diff}_\omega^r(N)$ be a recurrent diffeomorphism. Then for every $\epsilon > 0$,*

- (1) *there exist $T_1, T_2 \in B_\epsilon(T) \subset \text{Diff}_\omega^r(N)$ such that $\mathcal{G}(T, T_1, T_2)$ is transitive,*
- (2) *for any open ball $V \subset N$ and any bounded domain $N_c \subset N$, there exist $k \in \mathbb{N}$ and $T_1, T_2, \dots, T_k \in B_\epsilon(T) \subset \text{Diff}_\omega^r(N)$ such that $N_c \subset \text{Orbit}_{\mathcal{G}}^-(V)$, where $\mathcal{G} = \mathcal{G}(T, T_1, T_2, \dots, T_k)$.*

Proof. If $T = id$, then we choose ϕ_1 an integrable symplectic diffeomorphism on the manifold N such that almost all points are quasi periodic, and $d_{C^r}(\phi_1, id) < \frac{1}{2}\epsilon$. Proposition 2.7 implies that for any open set V there exists, ϕ_2 in $\text{Diff}_\omega^r(N)$ and ϵ -close to the identity in the C^r topology, such that, $\text{Orbit}_{\mathcal{G}_\phi}^-(V) \cap \text{Orbit}_{\mathcal{G}_\phi}^+(V)$ is (open and) dense in N , where $\mathcal{G}_\phi = \mathcal{G}(\phi_1, \phi_2)$. In other words, \mathcal{G}_ϕ is transitive. This completes the proof of (1) in the case that $T = id$.

For an arbitrary recurrent T , let \mathcal{R} be the set of recurrent points of T , which is also invariant for ϕ_1 and ϕ_2 . This set is dense. In fact, following an argument similar to the Claim in the proof of Proposition 2.7 this set has total Lebesgue measure (and also is residual).

Let V is an open set in N , and $z \in \mathcal{R} \cap \text{Orbit}_{\mathcal{G}_\phi}^-(V)$. This intersection is obviously non-empty. Then, there are $d \in \mathbb{N}$ and $\Sigma = (\sigma_1, \dots, \sigma_d)$, $\sigma_i = 1, 2$, such that

$$z \in (\phi_\Sigma)^{-1}(V).$$

Moreover, for any $i = 1, 2, \dots, d$, and any $l_j \in \mathbb{Z}$, $j = 1, 2, \dots, i$,

$$\tilde{z}_i := (T^{l_i} \circ \phi_{\sigma_i}) \circ \dots \circ (T^{l_1} \circ \phi_{\sigma_1})(z) \in \mathcal{R}.$$

So, using recurrency, for some (large) $l_j \in \mathbb{N}$, the orbit $(\tilde{z}_i)_i$ shadows $(z_i)_i$, where $z_i = \phi_{\sigma_i} \circ \dots \circ \phi_{\sigma_1}(z)$. This shows that for some $l_j \in \mathbb{N}$, the point \tilde{z}_d belongs to V . But $(\tilde{z}_i)_i$ is an orbit of z under the iterated function system of

$$\mathcal{G}_2 = \mathcal{G}(T, T \circ \phi_1, T \circ \phi_2).$$

In other words, $\tilde{z}_d \in V \cap \text{Orbit}_{\mathcal{G}_2}^+(z)$. Recall that, $\mathcal{R} \cap \text{Orbit}_{\mathcal{G}_2}^-(V)$ is dense in N . So, the \mathcal{G}_2 -orbit of any point in a dense set, intersects V . The same is true for backward \mathcal{G}_2 -orbits. Thus, $\text{Orbit}_{\mathcal{G}_2}^\pm(V)$ is (open and) dense in N , and \mathcal{G}_2 is transitive. This completes the proof of (1).

Given $N_c \subset\subset N$ bounded, and $V \subset N$ open, we let $X = \overline{B_1(N_c)} \setminus \text{Orbit}_{\mathcal{G}_2}^-(V)$. X is a compact set with empty interior. So for any $x \in X$ there exists, h_x in $\text{Diff}_\omega^r(N)$ and ϵ -close to the identity in the C^r topology, such that $h_x^{-1}(x) \in V^- := \text{Orbit}_{\mathcal{G}_2}^-(V)$. Since V^- is open, there is a neighborhood U_x of x such that $h_x^{-1}(U_x) \subset V^-$. The family $\{U_x\}$ is open cover of the compact set X . So there exist $k \in \mathbb{N}, x_1, x_2, \dots, x_l \in X$ and $h_{x_1}, h_{x_2}, \dots, h_{x_k} \in B_\epsilon(id) \subset \text{Diff}_\omega^r(N)$ such that

$$X \cap h_{x_1}^{-1}(X) \cap \dots \cap h_{x_k}^{-1}(X) = \emptyset.$$

Thus

$$T^{-1}(X) \cap (h_{x_1} \circ T)^{-1}(X) \cap \dots \cap (h_{x_k} \circ T)^{-1}(X) = \emptyset.$$

Therefore,

$$N_c \subset\subset T^{-1}(V^-) \cap (h_{x_1} \circ T)^{-1}(V^-) \cap \dots \cap (h_{x_k} \circ T)^{-1}(V^-).$$

If we define $\mathcal{G} := \mathcal{G}(T, T \circ \phi_1, T \circ \phi_2, h_{x_1} \circ T, \dots, h_{x_k} \circ T)$, then we have

$$N_c \subset\subset \text{Orbit}_{\mathcal{G}}^-(V).$$

□

Remark 2.12. If N is compact, by the Poincaré recurrence theorem, T is recurrent. For non-compact manifold N we know that almost all points are either recurrent or converge to infinity. Moreover, in the interior of the non-wandering set of T , generic points (in a residual set) are recurrent. So, when the non-wandering set has (large) non-empty interior, as the same as above, there is an iterated function system of its nearby systems exhibiting transitivity in the interior of the non-wandering set. See also section 5.1.

3. SYMPLECTIC BLENDER

Definition, existence and properties of symplectic double blender are discussed in this section.

Bonatti and Díaz in [BD] introduce blenders, geometric models for certain hyperbolic sets originating in the unfolding of heterodimensional cycles, that play an important role as a mechanism for creation of cycles, and semi-local source of transitivity. Although their methods may be modified for conservative case, the symplectic case is more delicate.

In [BD] a *cs*-blender, roughly speaking, is a hyperbolic (locally maximal) invariant set with a splitting of the form $E^{ss} \oplus E^u \oplus E^{uu}$, $\dim E^u = 1$, such that a convenient projection of its stable set has larger topological dimension than the stable set itself. This phenomenon is robust in the C^1 topology. Similarly, one may define *cu*-blender.

Their constructions are essentially 3-dimensional, i.e., the central bundle is *one-dimensional*. Here we call the bundle E^u the central bundle. On the other hand, to apply this local tool for systems with higher dimensional central bundles they use a chain of blenders with one-dimensional central bundles and different indices (i.e. dimension of the stable bundle) connected to each other. This allows them to use such blenders in more situations. This is of course impossible in the symplectic case, since all eigenvalues are pairwise conjugate and so all hyperbolic periodic points have the same index. *So in the symplectic case we would involve the higher central dimensions in the creation of blender.* We construct a new class of such blenders in the symplectic (or Hamiltonian) systems that work like a chain of *cs*-blenders and a chain of *cu*-blenders simultaneously.

In section 3.1, regardless of the symplectic case, we study blenders with higher central dimensions when the central bundle is uniformly unstable (stable, respectively) and we construct a *cs*-blender (*cu*-blender, respectively). In section 3.2, we consider the case that the central bundle splits into two stable and unstable subbundles, that is, the maximal invariant set is hyperbolic of the form $E^{ss} \oplus E^s \oplus E^u \oplus E^{uu}$, and we create a blender which exhibits the features of both *cu*- and *cs*- blenders. We call it *double-blender*. Note that this case is very compatible with the symplectic case where the eigenvalues of periodic points are pairwise conjugate. In section 3.3, we study the symplectic case, and we introduce the symplectic version of the above phenomenon, which we call *symplectic blender*.

In order to give a more clear picture of the dynamics of the above phenomena we start by a simple affine model for each one and then we relax the construction to the more flexible versions, robust under C^1 small perturbations, which is the subject of section 3.4. In fact, we may also define blenders in another way which takes in to account their properties, rather than their construction (see also [BDV, chapter 6]). We continue using the above informal definitions until subsection 3.4, where the formal definition of blender is presented.

Throughout this section we consider the diffeomorphism f of \mathbb{R}^2 which is the Smale horseshoe on $U := [0, 1]^2$ and is of the form that follows.

The vertical sub-rectangles $X_1 = I_1 \times [0, 1]$ and $X_2 = I_2 \times [0, 1]$ are connected components of $f(U) \cap U$ and also the horizontal sub-rectangles $Y_1 = f^{-1}(X_1)$ and $Y_2 = f^{-1}(X_2)$ are connected components of $f^{-1}(U) \cap U$. The restrictions of f to Y_1 and to Y_2 are affine maps with linear part

$$\begin{pmatrix} \pm\frac{1}{4} & 0 \\ 0 & \pm 4 \end{pmatrix}.$$

From now on we suppose $x \in E^{ss}$, $y \in E^{uu}$, associated to f and we denote by (x_0, y_0) the unique fixed point of f in X_1 .

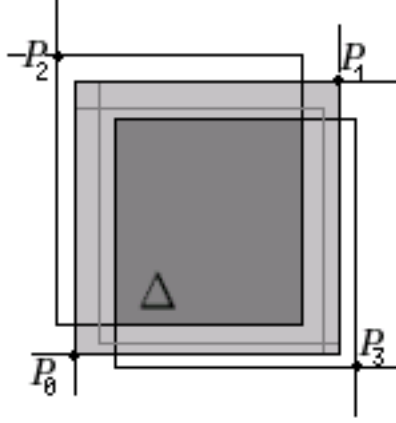


FIGURE 2. IFS of expanding maps

3.1. cs -blender with higher dimensional unstable central bundle. The following proposition about the iterated function system of expanding maps is a special case of Proposition 2.2.

Proposition 3.1. *For $i = 0, 1, 2, 3$, let $g_i(x, y) := (a_i x + b_i, c_i y + d_i)$ where $1 < a_i = c_i = \frac{16}{15} < 2$ and b_i, d_i 's are such that the fixed points of g_0, \dots, g_3 are respectively, $P_0 = (0, 0), P_1 = (1, 1), P_2 = (-0.1, 1.1), P_3 = (1.1, 0.1)$. See Figure 1. Given any open rectangle $\Sigma \in [0, 1]^2$ there is $g_\sigma \in \mathcal{G}(g_1, g_2, g_3, g_4)$ such that $(0, 0) \in g_\sigma(\Sigma)$. This property persists for all (uniformly) expanding maps \tilde{g}_i close to g_i if their expansion bounds (i.e. the contraction bounds of \tilde{g}_i^{-1}) are also close to those of g_i 's.*

Now, consider the diffeomorphism F of \mathbb{R}^4 such that in $B := [-1, 1]^2 \times [0, 1]^2$ is of the form:

$$F(p, q; x, y) := (g_i(p, q); f(x, y)), \text{ if } (x, y) \in B_i \text{ and } (p, q) \in [-1, 1]^2,$$

where $B_1 = X_1 \cap Y_1, B_2 = X_2 \cap Y_2, B_3 = X_1 \cap Y_2, B_4 = X_2 \cap Y_1$, and g_i 's are the expanding maps taken in Proposition 3.1. Observe that $F(B) \cap B$ contains the four boxes $[-1, 1] \times [-1, 1] \times X_1, [-1, \frac{3}{4}] \times [-1, 1] \times X_1, [-1, 1] \times [-1, \frac{3}{4}] \times X_2$ and $[-1, \frac{3}{4}] \times [-1, \frac{3}{4}] \times X_2$ and that $Q = (0, 0, x_0, y_0)$ is the (unique) hyperbolic fixed saddle of F of index 3. Let $W_{loc}^s(Q) = \{0\} \times \{0\} \times [0, 1] \times \{y_0\}$ be the connected component of $W^s(Q) \cap B$ that contains Q .

Definition 3.2. A *vertical strip with respect to Q* , or simply *vertical strip*, is a rectangle $\Delta = \bar{\Sigma} \times \{x\} \times [0, 1]$, where $x \in [0, 1]$ and $\bar{\Sigma}$ is a closed rectangle (with non-empty interior) in $[0, 1]^2$.

The next proposition gives the main geometric property of cs -blender.

Proposition 3.3. *Every vertical strip Δ with respect to Q intersects $W^s(Q)$.*

Proof. This proposition may be reduced to the transitivity of the iterated function system $\mathcal{G}(g_0, g_1, g_2, g_3)$. Any vertical strip intersects all B_i 's, and the map F restricted to each of B_i is equal to $g_i \times f$. The image of any vertical strip Δ contains a union of four vertical strips Δ_j each of which intersects all B_i 's. So the \mathcal{G} -orbit of $(0, 0)$ corresponds to some points in $W^s(Q)$, and is the same as the projection of $W^s(Q)$ to the central direction along the $E^s \oplus E^{uu}$. The Proposition 3.1 shows that the orbit of $(0, 0)$ is dense in $[0, 1]^2$. This means that the projection of $W^s(Q)$ to the central direction along the $E^s \oplus E^{uu}$ is dense in $[0, 1]^2$. So $W^s(Q)$ intersects every vertical strip Δ . \square

The following is a direct consequence of the above proposition:

Proposition 3.4. *Suppose that there is a hyperbolic periodic point P of F of index 1 whose one-dimensional unstable manifold crosses B along a vertical segment $\{p\} \times \{q\} \times \{x\} \times [0, 1]$ on the north-east of $W_{loc}^s(Q)$ (i.e., $p, q \in (0, 1)$). Then $W^s(P) \subset \overline{W^s(Q)}$.*

Thus the one-dimensional stable manifold of Q looks like a 3-dimensional manifold, as its closure contains the 3-dimensional manifold $W^s(P)$.

3.2. Double-blender: affine model. In the 3-dimensional *cs*-blenders, if one projects the cube and its pre-image along of stable direction a figure like Smale horseshoe appears but two right and left rectangles overlap, while in the projections along the weak unstable direction do not overlap. Having this in mind, consider a 4-dimensional horseshoe with the splitting of the form $E^{ss} \oplus E^s \oplus E^u \oplus E^{uu}$ such that the projection along E^{ss} give a figure like 3-dimensional horseshoe but its two wings overlapping and the same feature for the inverse map and E^{uu} . This led us to the following affine model.

Consider the following maps on \mathbb{R} , which are maps in central bundle

$$\begin{aligned} \psi_1(p) &:= \frac{4}{5}p & \varphi_1(q) &:= \frac{5}{4}q \\ \psi_2(p) &:= \frac{4}{5}p + \frac{2}{5} & \varphi_2(q) &:= \frac{5}{4}q - \frac{1}{2} \end{aligned}$$

Note that $\varphi_i := \psi_i^{-1}$, and $(p, q) \in E^c$.

Let F be a diffeomorphism on \mathbb{R}^4 such that in $B := [-1, 1]^2 \times [0, 1]^2$ is of the following form:

$$F(p, q; x, y) := (\psi_i(p), \varphi_j(q); f(x, y)), \text{ if } (x, y) \in X_i \cap Y_j \text{ and } (p, q) \in [-1, 1]^2.$$

The dynamics of F inside the box B is hyperbolic, $E^{ss} \oplus E^s \oplus E^u \oplus E^{uu}$. The maximal invariant set in B , i.e., $\Lambda = \bigcap F^n(B)$ is a *cs*-blender, if we consider $E^{ss} \oplus E^s$ as stable direction, E^u as central and E^{uu} as strong unstable directions. Similarly Λ is a *cu*-blender if we consider E^{ss} as strong stable direction, E^s as central and $E^u \oplus E^{uu}$ as unstable directions. Therefore Λ is a double-blender. Note that using the results of the previous subsection, we may consider multi-dimensional central bundle, i.e., both of weak stable and unstable bundles of arbitrary dimension ≥ 1 .

3.3. Symplectic blender: affine model. We consider the following maps on \mathbb{R} , which are maps in central bundle

$$\psi(p) := \lambda p, \quad \varphi(q) := \frac{1}{\lambda}q,$$

where $1 - \lambda > 0$ is small enough.

The symplectic diffeomorphism F on \mathbb{R}^4 is defined as the product of the above maps:

$$F(p, q; x, y) := (\psi(p), \varphi(q); f(x, y)).$$

We shall perturb F by the time-one map of the flow of a Hamiltonian vector field such that the resulting map is a diffeomorphism with the properties of the model in the previous subsection.

Let α and β be smooth bump functions on \mathbb{R} such that for all $t \in \mathbb{R}$, $0 \leq \alpha(t) \leq 1$, and

$$\alpha(t) = 1 \text{ if } t \in I_1 \cup I_2 \text{ and } \alpha(t) = 0 \text{ if } t \notin J_1 \cup J_2,$$

where J_1 and J_2 are disjoint neighborhoods of I_1 and I_2 , respectively.

Similarly, for all $t \in \mathbb{R}$, $0 \leq \beta(t) \leq 1$, and $\beta(t) = 1$ if $t \in [-1, 1]$ and $\beta(t) = 0$ if $t \notin [-\frac{3}{2}, \frac{3}{2}]$.

We define $F_\varepsilon := \Phi_\varepsilon \circ F$, where Φ_ε is the time-one map of the flow associated to the Hamiltonian

$$H_\varepsilon := \varepsilon \alpha(x) \alpha(y) \beta(p) \beta(q) ((i-1)p - (j-1)q), \text{ if } x \in J_i \text{ and } y \in J_j, \ i, j \in \{1, 2\}.$$

The support of H_ε is the disjoint union of four boxes of dimension 4. Then we have the following

Theorem 3.5. *Let F_ε be the Hamiltonian diffeomorphism as defined above. If $\varepsilon > 1 - \lambda > 0$ are small enough, then F_ε has the form of the affine model of double blender and so the maximal invariant set for F_ε inside the $B := [-1, 1]^2 \times [0, 1]^2$ is a symplectic double-blender.*

3.4. Robustness: relaxing the constructions. We use cone field structures to make sure that the feature that we explained in the affine cases remains for nearby systems.

In the above affine models we have four cone fields $\mathcal{C}^{ss}, \mathcal{C}^s, \mathcal{C}^u, \mathcal{C}^{uu}$, invariant under the derivative DF . These cone fields will define invariant foliations in the box B . Of course, these foliations in the affine models coincide with the vertical and horizontal segments and strips. We may repeat all the above process by replacing these vertical and horizontal segments with the almost vertical/horizontal strips/segments, and reducing the iterated function system in central bundles.

Now we prove the robustness of the main features of blenders. We know that these cone fields remain invariant for any C^1 nearby system G . So for nearby systems we will have almost vertical/horizontal strips/segments. These almost vertical/horizontal segments allow us to introduce the corresponding iterated function systems of expanding/contracting maps in central bundles. The new iterated function systems are close to the initial ones, and so thanks to the results of section 2.1, we have robustness of transitivity property of iterated function system of such expanding maps. Therefore the dynamical feature of blender appears also for any G in a C^1 neighborhood of F .

Let us state here the formal definition of symplectic and double blenders.

Definition 3.6. Let \mathcal{B} is an open embedded ball on which there are four cone fields \mathcal{C}^{ss} , \mathcal{C}^s , \mathcal{C}^u , \mathcal{C}^{uu} , invariant under the derivative DF . A vertical strip (or u -strip) is an embedded $(u + uu)$ -dimensional disk in \mathcal{B} , which contains the uu -leaves of each its points. Similarly we define horizontal strip (or s -strip).

Definition 3.7. The pair (P, \mathcal{B}) is a double blender for the diffeomorphism F if satisfies the following features:

- B-1** P is a hyperbolic saddle periodic point of F .
- B-2** \mathcal{B} is an open embedded ball on which there are four cone fields \mathcal{C}^{ss} , \mathcal{C}^s , \mathcal{C}^u , \mathcal{C}^{uu} , invariant under the derivative DF .
- B-3** For any G sufficiently close to F in the C^1 topology, the stable manifold of P_G intersects any u -strip in \mathcal{B} , and the unstable manifold of P_G intersects any s -strip in \mathcal{B} . Here P_G is the continuation of P .

Definition 3.8. A *symplectic blender* is a double blender for a symplectic (or Hamiltonian) diffeomorphism.

We summarize this section in the following theorem (see also subsection 4.2).

Theorem 3.9. *Let M and N be two symplectic manifolds (not necessarily compact). Let $r = 1, 2, \dots, \infty$. Suppose that $f_1 \in \text{Diff}_\omega^r(M)$ has a hyperbolic periodic point p_1 with transversal homoclinic intersections and $f_2 \in \text{Diff}_\omega^r(N)$ has a hyperbolic periodic point p_2 such that its hyperbolicity is weak enough. Then, there is a C^r -arc $\{F_\mu\}_{\mu \in [0,1]}$ of C^r symplectic diffeomorphism on $M \times N$ such that,*

- (1) $F_0 = f_1 \times f_2$.
- (2) *There is a neighborhood \mathcal{V} of $\{F_\mu\}_{\mu \in (0,1]}$ in $\text{Diff}^1(M \times N)$ such for any $G \in \mathcal{V}$, the pair (P_G, \mathcal{B}) is a double blender, where P_G is the continuation of hyperbolic $P_0 = (p_1, p_2)$ and \mathcal{B} is an embedded open disk in $M \times N$.*

Note that, this is not the only way to create blenders. In fact, one may create them by a perturbation of a system exhibiting a quasi transversal homoclinic or heteroclinic intersection, by the similar ways, but with more technical details (see [BD] and [N]). Here we only considered the case where the unperturbed system is a product of two systems, one of them with a transversal homoclinic intersection and the other one with a hyperbolic saddle with weak hyperbolicity. Because it is sufficient for the proof of our main theorems.

4. PROOF OF THEOREM A

In this section we give the proof of Theorem A. The proof is constructive. It is divided in five parts. First we introduce the perturbations in subsection 4.1. Then in the four sequel subsections we prove that the perturbed systems satisfy the desired properties. In subsection 4.2 we prove the existence of a symplectic blender. Then in subsection 4.3 we use the results of iterated function systems of recurrent diffeomorphisms (section 2.2) to prove that the strong stable and unstable manifolds of almost all points in the central manifold intersects the constructed blender. In subsection 4.4 we show that this property is robust

under small perturbation, and here we use the dynamical properties of the blender. Then we complete the proof in subsection 4.5 by proving that there is a hyperbolic periodic point such that its stable and unstable manifolds are both dense in the set $\Lambda \times N$ in a robust way, concluding the robustly topological mixing.

4.1. The perturbations. Let $r = 1, 2, \dots, \infty$, $f_1 \in \text{Diff}_\omega^r(M)$ and $f_2 \in \text{Diff}_\omega^r(N)$ as in the Theorem A. Let $U \subset M$ be a small simply connected open set, such that for some $k \in \mathbb{N}$, $\Lambda := \bigcap_{n \in \mathbb{Z}} f_1^{kn}(U)$ is an invariant hyperbolic compact set for f_1^k . By choosing U suitable and k large enough, we may suppose that $f_1^k|_\Lambda$ is conjugate to a shift of d symbols $\{1, \dots, d\}$. The required number d of symbols in the proof depends to $\dim N$ and $f_1 \times f_2$. By taking f_1^k , and f_2^k instead of f_1 and f_2 , we may assume that Λ is f_1 -invariant and $\Lambda := \bigcap_{n \in \mathbb{Z}} f_1^n(U)$ is conjugate to a shift of symbols $\{1, \dots, d\}$, where d is sufficiently large. We identify the set identify Λ with that set $\{1, \dots, d\}^{\mathbb{Z}}$.

In order to define our local perturbation we first consider open sets \mathcal{A}_{ij} and pairwise disjoint open sets $\tilde{\mathcal{A}}_{ij}$ in the way that

$$\mathcal{A}_{ij} \cap \Lambda = \{(x_i)_{i \in \mathbb{Z}} \mid x_0 = i, x_1 = j\} \text{ and } \mathcal{A}_{ij} \subset \tilde{\mathcal{A}}_{ij}.$$

In a similar way we define $\mathcal{A}_{\mathcal{I}}$ and $\tilde{\mathcal{A}}_{\mathcal{I}}$ as neighborhoods of $\mathcal{I}^{\mathbb{Z}}$, where $\mathcal{I} \subset \{1, 2, \dots, d\}$. In addition we set $\mathcal{A}_{i,*} = \cup_j \mathcal{A}_{ij}$.

By the assumptions, f_2 has a hyperbolic periodic point p_2 , with sufficiently weak hyperbolicity. Suppose that $T_{p_2}N = E_{p_2}^s \oplus E_{p_2}^u$. We consider $p_1 \in \Lambda$ a hyperbolic fixed point for f_1 . Let $P_0 = (p_1, p_2)$.

Let ϕ^s be the linear contracting map given by $Df_2|_{E_{p_2}^s}$. Proposition 2.2 gives a number l as a required number of elements of IFS to obtain transitivity in some small disk. This number only depends on the dimension of N and the contraction bound of ϕ^s .

We fix the number $d = 2l + 4$, and its related k and U as above. And let $\mathcal{I} = \{1, 2, \dots, d - 4\}$, $\mathcal{J}_1 = \{1, d - 3, d - 2\}$ and $\mathcal{J}_2 = \{1, d - 1, d\}$.

Let $\delta > 0$ is small enough and $\varepsilon : [0, 1] \rightarrow [0, \delta]^2$ is a smooth simple curve such that $\varepsilon(0) = (0, 0)$.

Let $F_0 = f_1 \times f_2$.

For $\mu \in (0, 1]$, F_μ is defined as the following. Let $(\varepsilon_1, \varepsilon_2) := \varepsilon(\mu)$, and consider Hamiltonians $\varepsilon_1 \tilde{h}_1$ and $\varepsilon_2 \tilde{h}_2$ supported on pairwise disjoint sets as follows. Let ψ_{ε_1} and ψ_{ε_2} , respectively their associated diffeomorphism. Now let $\Psi_\mu = \psi_{\varepsilon_2} \circ \psi_{\varepsilon_1}$. Since the support of ψ_{ε_i} 's are pairwise disjoint, they may commute with each others.

We define

$$F_\mu := \Psi_\mu \circ F_0.$$

The aim of this section is to show that F_μ has the properties claimed in Theorem A. One may describe briefly the perturbation Hamiltonians as the following:

- (1) Let Hamiltonian $\tilde{h}_1 : M \times N \rightarrow \mathbb{R}$ supported on $(\tilde{\mathcal{A}}_{\mathcal{I}} \setminus \tilde{\mathcal{A}}_{1,*}) \times N$, such that $\psi_{\varepsilon_1} \circ F_0$ has a symplectic blender. The detailed definition of \tilde{h}_1 is presented in subsection 4.2 and there we show the existence of a blender (P_0, \mathcal{B}) .

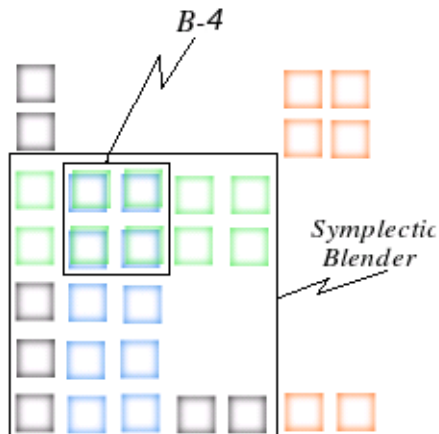


FIGURE 3. Support of local perturbations projected to Λ . The blocks with the same color are in the support of the same Hamiltonians. No perturbation is made in the black or white parts

- (2) The Hamiltonian $\tilde{h}_2 : M \times N \rightarrow \mathbb{R}$ is supported on $(\tilde{\mathcal{A}}_{\mathcal{I}_1} \setminus \tilde{\mathcal{A}}_{1*}) \times N$ and its restriction to $\Lambda \times N$ is locally constant with respect to variables in M . More precisely,

$$\begin{aligned} \psi_{\varepsilon_2} \circ F_0(x, q) &= (f_1(x), \phi_1 \circ f_2(q)), & \text{if } x \in \mathcal{A}_{d-3,*}, \\ \psi_{\varepsilon_2} \circ F_0(x, q) &= (f_1(x), \phi_2 \circ f_2(q)), & \text{if } x \in \mathcal{A}_{d-2,*}, \end{aligned}$$

where ϕ_1 and ϕ_2 are obtained in the proof of Theorem 2.11 (1). In subsection 4.3 using the symbolic dynamics and the result of section 2.2 we show that for almost every z in the fibers $\{x\} \times N$,

$$W^{ss(u)}(z; F_\mu) \cap \mathcal{B} \neq \emptyset.$$

4.2. Constructing symplectic blender. Here we show that how to define the perturbation $\tilde{h}_1 : M \times N \rightarrow \mathbb{R}$ in order to create a symplectic blender $\mathcal{B} = \mathcal{A}_{\mathcal{I}} \times B$. In fact, based on the affine models of section 3, we also sketch the proof of Theorem 3.9. Notice that Theorem A satisfies the hypotheses of Theorem 3.9.

Let $* = s, u$ and ϕ^* be the linear contracting map given by $Df_2|_{E_{p_2}^*}$. Proposition 2.2 gives the vectors $c_1^*, c_2^*, \dots, c_l^* \in E_{p_2}^*$, $|c_i^*| < \varepsilon_1$, such that the corresponding IFS is transitive in some small disk D^* . We let $B \subset D^s \times D^u$, where the product is taken in a local chart on N .

Then we define Hamiltonian \tilde{h}_1 in order to realize above IFS's. Recall that ψ_{ε_1} is the time one map of $\varepsilon_1 \tilde{h}_1$.

For $i = 1, 3, \dots, l$,

$$F_\mu(x, q) = \psi_{\varepsilon_1} \circ F_0(x, q) = (f_1(x), \phi_i^s \circ f_2(q)), \text{ if } x \in \mathcal{A}_{i+1, \mathcal{I}}, q \in N.$$

And for $j = l + 1, \dots, 2l$,

$$\psi_{\varepsilon_1} \circ F_0(x, q) = (f_1(x), f_2(q)), \text{ if } x \in \mathcal{A}_{\mathcal{I}, j+1}, q \in N.$$

Then, similar to the affine models, given a u -strip $\Delta = \gamma^{uu} \times \mathcal{D} \times \{(q, p)\}^s$, then $F_\mu(\Delta) \supset \cup_j \Delta_j$, where $\Delta_j = \gamma_i^{uu} \times \phi_j^u(\mathcal{D}) \times \{(q_i, p_i)\}^s$. By induction we have,

$$F_\mu^k(\Delta) \supset \bigcup_{\Sigma \in \mathcal{I}^k} \gamma_i^{uu} \times \phi_\Sigma^u(\mathcal{D}) \times \{(q_i, p_i)\}^s.$$

We project this set along the strong unstable foliation and also along the stable foliation. Then the fixed point of ϕ_1^u corresponds to the local stable manifold of P_0 . The iterations of the fixed point of ϕ_1^u under the IFS of ϕ_j^u 's, also correspond to some parts of the global stable manifold of P_0 . The results of section 2.1 shows that the IFS of ϕ_j^u 's is transitive. Therefore, the projection of $W^s(P_0)$ along the strong unstable foliation in \mathcal{B} is dense on D^u . This implies that $W^s(P_0)$ intersects any u -strip in \mathcal{B} .

Similarly we can show that $W^u(P_0)$ intersects any s -strip in \mathcal{B} . In other words, the pair (P_0, \mathcal{B}) is a symplectic blender for F_μ . \square

In addition, we have the following proposition which is a consequence of the first part of Proposition 2.5.

Proposition 4.1. *Under the hypotheses of Theorem 3.9 it is possible to create symplectic blender with the following additional property:*

B-4 *Any forward and backward iteration of a uu -segment (ss -segment) in \mathcal{B} , intersects \mathcal{B} in a uu -segment (ss -segment, respectively).*

Consequently, the set of all points whose strong (un)stable manifolds intersect \mathcal{B} , is an invariant set.

4.3. Almost minimality of stable and unstable foliations. In this subsection that the strong stable and unstable manifolds of almost all points in the central manifold $N_0 := \{p_1\} \times N$ intersects the constructed blender. We refer to this property by the almost minimality of the strong stable and unstable foliations.

Proposition 4.2. *Let $\underline{p} \in \Lambda$ be a fixed point of f_1 which is not in the support of our perturbations. Then there is an open and dense set $\mathcal{R} \subset N$ with total Lebesgue measure such that for every $q \in \mathcal{R}$ and for any $n \in \mathbb{Z}$, $W^{uu}(F_\mu^n(\underline{p}, q)) \cap \mathcal{B} \neq \emptyset$.*

Proof. The key elements in the proof are the symbolic dynamics, the results of section 2.2 and the Proposition 4.1.

We consider restriction of f_1 to Λ . For any $p = (p_i)_{i \in \mathbb{Z}} \in \Lambda = \{1, 2, \dots, d\}^{\mathbb{Z}}$, the local and global unstable manifolds of p for f are

$$W_{loc}^u(p ; f|\Lambda) = \{(x_i) \mid \forall n \leq 0, x_i = p_i\}$$

$$W^u(p ; f|\Lambda) = \{(x_i) \mid \exists n_0 \in \mathbb{Z}, \forall n \leq n_0, x_i = p_i\}$$

The above remark implies that the local and global strong unstable manifolds of (p, q) for F_μ are

$$W_{loc}^{uu}(p, q; F_\mu | \Gamma) = W_{loc}^u(p; f | \Lambda) \times \{q\} = \{(x_i) \mid \forall n \leq 0, x_i = p_i\} \times \{q\},$$

$$W^{uu}(p, q; F_\mu | \Gamma) = \bigcup_{n \geq 0} F_\mu^n(W_{loc}^{uu}(F_\mu^{-n}(p, q); F_\mu | \Gamma)).$$

Let $T_1 = f_2$, $T_2 = \phi_1 \circ f_2$ and $T_3 = \phi_2 \circ f_2$, where ϕ_1 and ϕ_2 are given as in the proof of Theorem 2.11 (1).

Let $q \in \text{Rec}(f_2) \subset N$ such that there is a finite sequence $(\sigma_i)_{i=1}^n$ such that $\sigma_i \in \{1, 2, 3\}$ and

$$T_{\sigma_n} \circ T_{\sigma_{n-1}} \circ \cdots \circ T_{\sigma_1}(q) \in T_1^{-2}(B).$$

We denote the set of all such points by \mathcal{R}_1 .

Now, we consider

$$x = (x_i) = (\overbrace{\dots, p_{-2}, p_{-1}, p_0}^{W_{loc}^u(\underline{p})}; \overbrace{a_1, a_2, \dots, a_{n_0}}^{IFS}, 1, 1, \overbrace{x_{n_0+3}, \dots}^{\text{arbitrary}}),$$

where for $i = 1, 2, \dots, n_0$,

$$\begin{aligned} a_i &= 1 & \text{if } \sigma_i &= 1, \\ a_i &= d - 3 & \text{if } \sigma_i &= 2, \\ a_i &= d - 2 & \text{if } \sigma_i &= 3. \end{aligned}$$

It is clear that $x \in W^u(\underline{p}, f_1 | \Lambda)$ and so $(x, q) \in W^{uu}(p, q; F_\mu | \Gamma)$. We now take the iterations of the point (x, q) under F_μ . Since F_μ restricted to $\mathcal{A}_{a_i, \mathcal{J}_1} \times N$ is equal to $f_1 \times T_{\sigma_i}$, inductively we have:

$$(f_1^i(x), T_{\sigma_i} \circ T_{\sigma_{i-1}} \circ \cdots \circ T_{\sigma_1}(q)) = F_\mu^i(x, q) \in W^{uu}(F_\mu^i(\underline{p}, q); F_\mu).$$

In particular, for $i = n_0 + 1$, $F_\mu^{n_0+1}(x, q) \in \mathcal{B}$. So,

$$W^{uu}(F_\mu^{n_0+1}(\underline{p}, q); F_\mu) \cap \mathcal{B} \neq \emptyset.$$

Now we apply the Proposition 4.1. It implies that for all $n \in \mathbb{Z}$,

$$W^{uu}(F_\mu^n(\underline{p}, q); F_\mu) \cap \mathcal{B} \neq \emptyset.$$

Let \mathcal{R} the set all points $q \in N$ such that the above intersection holds. We proved that $\mathcal{R}_1 \subset \mathcal{R}$. The set \mathcal{R} is open, because \mathcal{B} is open and (the compact parts of) the strong stable and unstable manifolds depends continuously to the points. On the other hand, in section 2.2 it was shown that the set \mathcal{R}_1 has total Lebesgue measure. This completes the proof. \square

Remark 4.3. As a matter of fact, any skew product symplectic diffeomorphisms on a connected manifold is in fact a direct product of two symplectic diffeomorphism. Let us explain it for the Hamiltonians. Let $U \subset \mathbb{R}^{2n} \times \mathbb{R}^{2m}$, and $h : U \times \mathbb{R} \rightarrow \mathbb{R}$ be a Hamiltonian function

and f be the time-one map of its corresponding flow. If $f(x, y; p, q) = (x, y, g(x, y; p, q))$, where x_i and y_i are symplectic conjugate variables and the same for p_i and q_i , then

$$\dot{x}_i = -\frac{\partial h}{\partial y_i} = 0, \quad \dot{y}_i = \frac{\partial h}{\partial x_i} = 0, \quad \dot{p}_i = -\frac{\partial h}{\partial q_i}, \quad \dot{q}_i = \frac{\partial h}{\partial p_i}.$$

The first two equalities implies that h does not depend to x and y . So f is the product $id \times g$.

This is no longer true for disconnected invariant sets. So, we see that F_μ is a skew-product on the disconnected invariant set $\Lambda \times N$, while it could not be a skew product on $M \times N$.

4.4. Robustness of the almost minimality of foliations. The hypothesis (b) in Theorem A implies that F_0 is partially hyperbolic on $\Gamma_{F_0} := \Lambda \times N$ which is locally maximal. More precisely by the results of [HPS] we have:

- H-1** Γ_{F_0} is normally hyperbolic and F is plaque expansive (see [HPS, p.116 and Theorem 7.2]).
- H-2** There is a neighborhood $\mathcal{U} \subset \text{Diff}^1(M \times N)$ of F such that every $G \in \mathcal{U}$ has a (locally maximal) invariant Γ_G homeomorphic to $\Lambda \times N$ and is a continuation of Γ_{F_0} .
- H-3** There is a G -invariant foliation on Γ_G by manifolds diffeomorphic to N that is, the continuation of fibration defined on $\Lambda \times N$. So G induces a homeomorphism \tilde{G} on the quotient of Γ_G by the foliation. It then follows that \tilde{G} is conjugate to $f_1|_\Lambda$ (see [HPS, Theorem 7.1]).
- H-4** G restricted to Γ_G is conjugate to a skew product $G^* : (x, w) \mapsto (f_1(x), g_x(w))$ on $\Lambda \times N$, which depends continuously on G .

Given $N_c \subset\subset N$, let $\Gamma_{G, N_c} \subset \Gamma_G$ the continuation of $\Lambda \times N_c$, that is, the image of $\Lambda \times N_c$ by the homeomorphism given in **H-2** above. We let $N_0 := \{p_1\} \times N$, and \tilde{N}_0 is the continuation of N_0 for G .

In order to have all the above properties it is enough to consider the family $\{F_\mu\}$ in the set \mathcal{U} , by taking $\delta > 0$ small enough.

If $W^{uu}(p, q; F_\mu) \cap \mathcal{B} \neq \emptyset$, then there is $L > 0$ large enough, such that $W^{uu}(p, q; F_\mu) \cap \mathcal{B}$ contains a uu -segment of \mathcal{B} . \mathcal{B} is open, so there is a neighborhood $V_{(p,q)}$ of (p, q) such that for any point $z \in V_{(p,q)}$, $W^{uu}(z; F_\mu) \cap \mathcal{B}$ contains a uu -segment of \mathcal{B} .

In section 4.3 we proved that the set \mathcal{R} of points whose strong stable and unstable manifolds intersects \mathcal{B} is dense (and of total measure) in N_0 . Now we see that \mathcal{R} contains an open dense subset of N_0 .

We call $X = N \setminus \mathcal{R}$ the *exceptional set*, which is a closed set with empty interior and of zero measure.

Then, given *any* compact set $R_c \subset \mathcal{R}$, there is some large L such that for any $z \in R_c$, $W_{L_c}^{uu}(z; F_\mu) \cap \mathcal{B}$ contains a uu -segment of \mathcal{B} .

Since the compact parts of (strong) stable and unstable manifolds depends continuously to the diffeomorphism, there exists \mathcal{W}_{μ, R_c} , a neighborhood of F_μ , such that for any $G \in \mathcal{W}_{\mu, R_c}$, and any $z \in \Gamma_{G, R_c}$, $W_{L_c}^{uu}(z; G) \cap \mathcal{B}$ contains a uu -segment of \mathcal{B} (w.r.t. G).

In other words, we have robustness of the almost minimality of strong stable and unstable foliations. \square

4.5. Transitivity and Topological mixing. Recall the following two general fact on symplectic diffeomorphisms.

S-1 A normally hyperbolic invariant submanifold of symplectic diffeomorphism is a symplectic submanifold (with a canonical 2-form which is the restriction of the given symplectic form) and

S-2 The restriction of a symplectic diffeomorphism to its normally hyperbolic invariant submanifolds is preserving the restricted symplectic form.

Therefore, using **H-1 - H-4**, **S-1** and **S-2**, the hypothesis (b) in Theorem A, yield that if the neighborhood \mathcal{U} of F_0 is small enough, then for any $G \in \mathcal{U}$, $G|_{\tilde{N}_0}$ is (smoothly) conjugate to a diffeomorphism g which is C^r close to f_2 in $\text{Diff}_\omega^r(N)$ and so all points in \tilde{N}_0 are non-wandering for G . As mentioned before, the family F_μ is constructed in \mathcal{U} .

Let N_c be any open and bounded domain in N . Given $\nu > 0$, let $X_{c, \nu} = \overline{B_\nu}(N_c \cap X)$. And let $R_{c, \nu} = N_c \setminus X_{c, \nu} \subset R$.

Now for any $G \in \mathcal{W}_{\mu, R_{c, \nu}}$, we first show that,

$$\tilde{R}_{c, \nu} \subset \overline{W^s(P_G)} \cap \overline{W^u(P_G)},$$

recall that, P_G is the continuation of the hyperbolic point (p_1, p_2) .

Let Δ be an open set in Γ_G such that $\Delta \cap \tilde{R}_{c, \nu} \neq \emptyset$. Then, for any large number n_0 , there is a point $z^* \in \Delta$ such that $G^n(z^*) \in \Delta \cap \tilde{R}_{c, \nu}$ for some $n \geq n_0$.

Let $\gamma = W^{uu}(z^*; G) \cap \Delta$, then for some large $n > 0$, $G^n(\gamma)$ has diameter larger than L_c and so contains $W_{L_c}^{uu}(G^n(z^*); G)$. Since $G^n(z^*) \in R_{c, \nu}$, we conclude that $G^n(\gamma)$ contains a uu -segment in \mathcal{B} . Thus $G^n(\Delta)$ contains a u -strip in \mathcal{B} . The property **B-4** of *double-blender* implies that $W^s(P_G; G)$ intersects $G^n(\Delta)$ and so

$$W^s(P_G; G) \cap \Delta \cap \Gamma_G \neq \emptyset.$$

For any open set Δ' in Γ_G such that $\Delta' \cap \tilde{R}_{c, \nu} \neq \emptyset$, similarly we can show that some iteration of Δ' contains a s -strip in the blender \mathcal{B} , and so $W^u(z_G; G)$ intersects Δ' in Γ_G .

In other words, the closure of stable and unstable manifolds of P_G for G are both contain $\tilde{R}_{c, \nu}$, for any \tilde{N}_c and ν .

Now, **H-4** and the density of f_1 - stable and unstable manifold of p_1 in Λ implies that,

$$\Gamma_{G, R_{c, \nu}} \subset \overline{W^s(P_G; G)} \cap \overline{W^u(P_G; G)}.$$

In particular for any F_μ ,

$$\Gamma_{F_0} \subset \overline{W^s(P_0; F_\mu)} \cap \overline{W^u(P_0; F_\mu)}.$$

Whenever the stable and unstable manifolds of a periodic hyperbolic point are both dense on some set, the λ -lemma provides transitivity and topological mixing.

Thus, for any N_c and $\nu > 0$,

- i) $R_{c,\nu}$ is topological mixing for G .
- ii) $\Gamma_{G,R_{c,\nu}}$ is strictly topological mixing for G .

And in particular,

- i) N_0 is topological mixing for any F_μ
- ii) $\Gamma_{F_0} = \Lambda \times N$ is strictly topological mixing for any F_μ .

The proof of Theorem A is completed. \square

Remark 4.4. In the perturbations introduced in the proofs we could use the generating functions instead the Hamiltonians. It lets us to unify the proof of Theorem A and its variation for the Hamiltonians. The Hamiltonian version of Theorem A shall be used in the proof of Theorem B.

5. INSTABILITIES IN NEARLY INTEGRABLE SYSTEMS

5.1. Instability versus recurrency. The following basic lemma shall be used in the proof of Theorem B.

Lemma 5.1. *There is a residual subset \mathcal{R} of $\text{int}(\Omega(f))$ such that any point in \mathcal{R} is a (positively and negatively) recurrent point.*

Proof. Let $\mathfrak{B} = \{U_i : i \in \mathbb{N}\}$ be a countable topological base in $\text{int}(\Omega(f))$. For every $i \in \mathbb{N}$, there is $n_i \in \mathbb{N}$ such that $f^{n_i}(U_i) \cap U_i = \emptyset$. Let $x_i \in V_i := f^{-n_i}(U_i) \cap U_i$. Since $\mathfrak{B}_k = \{U_i : i \geq k\}$ is also a topological base, the set $\{x_i\}_{i=k}^\infty$ is dense in $\text{int}(\Omega(f))$. So $\bigcup_{i=k}^\infty V_i$ is open and dense subset of $\text{int}(\Omega(f))$. Then, $\mathcal{R}^+ := \bigcap_{k=1}^\infty \bigcup_{i=k}^\infty V_i$ is residual. We claim that $\mathcal{R}^+ \subset \text{Rec}^+(f)$. Since \mathfrak{B} is a topological base, for any $\epsilon > 0$ there is a k_ϵ such that, if $i > k$ then $\text{diam}(U_i) < \epsilon$. Now, for any $x \in \mathcal{R}^+$ and for $i > k_\epsilon$, $x \in V_i$. So there is $n_i \in \mathbb{N}$ such that, $d(f^{n_i}(x), x) < \text{diam}(U_i) < \epsilon$. Since $\epsilon > 0$ was arbitrary, this implies that x is a positively recurrent point. We could do it for f^{-1} to obtain a residual subset \mathcal{R}^- of negatively recurrent points. Any point in the residual set $\mathcal{R} = \mathcal{R}^- \cap \mathcal{R}^+$ is positively and negatively recurrent. \square

We say that a point x converges to infinity if for any bounded set U there is a number n_0 such that for any $n > n_0$, $f_n(x) \notin U$.

The following lemma is a corollary of a variation of Poincaré recurrence theorem for unbounded measures (due to Hopf) which yields that for conservative homeomorphisms on the manifolds with unbounded measure, almost all points either are recurrent or converge to infinity.

Lemma 5.2. *Let f be a conservative homeomorphism on a non-compact manifold with unbounded Lebesgue measure. Then Lebesgue almost all points in $\Omega(f)^{\mathbb{G}}$ converge to infinity, in the future and also past iterations.*

As a matter of fact, similar results may be stated on each fiber of the invariant sets such as Γ_G in Theorem A. That is, “almost all points” means “almost all points with respect to the Lebesgue measure on each fiber”, also residual and open sets in the restricted topology in fibers.

Now, suppose that the assumption (b) in Theorem A fails. For instance, suppose that $\Omega(f_2) = N$ but for some \tilde{f} close to f_2 , $\Omega(\tilde{f}_2) \subsetneq N$. In this case, the same results on transitivity and topologically mixing hold on the interior of non-wandering set. Indeed, we used the hypothesis $\Omega(\tilde{f}_2) = N$, only in the last step of the proof to show that some of the arbitrary large iterations of generic points in \tilde{N}_c remain in some desired compact set $\tilde{N}_{c'}$. This follow from the Lemma 5.1.

In contrast, let $U_c \subset\subset M \times N$ be an open set and $\Gamma_{G,c} = U_c \cap \Gamma_G$ such that $\Gamma_{G,c} \not\subseteq \Omega(G)$. Then, almost all points in some open subset of U_c converge to infinity in the past and in the future. Moreover, there is an open set $V_c \subset U_c$ such that

- (1) $V_c \cap \Gamma_{G,c} \neq \emptyset$.
- (2) Almost all (w.r.t the restricted Lebesgue measure) points in $V_c \cap N_c^x$ goes to infinity both in the past and the future, where N_c^x is the intersection of some fiber N^x with U_c . In this case, we have a sense of instability, that is, orbits which come from infinity and stay for some iterations near a transitive invariant set and then go back to infinity.

These facts together with Theorem A leads to a dichotomy in this context:

- existence of large robustly transitive sets or
- existence of wandering orbits converging to infinity.

5.2. Proofs of Theorem B and Corollary C. In this section we complete the proofs of Theorem B and Corollary C. First we recall the following result of Zenhder [Z] and Newhouse [Ne].

Theorem 5.3 (Zenhder-Newhouse). *There is a residual set $\mathbf{R} \subset \text{Diff}_\omega^r(M)$, $1 \geq r \geq \infty$, such that if $f \in \mathbf{R}$, then any quasi-elliptic periodic point of f is a limit of transversal homoclinic points of f .*

A periodic point p of f of period n is called *quasi-elliptic* if $T_p f^n$ has a non-real eigenvalue of norm one, and all eigenvalues of norm one are non-real. Notice that if f is Anosov, then robustly there is no quasi-elliptic periodic point. Indeed, C^r generically every periodic point is either hyperbolic or quasi-elliptic (cf. [Ne]).

Proof of Theorem B. Let f_1 and f_2 be the time one map of the flow generated by the Hamiltonians h_1 and h_2 respectively. Since f_2 is integrable, it is dominated by $f_1|_\Lambda$, and moreover a generic small perturbation of f_2 has some hyperbolic periodic point with arbitrary weak hyperbolicity. Let \hat{f}_2 be a small perturbation of f_2 such that its non-wandering set is the whole manifold N and has a hyperbolic periodic point (with weak hyperbolicity). If \hat{f}_2 is enough close to f_2 then it is also dominated by $f_1|_\Lambda$. Now we may repeat the prove of Theorem A for $F_0 = f_1 \times \hat{f}_2$. Note that all the perturbations

had been done by some Hamiltonians. Then we obtain a family of Hamiltonians H_μ for each of which the time one map F_μ of the corresponding flow satisfies the properties (1) and (2) in Theorem A. Fix $N_c \subset\subset N$ and $\nu > 0$. As in the theorem A, there exists a neighborhood $\mathcal{W}_{c,\nu}$ of the constructed family $\{H_\mu : \mu > 0\}$ such that if $H \in \mathcal{W}_{c,\nu}$ and G is its corresponding time one map, then one of the following possibilities hold, either $\tilde{R}_c \subset \Omega(G)$ or not. Here $R_{c,\nu}$ is a compact set no exceptional point (see the definition in subsection 4.5) and $\tilde{R}_{c,\nu}$ is its continuation w.r.t. G . If $\tilde{R}_c \subset \Omega(G)$ then we may follow the final part of the proof of Theorem A to show that $\tilde{R}_{c,\nu}$ is topologically mixing. Otherwise, if $\tilde{R}_c \not\subset \Omega(G)$ then we use the results of subsection 5.1. In this case, for a residual subset of $\tilde{R}_c \cap \Omega(f)^\circ$ all points converge to infinity, both in past and in the future. This completes the proof. \square

Proof of Corollary C. Let $M = \mathbb{R}^n \times \mathbb{T}^n$ and $N = \mathbb{R} \times \mathbb{T}^1$. First we perturb the hamiltonian h_1 on M to obtain a transversal homoclinic intersection. Since h_1 has a non hyperbolic periodic point, by a small perturbation we make it quasi-elliptic. Theorem 5.3 yields that for any C^r generic perturbation \tilde{h}_1 of h_1 , this orbit is accumulated by hyperbolic periodic points with homoclinic transversal intersections. Note that h_2 is dominated by the restriction of \tilde{h}_1 on the hyperbolic basic set obtained from the homoclinic transversal intersection.

Now, we take another small (generic) perturbation \tilde{h}_2 of the integrable Hamiltonian h_2 on N to create a weak hyperbolic periodic point.

Since $r \geq 5$, N is of dimension two and the integrable hamiltonian h_2 is non-degenerate, then the KAM theorem implies that the non-wandering set *robustly* contains the manifold N . In other word, the time one map f_2 of the flow generated by h_2 satisfies the hypothesis (b) of Theorem A. In particular all the hypotheses of Theorems A and B hold for \tilde{h}_1 and \tilde{h}_2 (and their associated time on maps). Now we use Theorems A and B, and it completes the proof. \square

Remark 5.4. If the dimension of N is two, then either any point in N_0 belongs to some compact invariant region limited by two invariant curves or there is an unbounded Birkhoff region of instability. In the former case we obtain transitivity since the hypothesis (b) of Theorem A holds. In the latter case the instability region contains orbits starting near to one boundary and converge to infinity (this is a classical result of Birkhoff). As in the Corollary C, if the integrable system on N is non-degenerate and $r \geq 5$, then using the KAM theorem the hypothesis (b) holds and the second case does not occur. In the lower regularity or in the degenerate case the hypothesis (b) does not hold in general. In this case the union of the images of the non-wandering set in N_0 under all the su -holonomy maps, contains the boundary of the Birkhoff instability region. It implies that the orbit of any open set intersecting the non-wandering set in N_0 , is unbounded and its closure contains the non-wandering set in N_0 .

6. SOME REMARKS AND OPEN PROBLEMS

The main results of this paper arise several natural questions. Here we mention some of them. The first remark is concerned with a possible alternative approach to prove transitivity.

Remark 6.1. In the context of Theorem A, the accessibility with the density of recurrent point implies transitivity (but not mixing). Without the global hyperbolicity it is difficult to obtain “stable” accessibility. First, it seems essential to suppose that the Hausdorff dimension of the hyperbolic set Λ to be large enough. Second, for stability of accessibility one needs the continuity of Hausdorff dimension of the projections of the (hyperbolic) Cantor set along the invariant foliations. Unfortunately, the stable and unstable foliations are not smooth, and so the Hausdorff dimension of the projections do not vary continuously. A similar difficulty occurs in the persistence of homoclinic tangencies in higher dimensions.

1. *Transitivity and partial hyperbolicity.* The first question concerns the genericity of the robustly mixing partially hyperbolic sets. Theorem A suggests that the answer of the following problem would be positive. See also [N].

Problem 6.2. *Does there exist a residual set $\mathbf{R} \subset \text{Diff}_\omega^r(M)$, $1 \leq r \leq \infty$, such that if $f \in \mathbf{R}$, then any normally hyperbolic invariant submanifold N for f with transversal intersection between its stable and unstable manifolds is topologically mixing, provided that $N \subset \text{int}(\Omega(f))$?*

In contrast, as in the case of C^1 topology (see [DPU], [BDP] and [HT]), we believe that the partial hyperbolicity condition is *necessary* for robustness of mixing in any C^r topology. This problem is also related to the C^r stability conjecture which is still open.

Problem 6.3. *Let (M, ω) be a symplectic manifold. Suppose that Γ is robustly topological mixing invariant set for f in $\text{Diff}_\omega^r(M)$. Is it a partially hyperbolic set?*

2. *Ergodicity and stable ergodicity.* Let f_1 and f_2 as in Theorem A. Suppose that N is compact. Then the topologically mixing invariant set obtained in the Theorem A is laminated by central manifolds diffeomorphic to N . This lamination is normally hyperbolic. See **H-1–H-4**, **S-1** and **S-2**, in section 4. As a matter of fact, this implies that for all symplectic diffeomorphism G near to $f_1 \times f_2$, there is an invariant measure ρ_G supported the continuation of $\Lambda \times N$. Moreover, the measure ρ_G is a skew product of the Lebesgue measure on the fibers (i.e. the volume form obtained by the restriction the symplectic 2-form on the fibers) over the Bernoulli measure of the shift on $\Lambda = \{1, \dots, d\}^{\mathbb{Z}}$.

As was mentioned in the introduction, Theorem A can be seen as a local and topological version of the example Shub and Wilkinson [SW], where they proved that the product of “Anosov \times Standard map” on \mathbb{T}^4 is C^∞ approximation by (symplectic) stably ergodic systems. A natural problem arises:

Problem 6.4. *Is it possible to C^∞ approximate the product $f_1 \times f_2$ of Theorem A by symplectic diffeomorphisms G for which the invariant ρ_G supported the continuation of $\Lambda \times N$ is ergodic or stably ergodic? Is the compactness assumption on N necessary?*

3. *Other contexts.* Other problems concern natural extensions and applications of our results and method in similar contexts. For instance,

- (1) analytic symplectic and Hamiltonian systems,
- (2) geodesic flows on manifolds of dimensions larger than two,
- (3) perturbations of geodesic flows on surfaces by periodic potentials,
- (4) the dynamics near the (quasi) elliptic periodic points in dimensions ≥ 4 ,
- (5) generic energy levels of time independent Hamiltonian systems,
- (6) specific mechanical problems such as restricted 3-body problem.

4. *On the abundance of instability.* Let the Hamiltonian H_0 be written as the sum of two functions which depend on different variables. In this paper we have proved that, if H_0 is integrable or has a partially hyperbolic invariant set, then $H_0 + h$ exhibits instability (Arnold diffusion) and large topological mixing set, where $h = \tilde{h}_0 + \epsilon_1 \tilde{h}_1 + \epsilon_2 \tilde{h}_2$, the C^r -norm of \tilde{h}_i 's are one, and h_0 is generic (open dense), h_1 is not generic, but h_2 is arbitrary. Moreover, $0 < \epsilon_i < \epsilon_i(h_1, h_0)$.

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IMPA, RIO DE JANEIRO, BRAZIL.
E-mail address: nassiri@impa.br