

# Theory of metric Lie groups and **H**-surfaces in homogeneous **3**-manifolds.

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Based on joint work with Mira, Pérez, Ros and Tinaglia.

## Definition

A **2**-dimensional submanifold with constant mean curvature  $\mathbf{H} \geq 0$  in a Riemannian **3**-manifold is called an **H-surface**.

## Definition

If the isometry group of a Riemannian manifold **Y** acts transitively, then **Y** is called **homogeneous**.

## Definition

A Lie group with left invariant metric is called a **metric Lie group**.

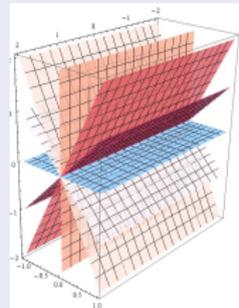
## Notation and Language

- $Y$  = simply connected homogeneous 3-manifold.
- $X$  = simply connected 3-dimensional Lie group with left invariant metric ( $X$  is a **metric Lie group**).
- $H(Y) = \text{Inf}\{\max |H_M| : M = \text{immersed closed surface in } Y\}$ , where  $\max |H_M|$  denotes max of absolute mean curvature function  $H_M$ .
- The number  $H(Y)$  is called the **critical mean curvature** of  $Y$ .
- $\text{Ch}(Y) = \text{Inf}_{K \subset Y \text{ compact}} \frac{\text{Area}(\partial K)}{\text{Volume}(K)} = \text{Cheeger constant of } Y$ .

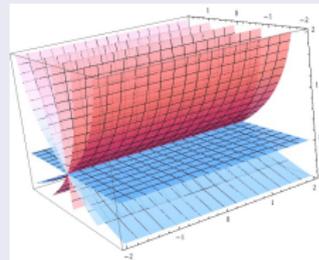
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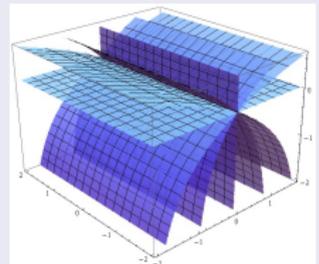
The unique **algebraic open book** decomposition of  $\text{Nil}_3$ , where all subgroups are minimal planes. Here the binding  $\Gamma$  is the  $x$ -axis.



**Algebraic open book** decomposition of  $\text{Sol}_3$ , where all subgroups are minimal and the only planar leaves are the  $(x, y)$  and  $(x, z)$ -planes. Here the binding  $\Gamma$  is the  $x$ -axis.

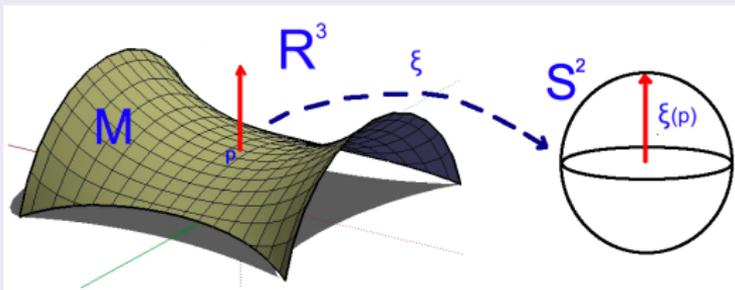


**Algebraic open book** decomposition of  $\text{Sol}_3$ , where all subgroups are minimal and the only planar leaves are the  $(x, y)$  and  $(y, z)$ -planes. Here the binding  $\Gamma$  is the  $y$ -axis.



## Definition (Left Invariant Gauss Map)

- Let  $M \subset X$  be an oriented surface,  $S^2$  be the unit sphere in  $T_e X$  and  $N(\mathbf{p})$  be the unit normal to  $M$  at  $\mathbf{p}$ .
- The **left invariant Gauss map** of  $M$  is the mapping  $G: M \rightarrow S^2$ , where  $G(\mathbf{p})$  is the left translation of  $N(\mathbf{p})$  from  $T_{\mathbf{p}}X$  to  $T_e X$ .



Here  $M \subset X$  is an oriented surface with unit normal vector field  $N = \xi$ . Then:  $G: M \rightarrow S^2 \subset T_e X$  is defined by  $G(\mathbf{p}) = \mathbf{p}^{-1}N(\mathbf{p})$ .

### Lemma (Transversality Lemma, Meeks-Mira-Pérez-Ros)

Suppose that  $S$  is an immersed sphere in an  $X$  and  $F$  is a 2-dimensional subgroup. If the left invariant Gauss map  $G: S \rightarrow S^2$  is a diffeomorphism, then:

- The set of left cosets of  $F$  which intersect  $S$  can be parameterized by the interval  $[0, 1]$ , i.e.,  $\{g(t)F \mid t \in [0, 1]\}$  are these cosets.
- Each of the cosets  $g(0)F$  and  $g(1)F$  intersects  $S$  in a single point.
- For  $t \in (0, 1)$ ,  $S \cap g(t)F$  is a connected immersed closed curve.

## Theorem (Meeks-Mira-Pérez-Ros)

Suppose that  $X$  has an algebraic open book decomposition. If  $f: S \rightarrow X$  is an immersed sphere in whose left invariant Gauss map  $G: S \rightarrow \mathbb{S}^2$  is a diffeomorphism, then  $S$  is embedded.

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Proof when  $X$  has 2 algebraic open book decomposition.

- By the previous theorem,  $X = \mathbb{R}^2 \rtimes_{\mathbf{A}} \mathbb{R}$  for some diagonal matrix  $\mathbf{A}$ .

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- Consider the algebraic open book decompositions  $\mathcal{B}_1 = \{\mathbf{J}_1(\theta) \mid \theta \in \mathbb{S}^1\}$  and  $\mathcal{B}_2 = \{\mathbf{J}_2(\theta) \mid \theta \in \mathbb{S}^1\}$  of  $\mathbb{R}^2 \rtimes_{\mathbf{A}} \mathbb{R}$  with bindings  $\Gamma_1 = \mathbf{x}$ -axis and  $\Gamma_2 = \mathbf{y}$ -axis, respectively.

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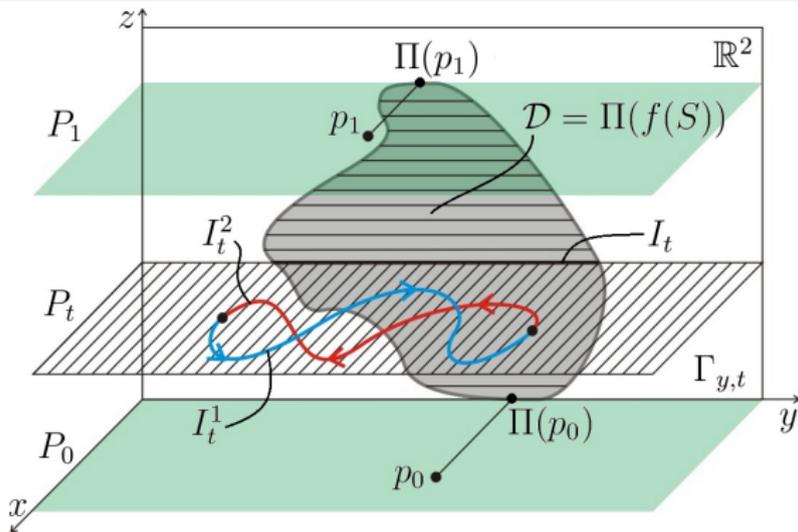
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- The theorem follow easily from the next assertion, since we can express  $\mathbf{S}$  as the union of 2 "disjoint" disks which are graphs over the  $\mathbf{yz}$ -plane; see the argument in the next slide.

## Assertion

For  $i = 1, 2$  and for each  $a \in \mathbf{X}$ ,  $\mathbf{f}^{-1}(a\Gamma_i)$  contains at most 2 points.



- Suppose the left coset  $P_t$  intersects transversely in the immersed curve  $\mathbf{f}(S) \cap P_t$ .
- For  $i = 1, 2$  and for each  $a \in \mathbf{X}$ ,  $\mathbf{f}^{-1}(a\Gamma_i)$  contains at most **2** points.
- For  $i = 1$ , the immersed curve  $\mathbf{f}(S) \cap P_t$  consists of two Jordan arcs  $I_t^1, I_t^2$  with the same extrema.
- Hence, projection along  $\Gamma_1$  represents  $\Sigma$  as a bigraph over the  $yz$ -plane.
- Also, projection along  $\Gamma_2$  represents  $\Sigma$  as a bigraph over the  $xz$ -plane.
- So,  $\Sigma$  is embedded!!

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- Since each subgroup of  $\mathcal{B}_1$  intersects  $\mathbf{S}$  in at least 4 points, the Transversality Lemma implies each leaf  $L_\theta$  intersects  $\mathbf{S}$  transversely.

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- The normal variational vector field  $\partial_\theta$  for  $\mathcal{B}_1$  defined on  $\mathbf{X} - \Gamma$  induces a nonzero tangential vector field  $\partial_\theta^T$  on  $\mathbf{S} - \mathbf{f}^{-1}(\Gamma)$ .

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- By the Hopf index theorem, the Euler characteristic of  $\mathbf{S}$  is  $2n \geq 4$ .

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- By the Hopf index theorem, the Euler characteristic of  $\mathbf{S}$  is  $2n \geq 4$ .
- This is a contradiction since the Euler characteristic of  $\mathbf{S}$  is 2. □

## Theorem (Meeks-Mira-Pérez-Ros)

Let  $X$  be a 3-dimensional metric Lie group. Then:

- 1  $X$  admits an open book decomposition **iff** it is isomorphic to  $\mathbb{R}^3$ ,  $\mathbb{H}^3$ ,  $\text{Nil}_3$ ,  $\text{Sol}_3$ , or to a non-unimodular Lie group with  $D$ -invariant  $D \leq 1$ .
- 2 If  $X$  admits an algebraic open book decomposition and  $f: S \rightarrow X$  is an immersed sphere whose left invariant Gauss map of is a diffeomorphism, then  $f$  is injective.
- 3 The left invariant Gauss map of  $H$ -spheres in  $X$  are diffeomorphisms.
- 4  $H$ -spheres in homogeneous 3-manifolds are always Alexandrov embedded (bound submersed 3-balls) except for the minimal spheres  $S^2(\kappa) \times \{t\}$  in  $S^2(\kappa) \times \mathbb{R}$ .

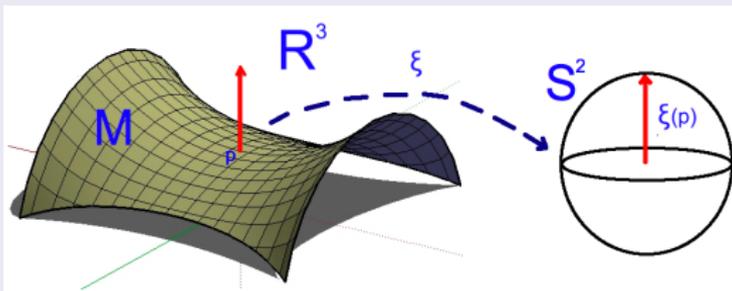
## Remark

The fact that the Gauss map of an  $H$ -sphere in  $X$  is a diffeomorphism in item 4 in the above theorem depends on proving that  $H$ -spheres always have index 1.

Conjecture (Alexandrov Embeddedness Conjecture,  
Meeks-Mira-Pérez-Ros)

- If  $Y$  be a simply connected, homogeneous  $3$ -manifold not diffeomorphic to  $\mathbb{S}^3$ , then  $H$ -spheres in  $Y$  are embedded.
- Furthermore, if  $Y$  is diffeomorphic to  $\mathbb{R}^3$ , then compact Alexandrov embedded  $H$ -surfaces in  $Y$  are spheres.

## Definition (Second Fundamental Form/Shape Operator)



Let  $M$  be an oriented surface in  $\mathbb{R}^3$ , let  $\xi$  be the unit vector field normal to  $M$ :

$$A = -d\xi: T_p M \rightarrow T_{\xi(p)} S^2 \simeq T_p M$$

is the **shape operator** of  $M$ .

# Introduction to the theory of CMC surfaces.

## Definition

- The eigenvalues  $k_1, k_2$  of  $\mathbf{A}_p$  are the **principal curvatures** of  $\mathbf{M}$  at  $p$ .
- $\mathbf{K}_G = \det(\mathbf{A}) = k_1 k_2$  is the **Gauss curvature**.
- $\mathbf{H} = \frac{1}{2} \text{Trace}(\mathbf{A}) = \frac{k_1 + k_2}{2}$  is the **mean curvature**.
- $\mathbf{K}_M = k_1 k_2$  is the **Gaussian curvature**.
- $|\mathbf{A}| = \sqrt{k_1^2 + k_2^2}$  is the **norm of the shape operator**.

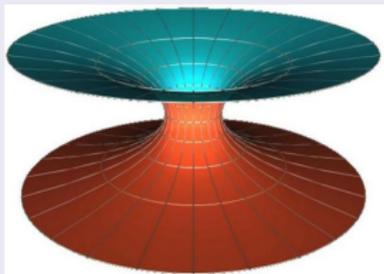
## Gauss equation

$$4\mathbf{H}^2 = |\mathbf{A}|^2 + 2\mathbf{K}_G \quad (\mathbf{K}_G = \text{Gaussian curvature})$$

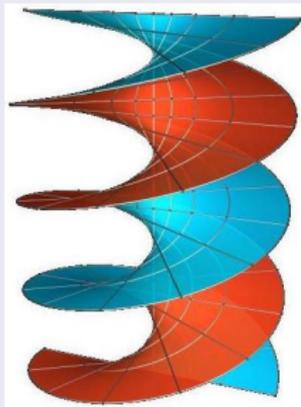
# Introduction to the theory of CMC surfaces.

## Theorem

An  $H$ -surface  $M$  is a **minimal surface**  $\iff H \equiv 0 \iff M$  is a critical point for the area functional under compactly supported variations.



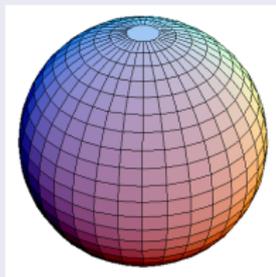
• Catenoid



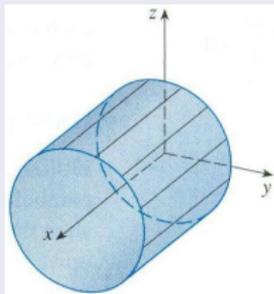
• Helicoid

## Theorem

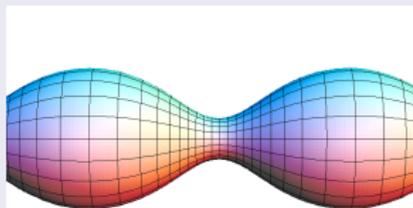
$M$  is a **H-surface**  $\iff M$  is a critical point for the area functional under compactly supported variations **preserving the volume**.



• Sphere



• Cylinder



• Delaunay surfaces

## Uniqueness, existence, index and embeddedness of $H$ -spheres in $\mathbb{R}^3$ .

Let  $M$  be a closed (compact without boundary)  $H$ -surface in  $\mathbb{R}^3$ :

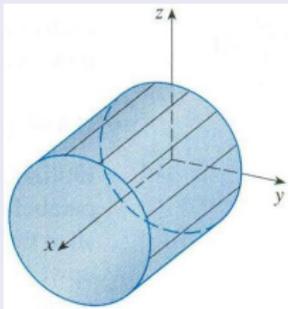
- $M$  is **not** minimal. (coordinate functions cannot be harmonic)
- $M$  has **genus 0**  $\iff$  it is a round sphere (1951, **Hopf**).
- $M$  is **embedded**  $\iff$  it is a round sphere (1956, **Alexandrov**).
- **Index**( $M$ ) = 1  $\iff$   $M$  is a round sphere (1984, **Barbosa-do Carmo**).

# New uniqueness results for CMC surfaces.

## Question

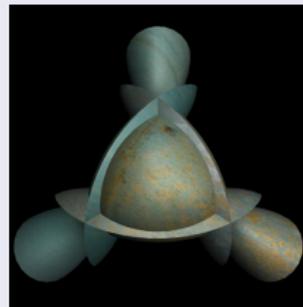
Is the round sphere the only complete simply connected surface **embedded** in  $\mathbf{R}^3$  with **non-zero** constant mean curvature?

NOT simply connected



- Cylinder

NOT embedded



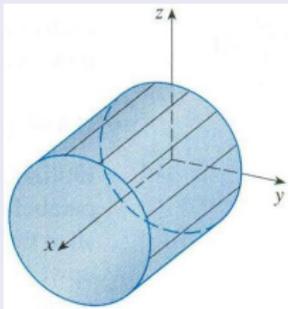
- Smyth surface

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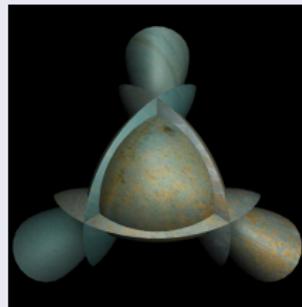
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Answer (Meeks-Tinaglia)

**Yes!**

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Round spheres are the only complete simply connected surfaces **embedded** in  $\mathbf{R}^3$  with non-zero constant mean curvature.

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### Corollary

Let  $M$  be a complete simply connected  $H$ -surface **embedded** in  $\mathbf{R}^3$ .  
Then  $M$  is either

a plane, a helicoid **or** a round sphere.

(2008, **Colding-Minicozzi** and **Meeks-Rosenberg** for  $H = 0$ )

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A **1-disk** is a compact disk **embedded** in  $\mathbf{R}^3$  with constant mean curvature **1**.

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## Theorem (Radius Estimate, Meeks-Tinaglia)

There exists a universal constant  $\mathbf{R}$  such that if  $\mathbf{M}$  is a **1**-disk, then  $\mathbf{M}$  has **radius** less than  $\mathbf{R}$ , i.e.,

$$\forall \mathbf{p} \in \mathbf{M}, \quad \text{dist}_{\mathbf{M}}(\mathbf{p}, \partial\mathbf{M}) < \mathbf{R}.$$

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In particular, if **M** is a complete simply connected **H = 1** surface embedded in  $\mathbf{R}^3$ , then:

Radius Estimate  $\implies$  **M** is compact  $\implies$  **M** is an embedded sphere.

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A **1-disk** is a compact disk **embedded** in  $\mathbf{R}^3$  with constant mean curvature **1**.

## Theorem (Radius Estimate, Meeks-Tinaglia)

There exists a universal constant  $\mathbf{R}$  such that if  $\mathbf{M}$  is a **1-disk**, then  $\mathbf{M}$  has **radius** less than  $\mathbf{R}$ , i.e.,

$$\forall \mathbf{p} \in \mathbf{M}, \quad \text{dist}_{\mathbf{M}}(\mathbf{p}, \partial \mathbf{M}) < \mathbf{R}.$$

In particular, if  $\mathbf{M}$  is a complete simply connected  $\mathbf{H} = \mathbf{1}$  surface embedded in  $\mathbf{R}^3$ , then:

Radius Estimate  $\implies \mathbf{M}$  is compact  $\implies \mathbf{M}$  is an embedded sphere.

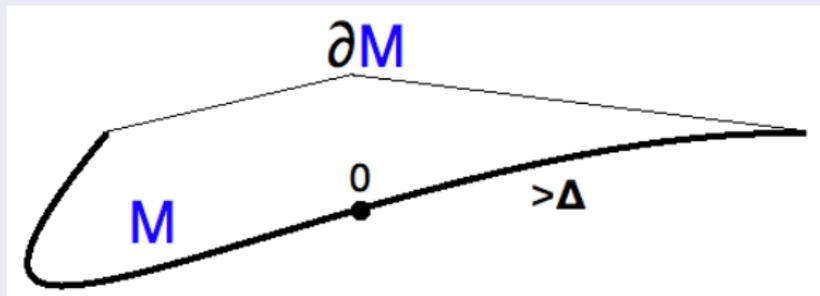
Hopf or Alexandrov Theorems  $\implies \mathbf{M}$  is a **round sphere**.

The Radius Estimate is a **trivial** consequence of the following Intrinsic Curvature Estimate.

### Theorem (Intrinsic Curvature Estimate, Meeks-Tinaglia)

- Let  $Y$  be a homogeneously regular **3**-manifold with absolute sectional curvature less than  $K \geq 0$ .
- Given  $\Delta > 0$  there exist a universal constant  $C = C(\Delta, K)$  such that:
- If  $M$  is an **H**-disk in  $Y$  with  $0 \in M$ ,  $\text{dist}_M(0, \partial M) > \Delta$  and  $H \geq 1$ , then

$$|A_M|(0) \leq C.$$



## Theorem (Radius/Curvature Estimate, Meeks-Tinaglia)

- Suppose that  $X = \mathbb{H}^3$ .
- There exists a universal constant  $R$  such that if  $M$  is an  $H$ -disk and  $H > H(X)$ , then  $M$  has radius less than  $R$ , i.e.,

$$\forall p \in M, \quad \text{dist}_M(p, \partial M) < R.$$

- Complete embedded  $H$ -surfaces of finite topology in  $X$  with  $H > 0$  have bounded second fundamental forms and are properly embedded when  $H > 1$ .

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## Conjecture (Radius/Curvature Estimates, Meeks-Tinaglia)

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- Complete embedded  $H$ -surfaces in  $Y$  with  $H > 0$  have bounded second fundamental forms.
- Every complete embedded  $H$ -surface of finite topology in  $Y$  is properly embedded, whenever  $H \geq H(Y)$ .

## Theorem (Classification Theorem for $\mathbf{H}$ -spheres, Meeks-Mira-Pérez-Ros)

Suppose  $\mathbf{X}$  is a simply connected  $\mathbf{3}$ -dimensional metric Lie group.

- $\mathbf{X}$  is diffeomorphic to  $\mathbf{R}^3 \implies$  the moduli space of  $\mathbf{H}$ -spheres in  $\mathbf{X}$  is parameterized by the mean curvature values  $\mathbf{H}$  in  $(\mathbf{H}(\mathbf{X}), \infty)$ .
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- $\mathbf{X}$  diffeomorphic to  $\mathbb{S}^3 \implies$  the areas of all  $\mathbf{H}$ -spheres form a half-open interval  $(0, \mathbf{A}(\mathbf{X})]$ .
- $\mathbf{H}$ -spheres in  $\mathbf{X}$  are **Alexandrov embedded** with **index 1**, **nullity 3**.

## Theorem (Classification Theorem for $H$ -spheres, Meeks-Mira-Pérez-Ros)

Suppose  $X$  is a simply connected 3-dimensional metric Lie group.

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- Our approach of some steps in the proof of this theorem appear in the proof by **Daniel-Mira** and **Meeks** on the uniqueness of  $H$ -spheres in the metric Lie group  $Sol_3$  with its most symmetric left invariant metric.

## Steps of the proof of the Classification Theorem for $H$ -spheres.

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- **Step 5:** Each component of  $\mathcal{M}(\mathbf{X})$  is an interval parameterized by the mean curvature values in a subinterval  $I_{\mathbf{X}} \subset [0, \infty)$ .  $I_{\mathbf{X}} = [0, \infty)$  if  $\mathbf{X}$  is isomorphic to  $\mathbf{SU}(2)$  and otherwise  $I_{\mathbf{X}} = (\mathbf{H}(\mathbf{X}), \infty)$ .

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  - Each  $H$ -sphere in  $X$  has **index 1** and **nullity 3**.
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### Conjecture (Index 1 Conjecture, Meeks-Mira-Pérez-Ros)

When  $X$  is diffeomorphic to  $\mathbb{R}^3$ , then every compact  $H$ -surface in  $X$  with index 1 is a sphere. In particular, solutions to the isoperimetric problem in such an  $X$  are spheres.

### Conjecture (Isoperimetric Domains Conjecture, Meeks-Mira-Pérez-Ros)

If  $X$  is diffeomorphic to  $\mathbb{R}^3$ , then:

- 1 Isoperimetric surfaces (boundaries of isoperimetric domains) in  $X$  are spheres.
- 2 For each fixed volume  $V_0$ , solutions to the isoperimetric problem in  $X$  for volume  $V_0$  are unique up to left translations in  $X$ .

## Conjecture (Topological Existence Conjecture, Meeks)

- If  $X \approx \mathbb{R}^3$  and  $H < H(X)$ , then  $X$  admits properly embedded  $H$ -surfaces of every possible topology.
- If  $X \approx \mathbb{R}^3$  and  $H > H(X)$ , then  $X$  admits properly embedded  $H$ -surfaces of every possible topology, except for finite genus with  $1$  end or finite positive genus with  $2$  ends which it never admits.

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### Meeks-Frohman

If  $M_1, M_2$  are two diffeomorphic, connected and properly embedded minimal surfaces in  $Y = \mathbb{S}(\kappa) \times \mathbb{R}$ , then there exists a diffeomorphism  $f: Y \rightarrow Y$  with  $f(M_1) = M_2$ .

## Theorem (Unknottedness Theorem for Minimal Surfaces in $SU(2)$ )

Let  $X$  be isomorphic to  $SU(2)$  and let  $M_1, M_2$  be two compact, diffeomorphic embedded minimal surfaces in  $X$ . Then there exists a diffeomorphism  $f: X \rightarrow X$  with  $f(M_1) = M_2$ .

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- By Waldhausen, it suffices to prove that the closure of each complement of  $X - M_j$  is a handlebody.

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### Proof.

- By Waldhausen, it suffices to prove that the closure of each complement of  $X - M_i$  is a handlebody.
- Otherwise by **Meeks-Simon-Yau**,  $X$  admits an embedded, compact, strongly stable minimal surface, which contradicts the above theorem.



## Theorem (Positive Injectivity Radius of Classical Minimal Surfaces, Meeks-Pérez)

- A complete embedded minimal surface  $M$  of finite topology in a homogeneous 3-manifold  $Y$  has positive injectivity radius.
- More generally, a complete embedded  $H$ -surface  $M$  of finite topology in a homogeneous 3-manifold  $Y$  has positive injectivity radius, when  $H < H(Y)$ .

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## Remark

- **Meeks-Tinaglia** proved that a complete embedded  $H$ -surface of finite topology in a homogeneously regular 3-manifold of non-positive curvature has bounded second fundamental form when  $H > 0$ , and hence, it positive injectivity radius.
- Their result in the non-positive sectional curvature setting was motivated by the earlier proof by **Meeks-Rosenberg** that a complete embedded minimal surface of finite topology in a homogeneously regular 3-manifold of non-positive curvature has positive injectivity radius.

## Conjecture (Positive Injectivity Radius/Bounded Curvature Conjecture, Meeks-Pérez-Tinaglia)

- A complete embedded  $H$ -surface of finite topology in  $X$  with  $H \geq H(X)$  has positive injectivity radius and bounded curvature.
- A complete embedded  $H$ -surface of finite genus surface in  $X$  with  $H = H(X)$  has positive injectivity radius and bounded curvature.

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## Remark

Curvature estimates of **Meeks-Tinaglia** for embedded ( $H > 0$ )-disks imply that any complete embedded ( $H > 0$ )-surface of positive injectivity radius in a homogeneously regular  $3$ -manifold has bounded second fundamental form.

## Conjecture (Positive Injectivity Radius/Bounded Curvature Conjecture, Meeks-Pérez-Tinaglia)

- A complete embedded  $H$ -surface of finite topology in  $X$  with  $H \geq H(X)$  has positive injectivity radius and bounded curvature.
- A complete embedded  $H$ -surface of finite genus surface in  $X$  with  $H = H(X)$  has positive injectivity radius and bounded curvature.

## Remark

Curvature estimates of **Meeks-Tinaglia** for embedded ( $H > 0$ )-disks imply that any complete embedded ( $H > 0$ )-surface of positive injectivity radius in a homogeneously regular  $3$ -manifold has bounded second fundamental form.

## Conjecture (Bounded Curvature Conjecture, Meeks-Tinaglia)

- The norm of the second fundamental of any complete embedded finite topology ( $H > 0$ )-surface in  $X$  is bounded.
- More generally, the same result holds for the case for any complete  $3$ -manifold locally isometric to  $X$ .

## Conjecture (Calabi-Yau Properness Problem, Meeks-Pérez)

- A complete, connected, embedded  $H$ -surface of positive injectivity radius is proper in  $X$  whenever  $H \geq H(X)$ .
- In particular, by a result of Meeks-Pérez, complete, embedded minimal surfaces of finite topology in metric Lie groups isomorphic to  $\mathbb{R}^3$ ,  $\text{Nil}_3$ ,  $\tilde{E}(2)$ ,  $\text{Sol}_3$  would always be proper.

## Conjecture (Stability Conjecture for $SU(2)$ , Meeks-Pérez-Ros)

If  $X$  is diffeomorphic to  $S^3$ , then  $X$  contains no strongly stable complete  $H$ -surfaces.

## Meeks-Pérez-Ros

- Conjecture is true if  $X$  is a Berger sphere with non-negative scalar curvature.
- Also true if  $X$  is  $SU(2)$  endowed with a left invariant metric of positive scalar curvature.
- If  $Y$  is a 3-sphere with a Riemannian metric (not necessarily a left invariant metric) such that it admits no strongly stable complete minimal surfaces, then for each integer  $g \in \mathbb{N} \cup \{0\}$ , the space of compact embedded minimal surfaces of genus  $g$  in  $Y$  is compact.